

Semiclassical Quantum States of Fields on Black Hole Space-times

Marc Casals

Centro Brasileiro de Pesquisas Físicas

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Outline

- **QFT in Curved Space**
- **States in Schwarzschild**
- **States in Kerr: bosons vs fermions**
- **Conclusions**

QFT in Curved Space

- Search for a theory of **Quantum Gravity**: unify GR and Quantum Physics

- QFT in GR: keep gravity classical but **quantize 'matter' fields**

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = \left\langle \hat{T}_{\mu\nu} \right\rangle_{ren}^{\psi}$$

$|\psi\rangle$: **quantum state** of the field

- Any theory of Quantum Gravity should reproduce QFT in GR for time&length \gg Planck time&length

- Calculation of $\left\langle \hat{T}_{\mu\nu} \right\rangle_{ren}^{\psi}$ is important, e.g., for backreaction (Ashtekar's School talk)

QFT in Curved Space

- **Ultraviolet divergences require renormalization:**

$$\left\langle \hat{T}_{\mu\nu} \right\rangle_{ren}^{\psi} = \left\langle \hat{T}_{\mu\nu} \right\rangle^{\psi} - T_{\mu\nu}^{div}$$

- $T_{\mu\nu}^{div}$ is purely geometric - independent of the quantum state. Therefore, **differences between states** do not require renormalization:

$$\left\langle \hat{T}_{\mu\nu} \right\rangle_{ren}^{\psi_2} - \left\langle \hat{T}_{\mu\nu} \right\rangle_{ren}^{\psi_1} = \left\langle \hat{T}_{\mu\nu} \right\rangle^{\psi_2} - \left\langle \hat{T}_{\mu\nu} \right\rangle^{\psi_1}$$

Kerr spacetime

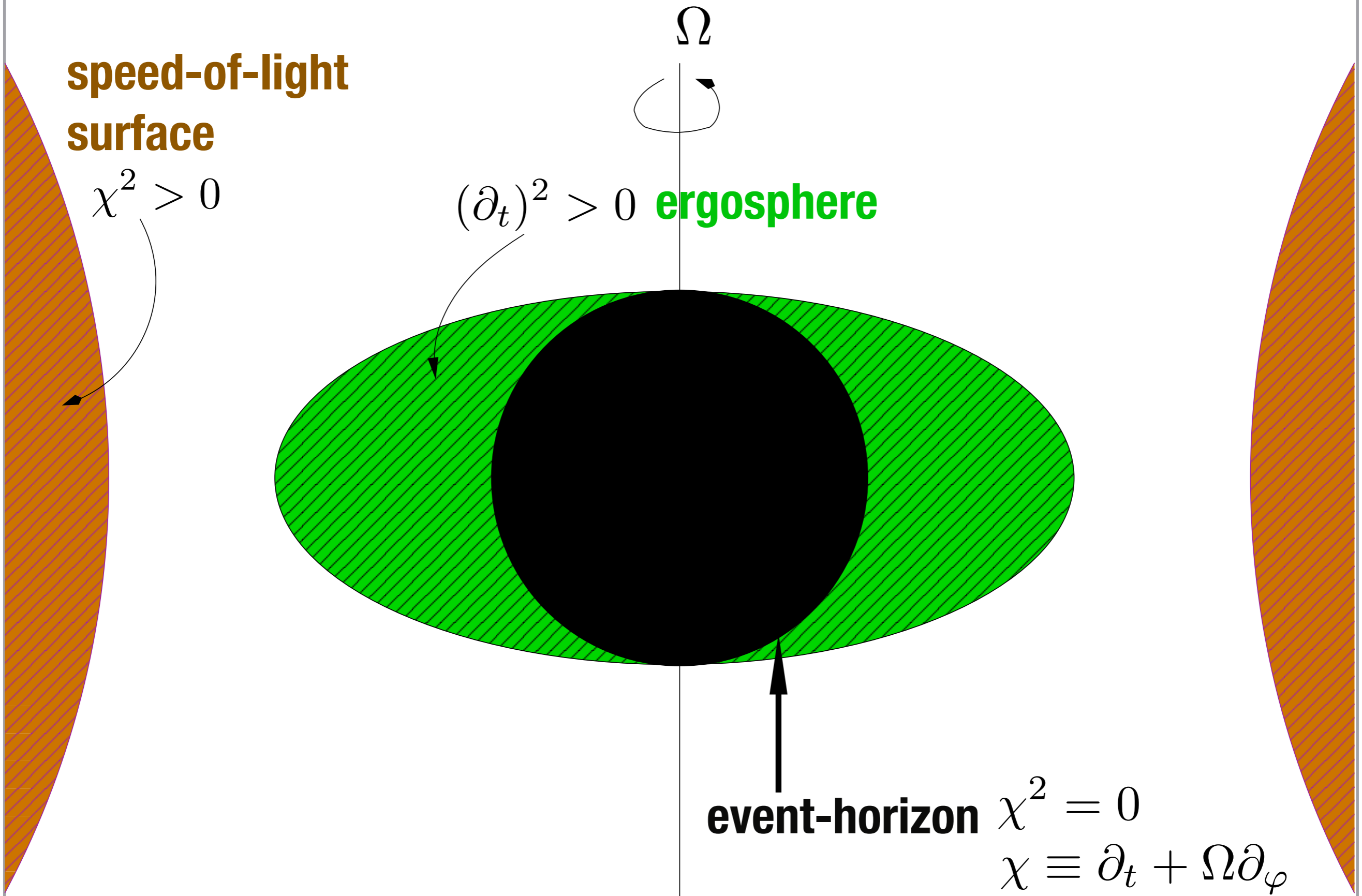
- **Rotating black hole** with angular momentum per unit mass a and mass M

$$ds^2 = -\frac{\Delta}{\Sigma} [dt^2 - a \sin^2 \theta d\varphi]^2 + \frac{\Sigma}{\Delta} dr^2 + \Sigma d\theta^2 + \frac{\sin^2 \theta}{\Sigma} [(r^2 + a^2) d\varphi - a dt]^2$$

$$\Delta \equiv r^2 - 2Mr + a^2 \quad \Sigma \equiv r^2 + a^2 \cos^2 \theta$$

- **Event-horizon:** $r_h = M + \sqrt{M^2 - a^2}$
- **Angular velocity:** $\Omega = \frac{a}{r_h^2 + a^2}$
- **Axisymmetric & stationary:** ∂_t & ∂_φ **Killing vectors**
- **Killing vector** $\chi \equiv \partial_t + \Omega \partial_\varphi$ **is null generator of the horizon**

Kerr surfaces



QFT in Kerr: Bosons

- **(massless) Bosons. Mode decomposition of field operator:**

$$\hat{\phi} = \sum_{\Lambda} \hat{a}_{\Lambda} \phi_{\Lambda} + \hat{a}_{\Lambda}^{\dagger} \phi_{-\Lambda}$$

Field eq: “ \square ” $\phi_{\Lambda} = 0$ **separates by variables** $\Lambda \equiv \{l, m, \omega\}$
 $-\Lambda \equiv \{l, -m, -\omega\}$

Basis: $\phi_{\Lambda} = R_{\Lambda}(r) S_{\Lambda}(\theta) e^{im\varphi - i\omega t}$

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- **If** $(\phi_{\pm\Lambda}, \phi_{\pm\Lambda'}) = \pm\delta_{\Lambda,\Lambda'}$ **positive norm wrt an ‘inner product’**
negative

then commutation rlns. $[\hat{a}_{\Lambda}, \hat{a}_{\Lambda'}^{\dagger}] = \delta_{\Lambda,\Lambda'}$

QFT in Kerr: Fermions

- **(massless) Fermions.** Mode decomposition of field operator:

$$\hat{\phi} = \sum_{\Lambda} \hat{a}_{\Lambda} \phi_{\Lambda} + \hat{b}_{\Lambda}^{\dagger} \phi_{-\Lambda} \quad \text{Dirac eq.} \quad \gamma^{\mu} (\partial_{\mu} - \Gamma_{\mu}) \phi_{\pm\Lambda} = 0$$

curved s-t Dirac matrices

4-spinors

flat s-t Dirac matrix

Spinor connection matrices

- **Conserved current** $J^{\mu} = \left(\phi_1^{\dagger} \tilde{\gamma}^0 \right) \gamma^{\mu} \phi_2 \quad \nabla_{\mu} J^{\mu} = 0$

