

# Universality in few-body systems

Pascal Naidon, RIKEN

[ribf.riken.jp/~pascal/](http://ribf.riken.jp/~pascal/)

([snp.riken.jp](http://snp.riken.jp))



## Pascal Naidon, RIKEN

[ribf.riken.jp/~pascal/](http://ribf.riken.jp/~pascal/)

[snp.riken.jp](mailto:snp.riken.jp)



- 2005 PhD in France (Laboratoire Aimé Cotton, Orsay) in ultracold atom theory.  
**Formation of molecules in Bose-Einstein condensates**
- 2005-2008 Postdoctoral researcher at NIST (National Institute of Standards and Technology)  
**Properties of Alkaline-earth atoms for atomic clocks**
- 2008-2012 Postdoctoral researcher at the ERATO project of Masahito Ueda (The University of Tokyo).  
**Efimov states in ultracold-atom experiments**
- 2012 Research Scientist at RIKEN  
**Universal few-body and many-body physics**

# Aim

Look at a particular aspect of quantum physics: the **universal physics** that arises for **nearly-resonant short-range interactions**.

- > nuclear physics
- > atomic physics (cold atoms)
- > condensed matter, etc.

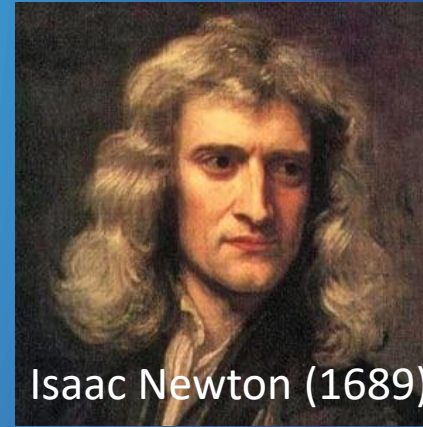
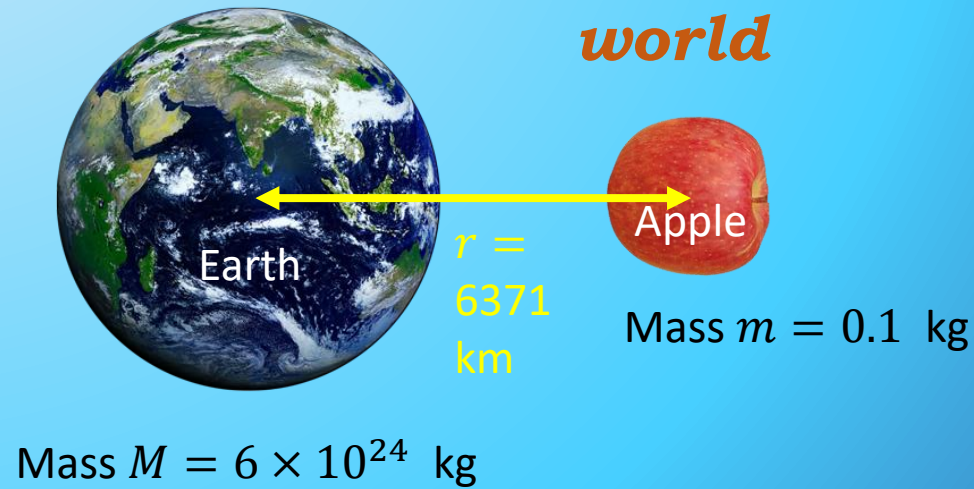
Look in detail at the case of two-body and three-body systems, and in particular the **Efimov effect**.

# What is universality?

A phenomenon is ***universal*** when it applies to *many different physical systems* with a simple law that depends upon just *a few parameters*.

# Gravitation is universal

## *Terrestrial world*



Universal law:  
long-range  
force

$$V(r) = -G \frac{Mm}{r}$$

## *Celestial world*



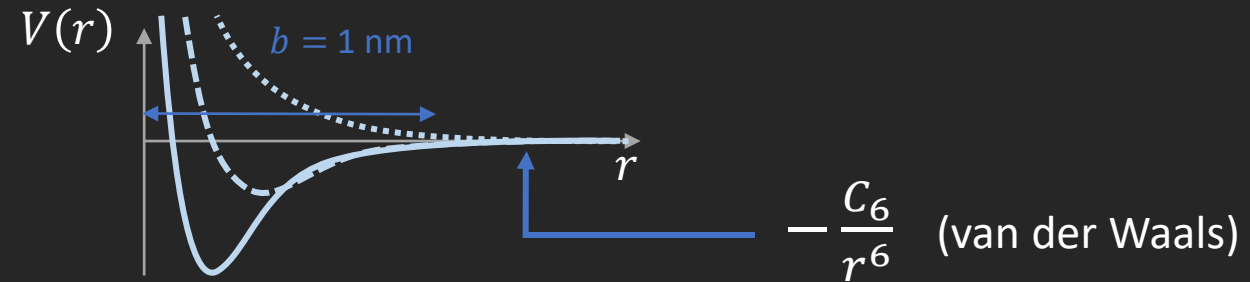
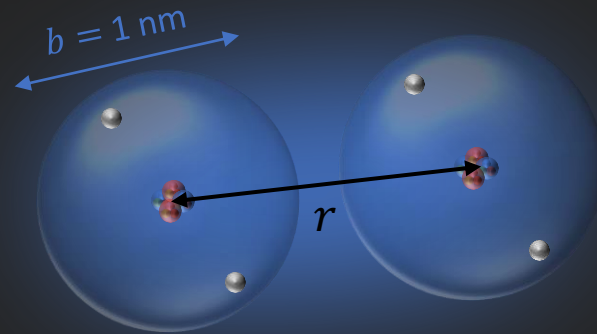
$$V(R) = -G \frac{MM'}{R}$$