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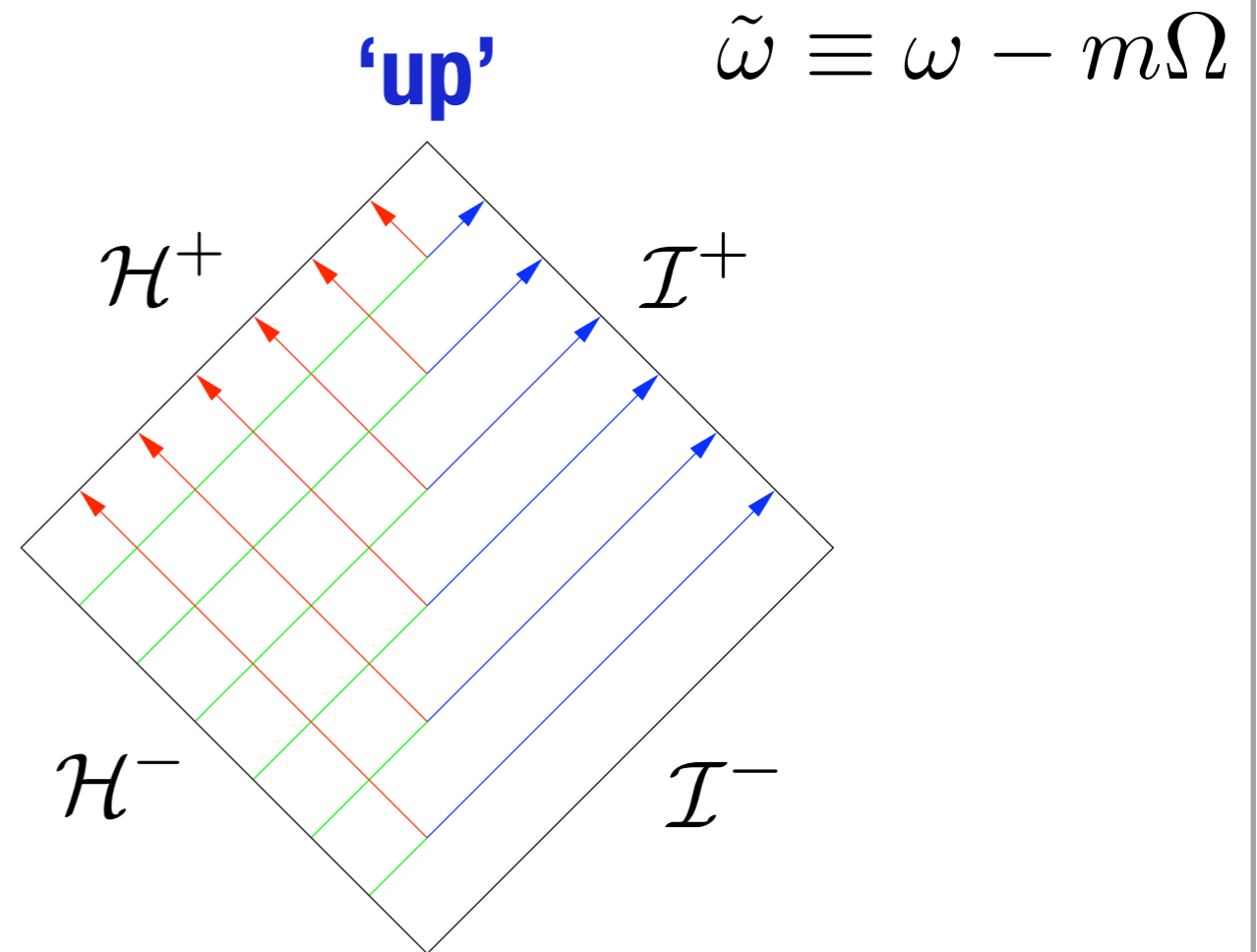
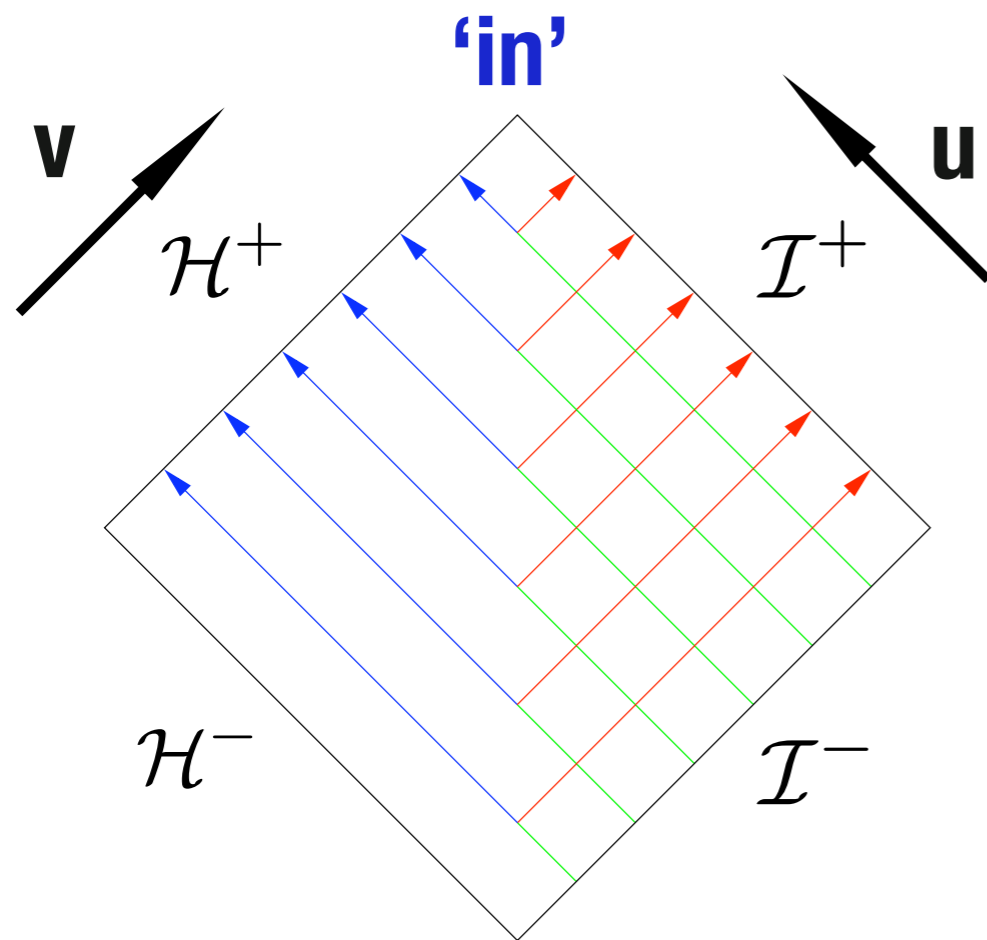
- **Conserved current** $J^{\mu} = \left(\phi_1^{\dagger} \tilde{\gamma}^0 \right) \gamma^{\mu} \phi_2 \quad \nabla_{\mu} J^{\mu} = 0$

If $(\phi_{\pm\Lambda}, \phi_{\pm\Lambda'}) = \delta_{\Lambda, \Lambda'}$ **all positive norm wrt inner product**

$$(\phi_1, \phi_2) = \int_{t=const} J^t dS$$

then anticommutation rlns. $\left\{ \hat{a}_{\Lambda}, \hat{a}_{\Lambda'}^{\dagger} \right\} = \left\{ \hat{b}_{\Lambda}, \hat{b}_{\Lambda'}^{\dagger} \right\} = \delta_{\Lambda, \Lambda'}$

Positive frequency solutions: when Fourier-decomposed, they only have positive-frequency modes



$$\tilde{\omega} \equiv \omega - m\Omega$$

'in': p.f. at \mathcal{I}^- wrt null coord. v

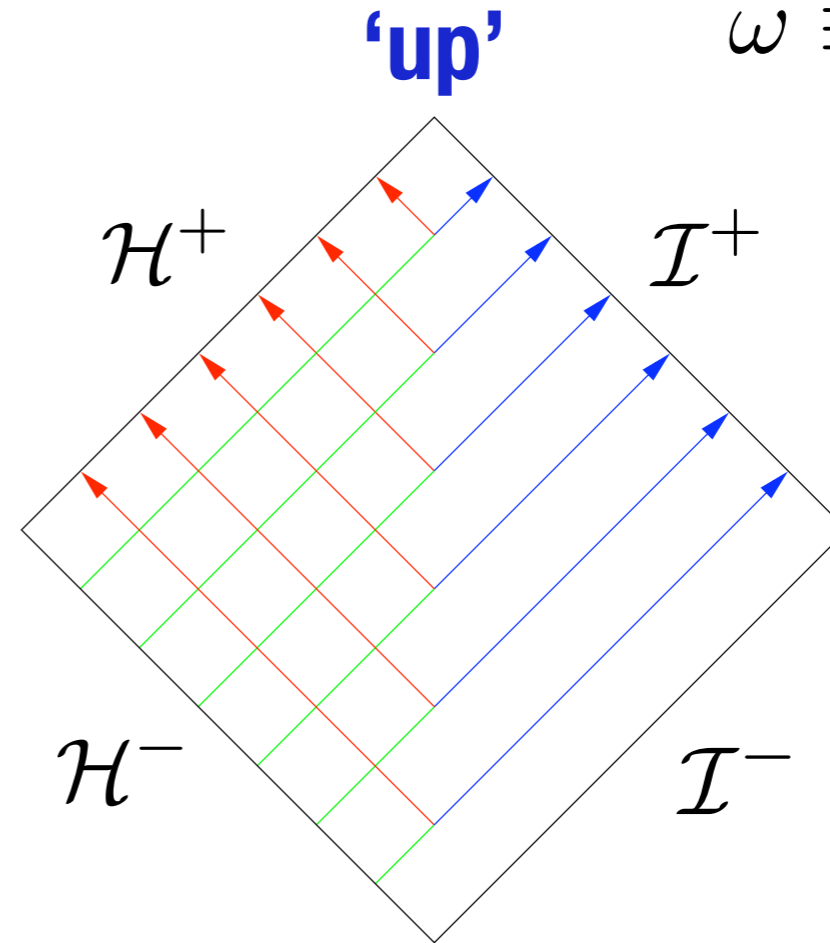
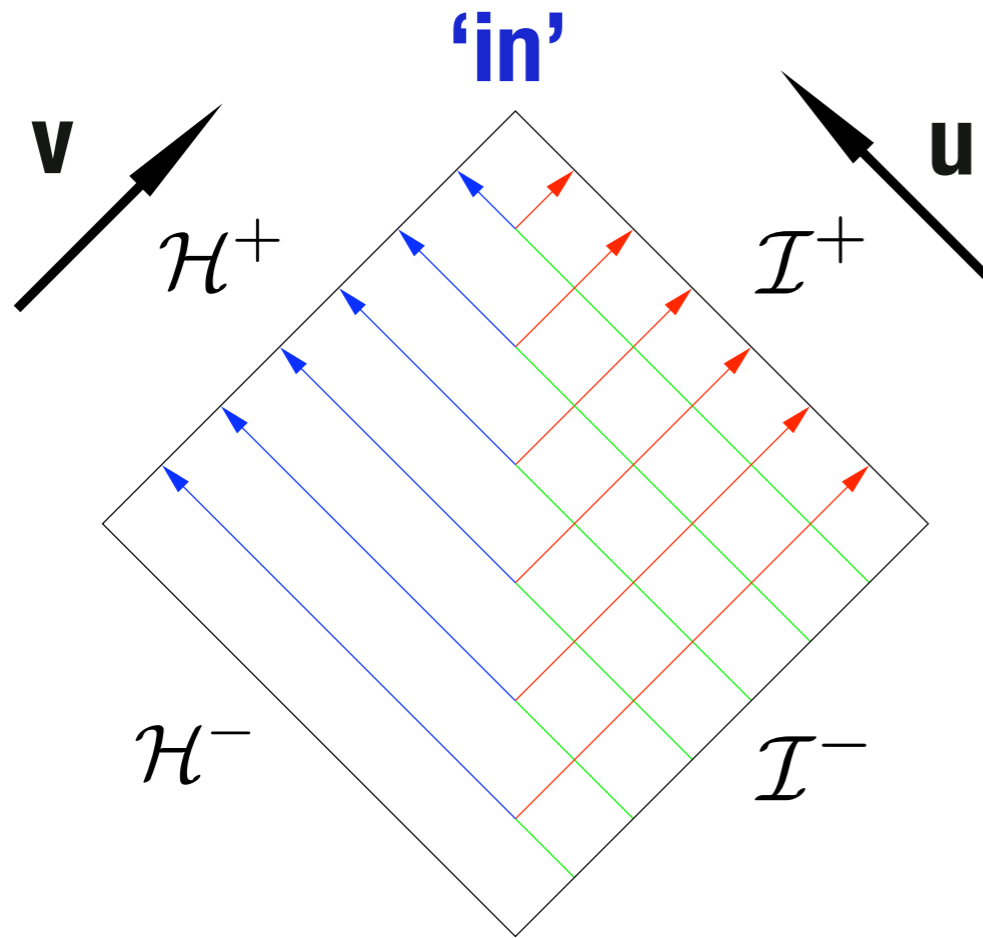
$$\partial_v \phi_\Lambda^{in} \sim -i\omega \phi_\Lambda^{in} \quad \forall \omega > 0$$

'up': p.f. at \mathcal{H}^- wrt null coord. u

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'IN': p.f. at \mathcal{H}^+ wrt Kruskal coord. \mathbf{V} $\forall \omega \in \mathbb{R}$

'UP': p.f. at \mathcal{H}^- wrt Kruskal coord. \mathbf{U} $\forall \tilde{\omega} \in \mathbb{R}$

Boson modes

- Positive/negative norm:

$$\left(\phi_{\Lambda}^{in}, \phi_{\Lambda}^{in}\right) \geq 0 \quad \forall \omega \geq 0 \quad \left(\phi_{\Lambda}^{up}, \phi_{\Lambda}^{up}\right) \geq 0 \quad \forall \tilde{\omega} \geq 0$$

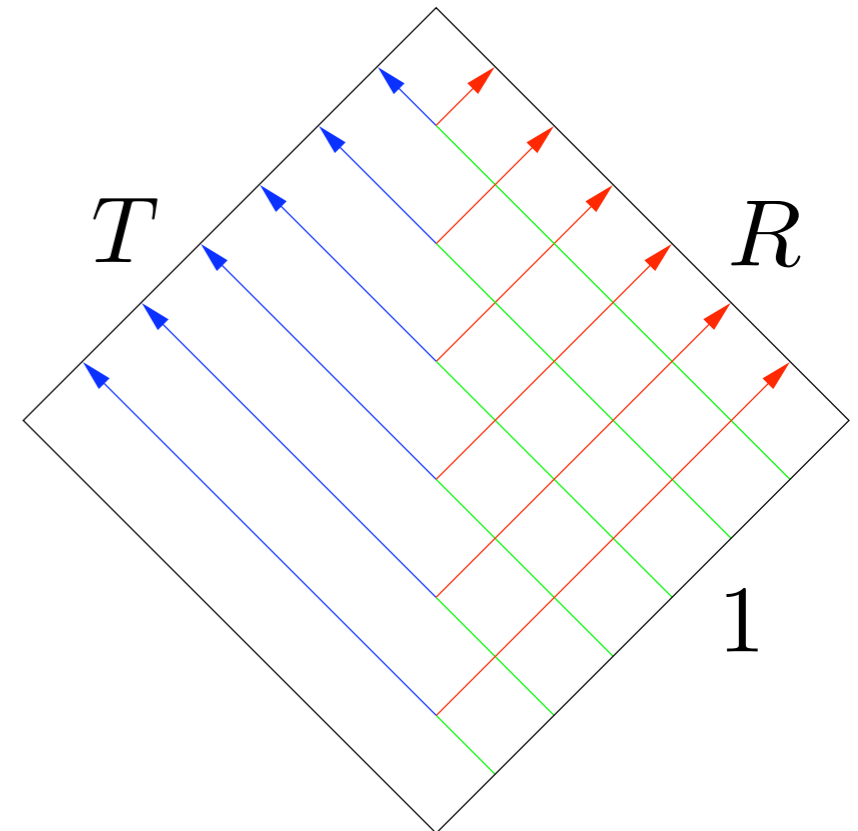
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$$1 - |R|^2 = \frac{\tilde{\omega}}{\omega} |T|^2$$



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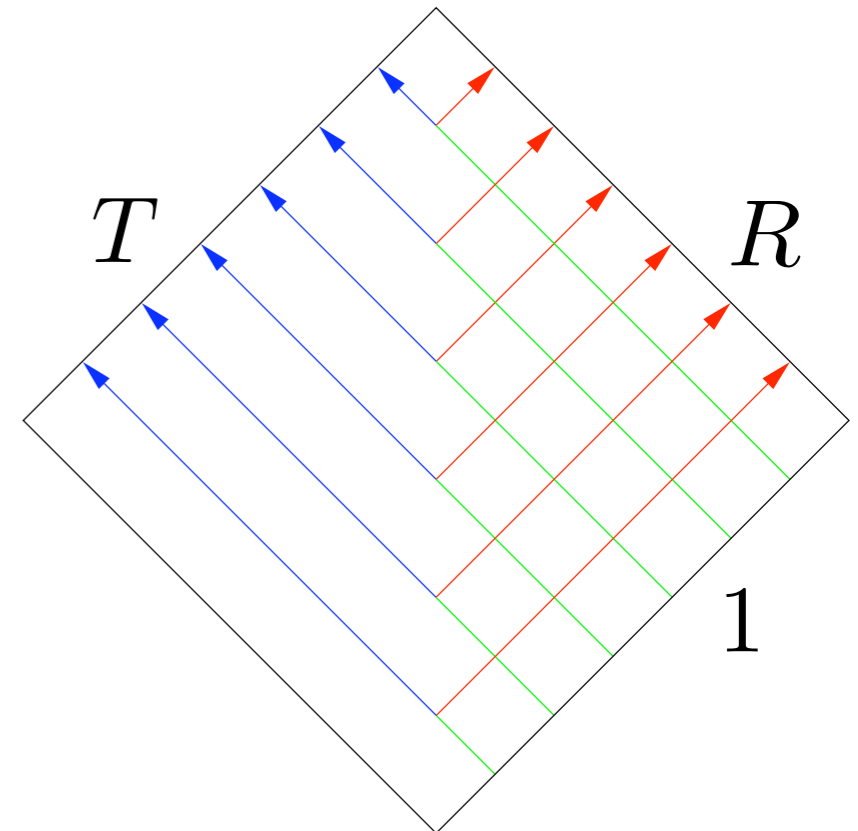
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- **1st law of black holes thermodynamics:**

$$dM = T_H d\left(\frac{A}{4}\right) + \Omega dJ$$

Send wavepacket in with $\frac{dM}{dJ} = \frac{\omega}{m} \longrightarrow \frac{\tilde{\omega}}{\omega} dM = T_H d\left(\frac{A}{4}\right)$



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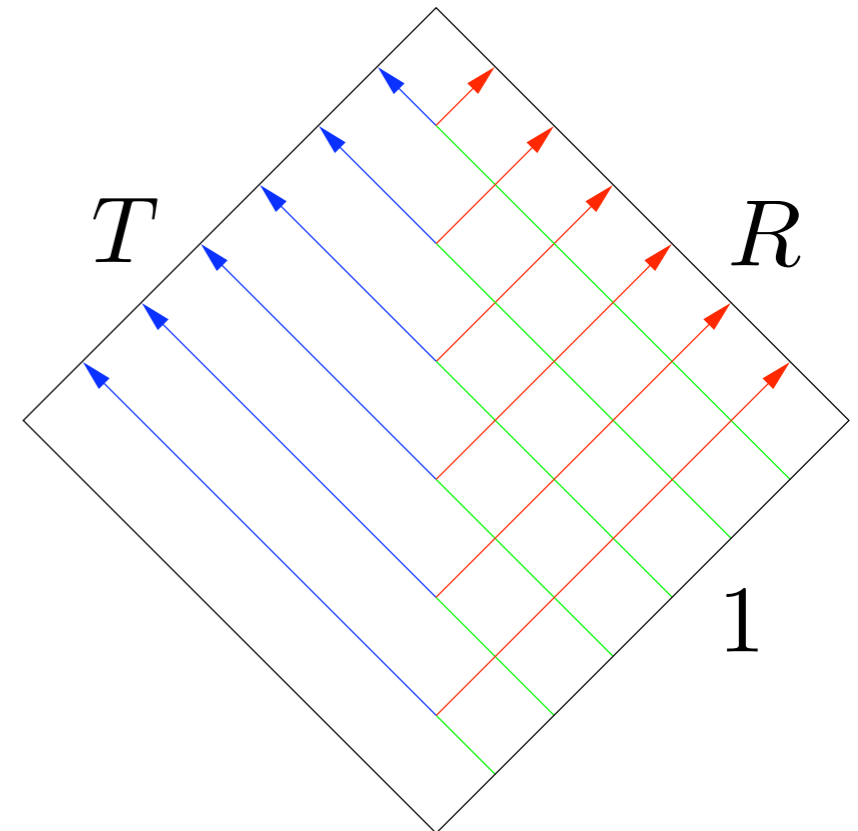
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Area theorem: $dA > 0$ if weak-energy condition $T_{\mu\nu}\xi^\mu\xi^\nu \geq 0 \quad \forall \xi^\mu$ tlike is satisfied $\Rightarrow dM < 0$ for $\omega\tilde{\omega} < 0$

Fermion modes

- All modes are **positive norm**:

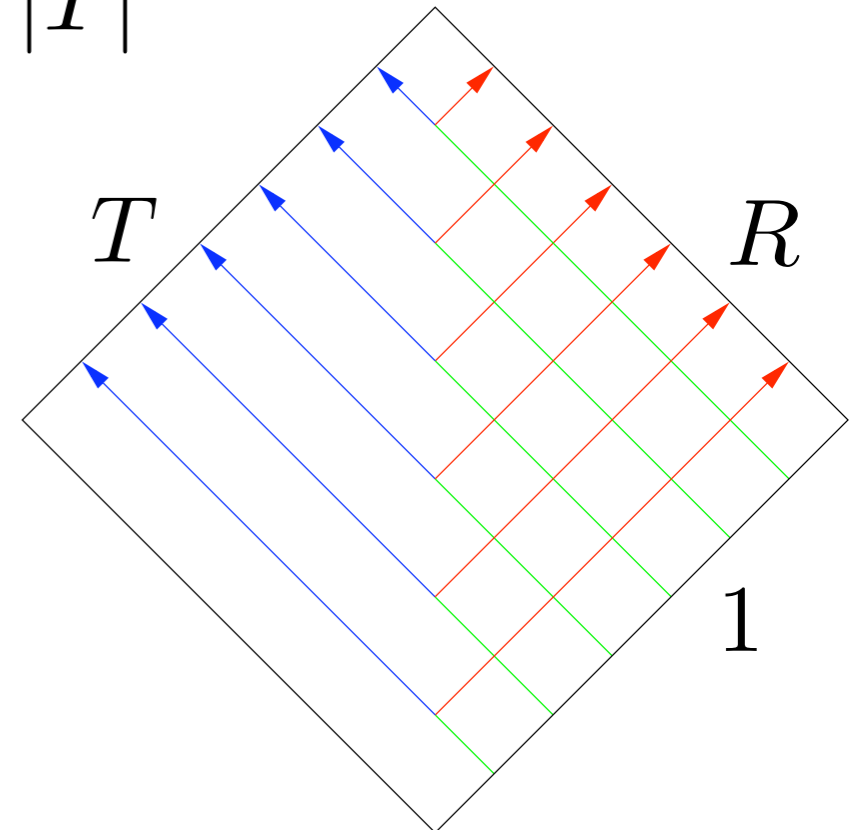
$$(\phi_{\Lambda}^{in}, \phi_{\Lambda}^{in}) > 0 \quad (\phi_{\Lambda}^{up}, \phi_{\Lambda}^{up}) > 0 \quad \forall \omega \in \mathbb{R} \quad \rightarrow \text{freedom in choice of p.f. modes}$$

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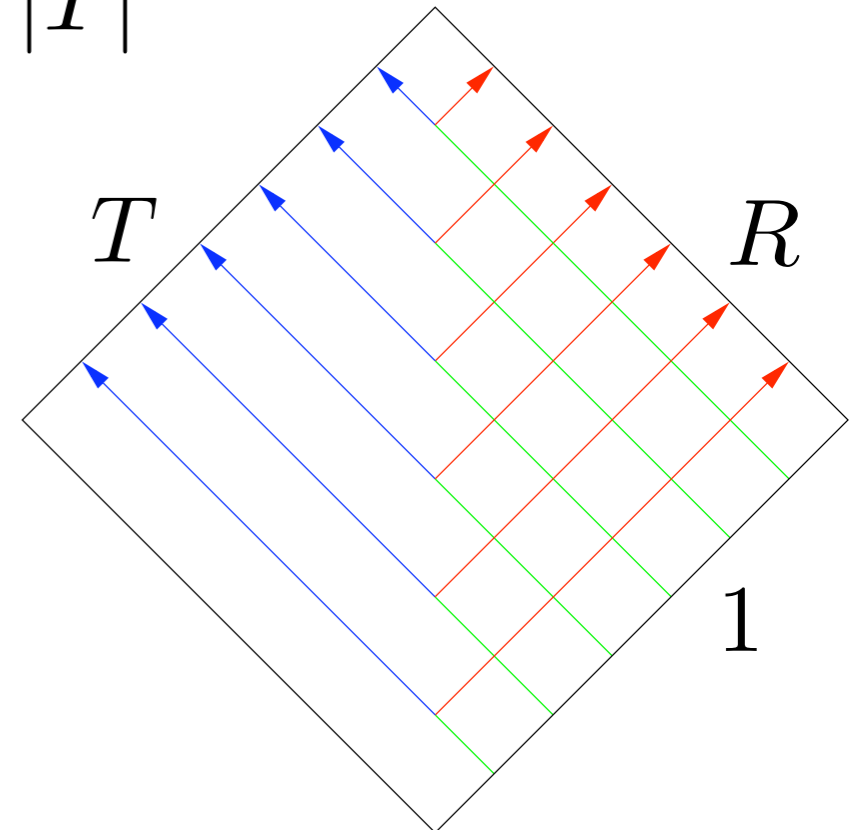


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- Fermions do not satisfy the weak-energy condition \rightarrow Area th. does not apply

States in Schwarzschild

$$\tilde{\omega} = \omega$$

● **Boulware** $\hat{\phi} = \sum_{\ell, m} \int_0^\infty d\omega \left(\phi_\Lambda^{in} \hat{a}_\Lambda^{in} + \phi_\Lambda^{up} \hat{a}_\Lambda^{up} + \text{n.f.} \right)$

$$\hat{a}_\Lambda^{in/up} |B\rangle = 0 \quad \forall \omega > 0$$

(1) empty at \mathcal{I}^\pm **(2) diverges at \mathcal{H}^\pm** **-> 'cold star'**

States in Schwarzschild

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(1) **empty** at \mathcal{I}^\pm (2) **diverges** at \mathcal{H}^\pm \rightarrow **'cold star'**

- **Unruh** $\hat{\phi} = \sum_{\ell, m} \int_0^\infty d\omega \left(\phi_\Lambda^{in} \hat{a}_\Lambda^{in} + \text{n.f.} \right) + \int_{-\infty}^\infty d\omega \left(\phi_\Lambda^{UP} \hat{a}_\Lambda^{UP} + \text{n.f.} \right)$

$$\hat{a}_\Lambda^{in} |U\rangle = 0 \quad \forall \omega > 0 \quad \hat{a}_\Lambda^{UP} |U\rangle = 0 \quad \forall \omega \in \mathbb{R}$$

(1) **Hawking radiation** at \mathcal{I}^+ (2) **diverges** at \mathcal{H}^- \rightarrow

\rightarrow **evaporating black hole**

States in Schwarzschild

● **Hartle-Hawking** $\hat{\phi} = \sum_{\ell, m} \int_{-\infty}^{\infty} d\omega \left(\phi_{\Lambda}^{IN} \hat{a}_{\Lambda}^{IN} + \text{n.f.} + \phi_{\Lambda}^{UP} \hat{a}_{\Lambda}^{UP} + \text{n.f.} \right)$

$$\langle \hat{a}_{\Lambda}^{IN/UP} | \rangle = 0 \quad \forall \omega \in \mathbb{R}$$

(1) has **symmetries** of spacetime (2) **regular** everywhere ->

-> black hole in (unstable) **thermal equilibrium** at
Hawking temperature

$$T_H = \frac{1}{8\pi M}$$

[State used in 'gauge-gravity duality']

States in Kerr - bosons

Defined as in Schwarzschild but $\rightarrow \sim$ for 'up' (because of positive norm)

● **'past Boulware'** $\hat{a}_{\Lambda}^{in} |B^{-}\rangle = 0 \quad \forall \omega > 0 \quad \hat{a}_{\Lambda}^{up} |B^{-}\rangle = 0 \quad \forall \tilde{\omega} > 0$

(1) empty at \mathcal{I}^{-} and \mathcal{H}^{-}

(2) has **Unruh-Starobinsky radiation** at \mathcal{I}^{+} from superradiant modes

-> there is **no state empty** at \mathcal{I}^{\pm}

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-> there is **no state empty** at \mathcal{I}^{\pm}

- **'past Unruh'**

As in Schwarzschild: (1) empty at \mathcal{I}^{-} , (2) Hawking radiation at \mathcal{I}^{+}

at Hawking temperature:
$$T_H = \frac{r_h^2 - a^2}{4\pi r_h (r_h^2 + a^2)}$$

States in Kerr - bosons

- **'Hartle-Hawking'**: constructed by Frolov&Thorne'89. But Ottewill&Winstanley'00 show it is **ill-defined** everywhere (except on axis of symmetry) due to a pole at $\tilde{\omega} = 0$:

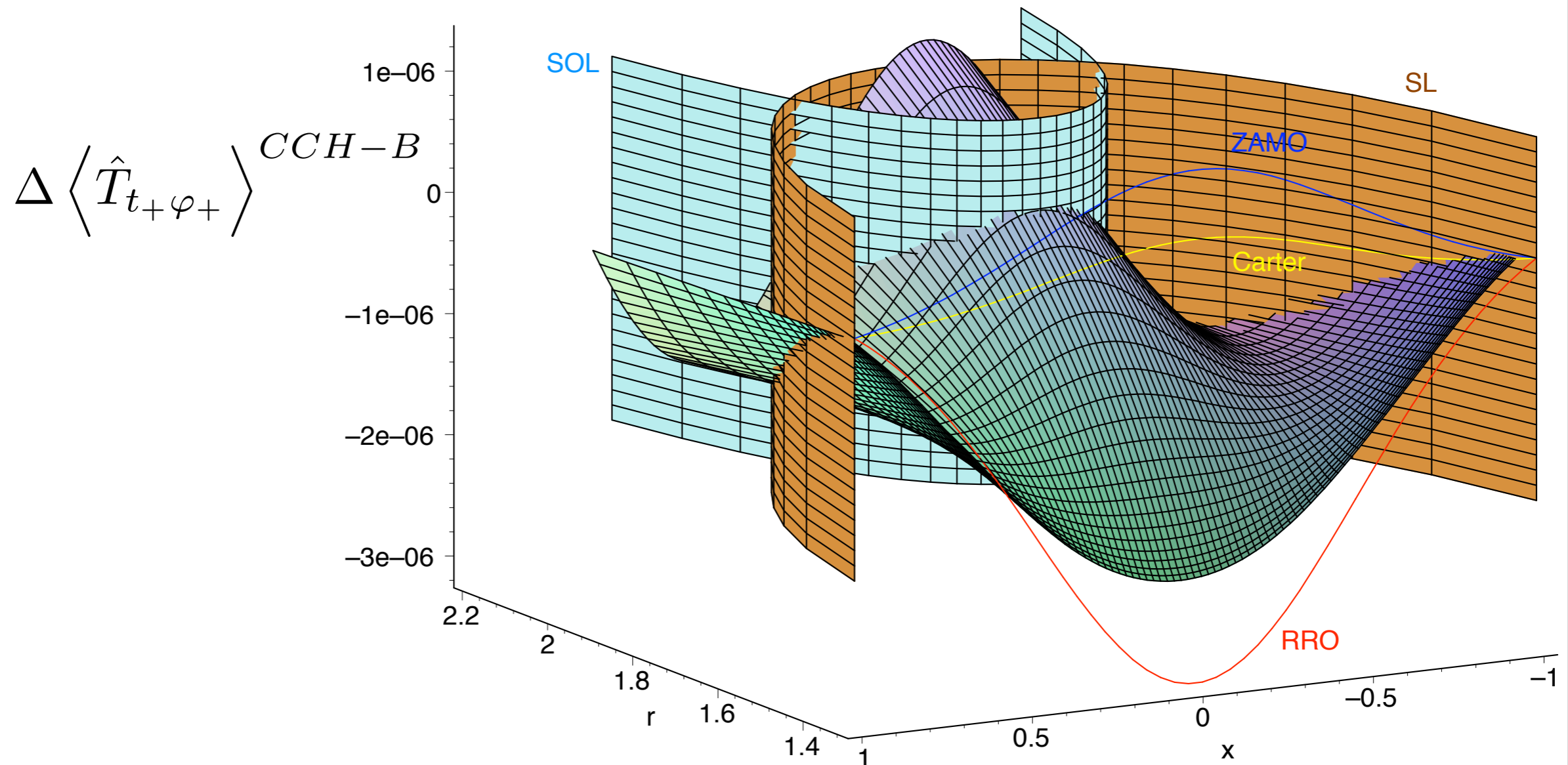
$$\langle U^- | \hat{\phi}^2 | U^- \rangle - \langle FT | \hat{\phi}^2 | FT \rangle \sim \sum_{\ell, m} \int_0^\infty d\omega \frac{|T|^2}{\omega (e^{\tilde{\omega}/T_H} - 1)}, \quad r \rightarrow r_h$$

[so its use in Kerr-CFT is not well justified]

States in Kerr - bosons

- **'CCH'** : constructed by Candelas, Chrzanowsky & Howard'81. Modes thermalized wrt their 'natural energy'.

Casals&Ottewill'05: CCH is regular everywhere but does not satisfy symmetries of space-time



States in Kerr - bosons

- **Kay&Wald'91: if there exists a (Hadamard) state which is regular everywhere and has symmetries of spacetime then it is thermal (ie, Hartle-Hawking).**

They prove that such a state does **not exist in Kerr....but what about fermions?**

States in Kerr - fermions

Remember: 'in' & 'up' have positive norm $\forall \omega \in \mathbb{R}$

- **'past Boulware'**: Similar as for bosons. It has **Unruh-Starobinsky radiation** (even if fermions have no classical superradiance) at \mathcal{I}^+

- **Boulware**: $\hat{a}_{\Lambda}^{in} |B\rangle = 0 \quad \forall \omega > 0$

- $\hat{a}_{\Lambda}^{up} |B\rangle = 0 \quad \forall \omega > 0$ (for bosons: $\forall \tilde{\omega} > 0$)

Expected to be **empty** at \mathcal{I}^{\pm} !

- **'past Unruh'** similar as for bosons (Hawking radiation at \mathcal{I}^+)

- **Hartle-Hawking** is **well-defined!**

but where is it regular?

Results: Fermions in Kerr

[Casals, Dolan, Nolan, Ottewill, Winstanley'13]

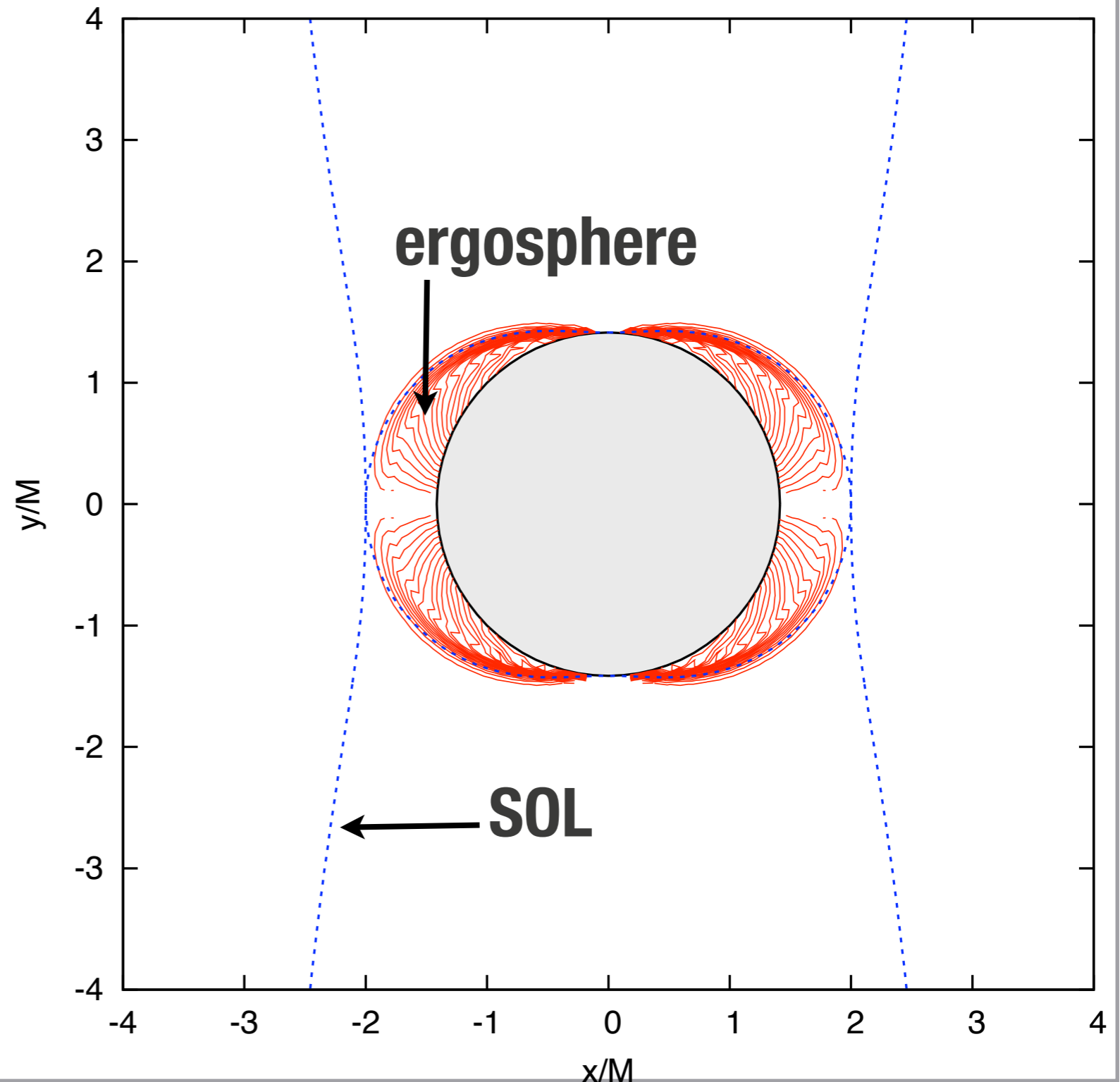
$$a = 0.91M$$

- Ratio test for terms in ℓ -sum for particle number current

$$\langle \hat{J}^r \rangle^{U^- - B}$$

- Regular on and outside SOL

- Divergence in ergosphere due to B-state

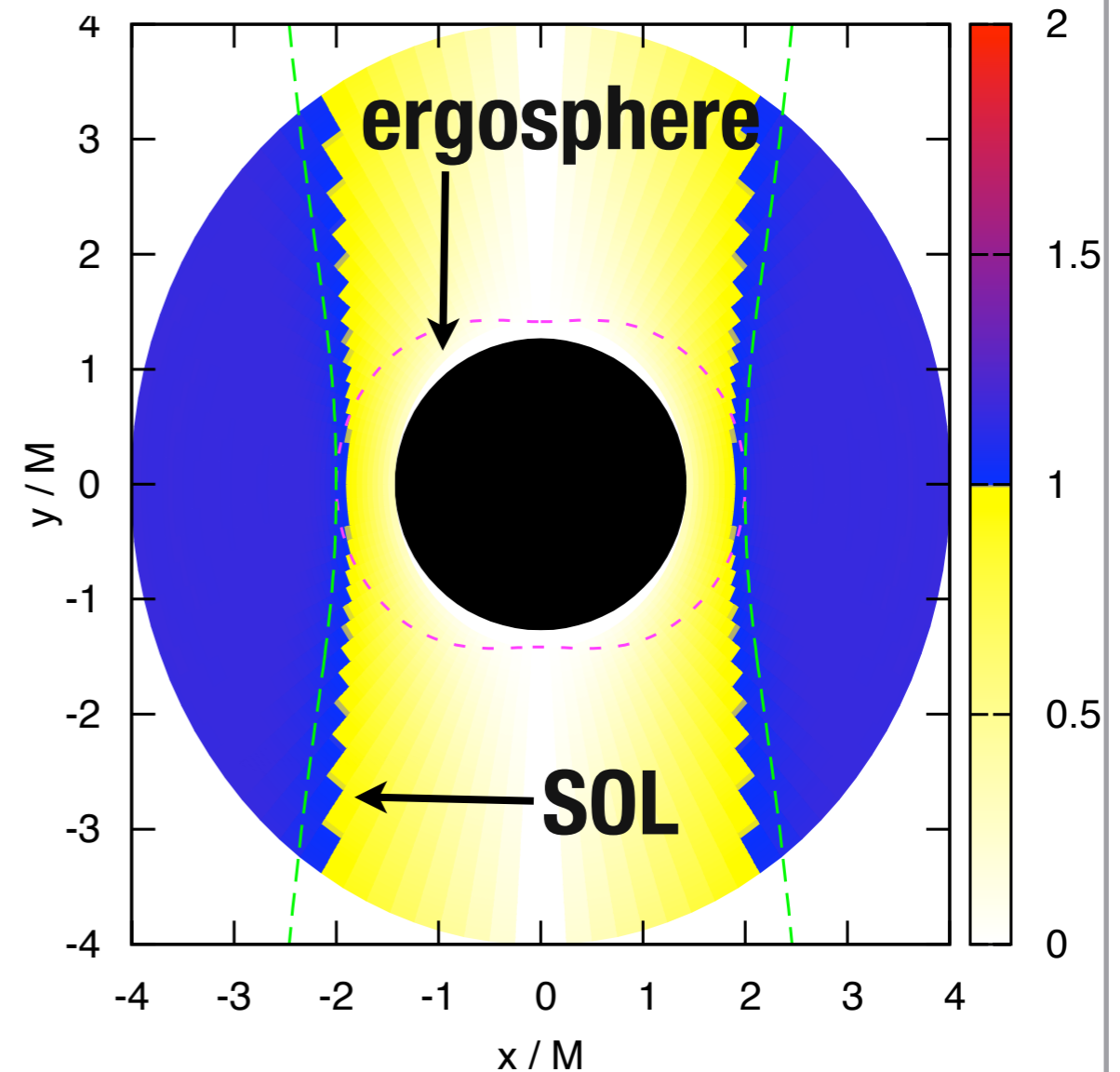


Results: Fermions in Kerr

- Ratio test for terms in ℓ -sum

for $\langle \hat{T}_{\theta\theta} \rangle^{H-U}$

- Divergence on SOL due to large- ℓ modes: thermal bath rotating with horizon?



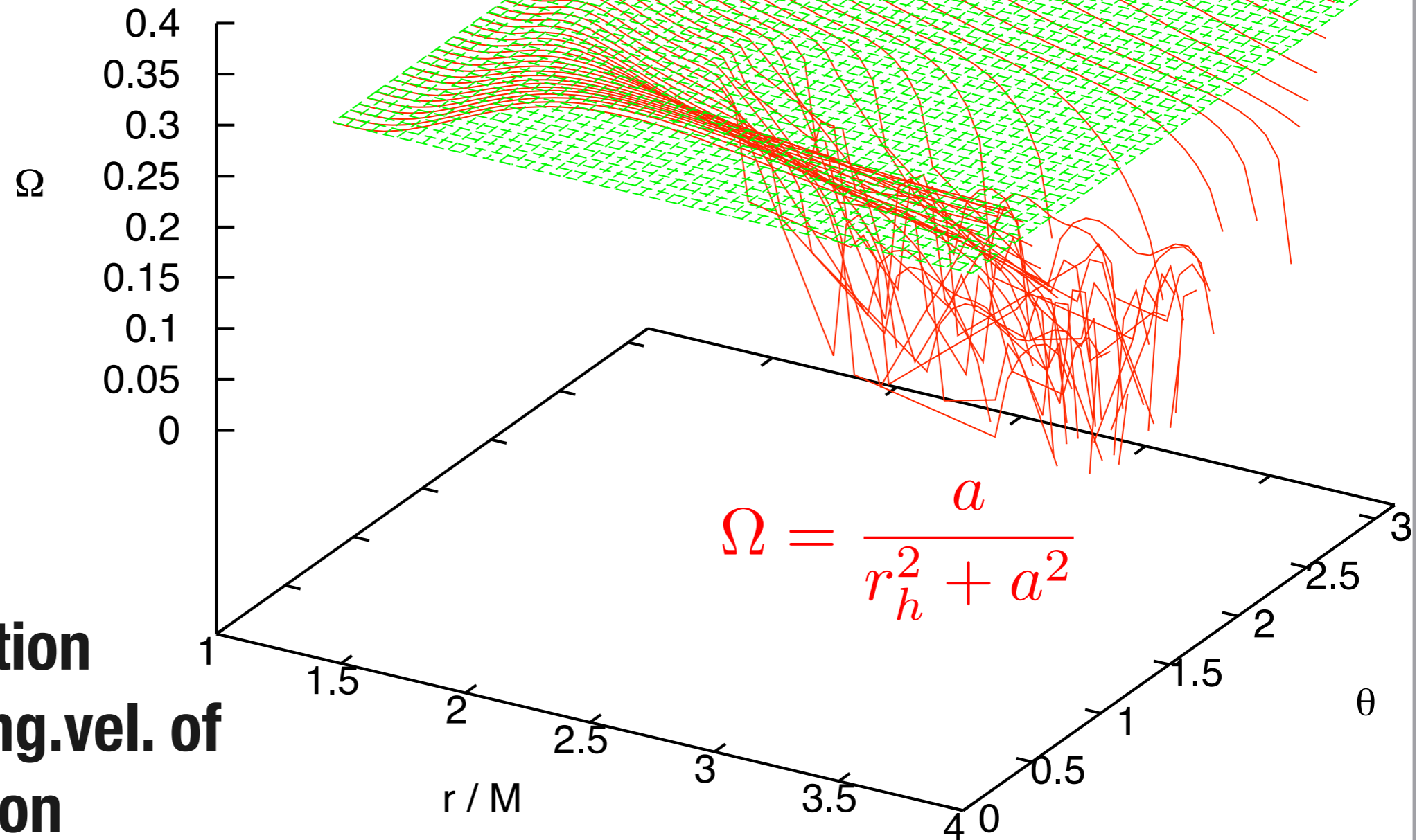
Results: Fermions in Kerr

• **Observer ZEF0: at $r, \theta = \text{const.}$**

and $\Omega_{ZEF0} = \frac{d\varphi}{dt}$ **s.t.**

$$\left\langle \hat{T}_{(t)(\varphi)} \right\rangle^{H-\tilde{B}} = 0$$

ZEF0 ———
Rigid rotation - - -



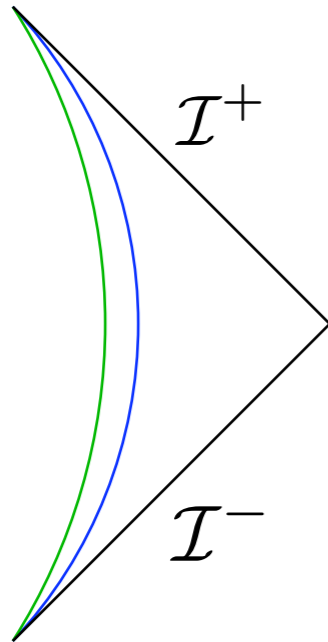
• **Rate of rotation approaches ang.vel. of b-h near horizon**

Conclusions

- **Bosons** in Kerr:
 - There exists no 'Boulware' state, empty at \mathcal{I}^\pm
 - 'Hartle-Hawking' state is ill-defined
- **Fermion** modes have positive norm for all frequencies. Therefore:
 - First ever construction of state ('Boulware') empty at \mathcal{I}^\pm
Diverges in ergosphere
 - First ever construction of thermal state ('Hartle-Hawking')
'Physical' divergence on SOL surface
- Future project: **QFT on Kerr-AdS**. For some values of cosmological constant and angular velocity there is no SOL

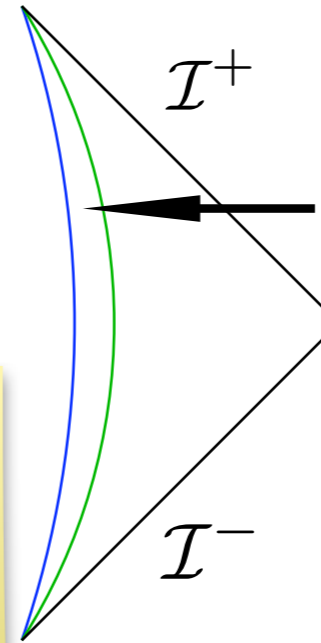
Classical stability for bosons

Mirror outside ergosphere



stable

Mirror inside ergosphere



$(\partial_t)^2 > 0$

**unstable
(Friedman'78)**

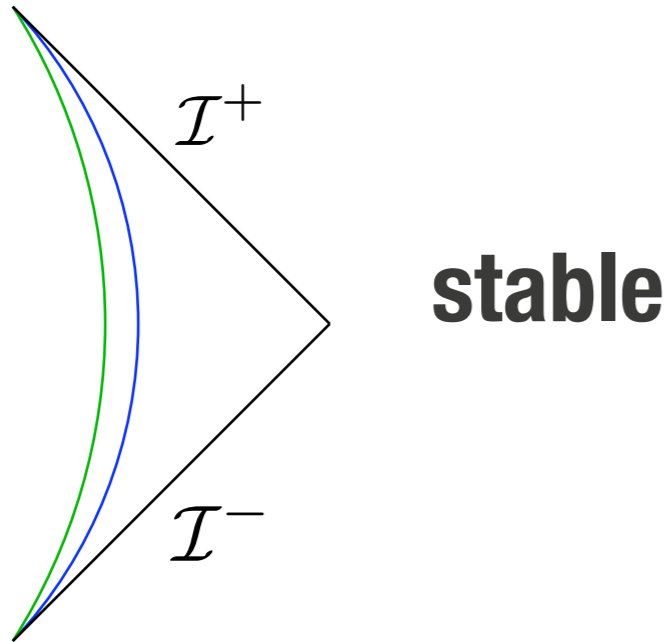
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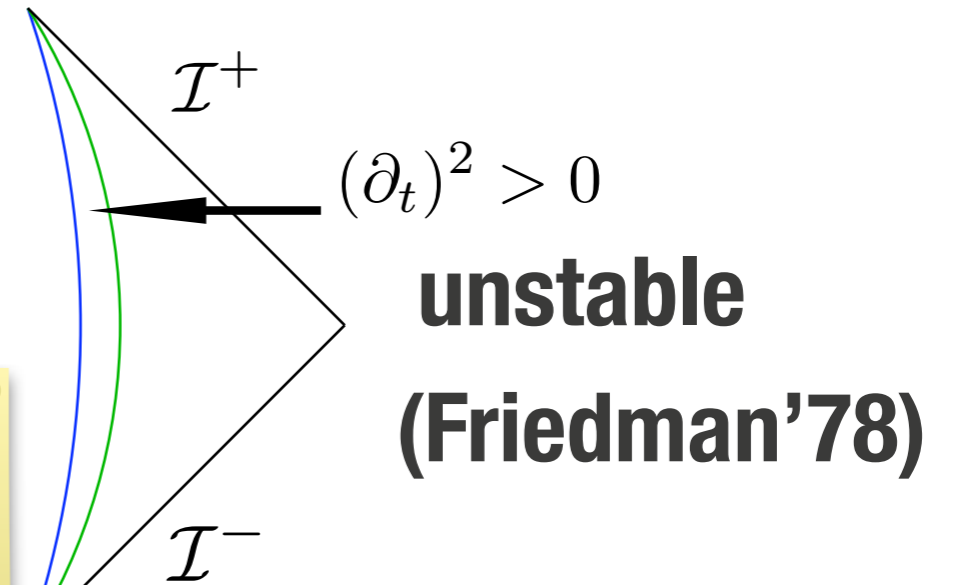
Also Cardoso, Dias, Lemos
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Classical stability for bosons

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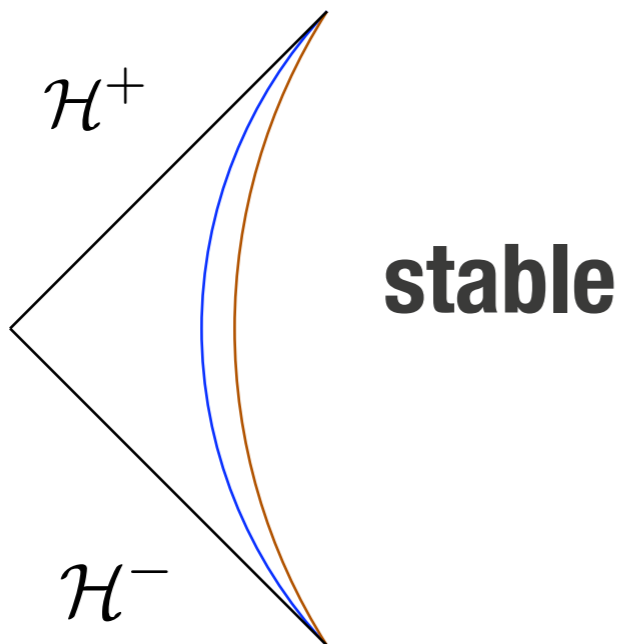
Mirror inside ergosphere



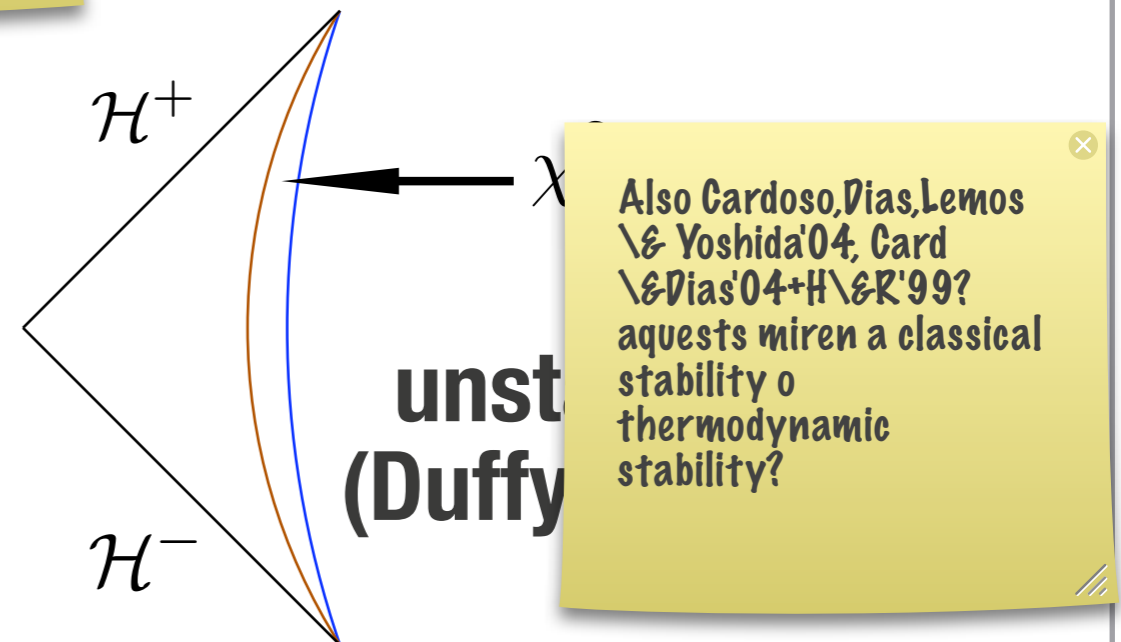
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Solving Dirac eq.

Dirac eq. $\gamma^\mu (\partial_\mu - \Gamma_\mu) \phi_\Lambda = 0$

4-spinor:
$$\phi_\Lambda = \frac{e^{im\varphi - i\omega t}}{4\pi [\Delta(r - ia \cos \theta)^2]^{1/4}} \begin{pmatrix} -1/2 R_\Lambda \cdot -1/2 S_\Lambda \\ \Delta^{1/2} 1/2 R_\Lambda \cdot 1/2 S_\Lambda \\ -1/2 R_\Lambda \cdot -1/2 S_\Lambda \\ \Delta^{1/2} 1/2 R_\Lambda \cdot 1/2 S_\Lambda \end{pmatrix}$$

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Decouple and separate by variables into 2nd order linear ODEs for radial and angular parts:

$$\left[\frac{d}{dx} \left((1 - x^2) \frac{d}{dx} \right) + (a\omega)^2 (x^2 - 1) - \frac{(m \pm x/2)^2}{1 - x^2} + \lambda + a\omega(2m \mp x) \pm \frac{1}{2} \right] \pm_{1/2} S_\Lambda(x) = 0$$

Eigenvalue- λ problem solved with 'shooting method' (5th order Runge-Kutta)

$$x \equiv \cos \theta$$

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$$\left[\Delta^{\mp 1/2} \frac{d}{dr} \left(\Delta^{\pm 1/2 + 1} \frac{d}{dr} \right) + \frac{\mp i(r - M)K + K^2}{\Delta} \pm 2i\omega r - \lambda \right] \pm_{1/2} R_\Lambda(r) = 0$$

$$K \equiv (r^2 + a^2)\omega - am$$

Expand about $r = r_h, \infty$ and integrate using Runge-Kutta

Classical stability for fermions

stable in all cases! (CDNOW)