# No apparent universality in the microscopic world

- Short-range forces
- Many different interaction potentials that depend on the nature and states of the particles

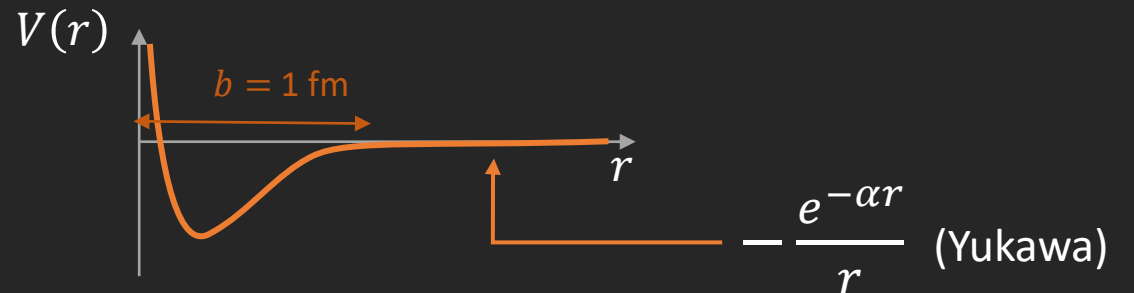
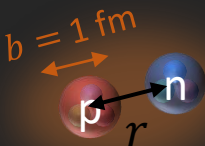
## Atomic world

Electromagnetic force



## Subatomic world

Strong force

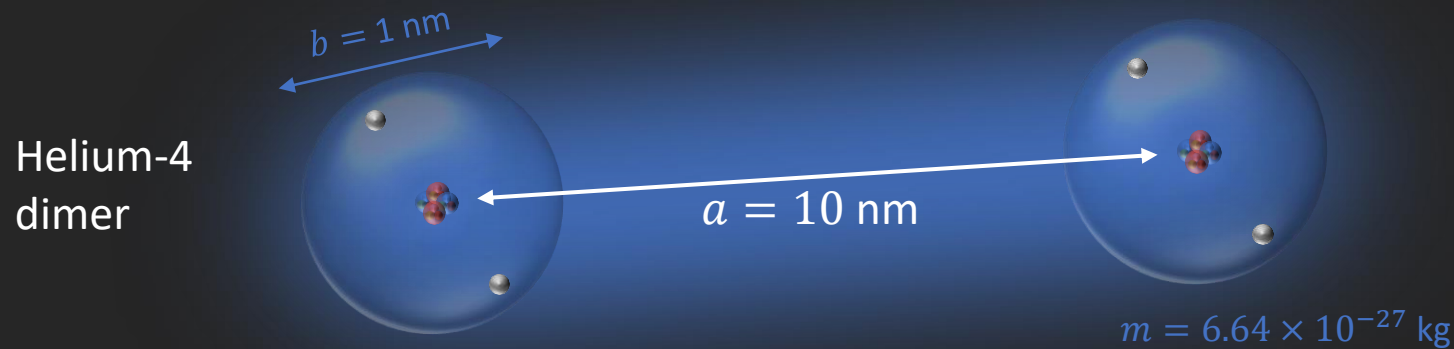


# “Zero-range” universality

Approximate  
universal law

## Atomic world

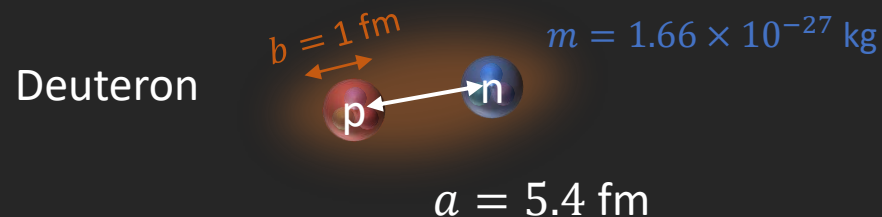
Electromagnetic force



$$E \approx -\frac{\hbar^2}{ma^2} = 1.0 \times 10^{-7} \text{ eV}$$

## Subatomic world

Strong force



$$E \approx -\frac{\hbar^2}{ma^2} = 1.4 \times 10^6 \text{ eV}$$

# “Zero-range” universality

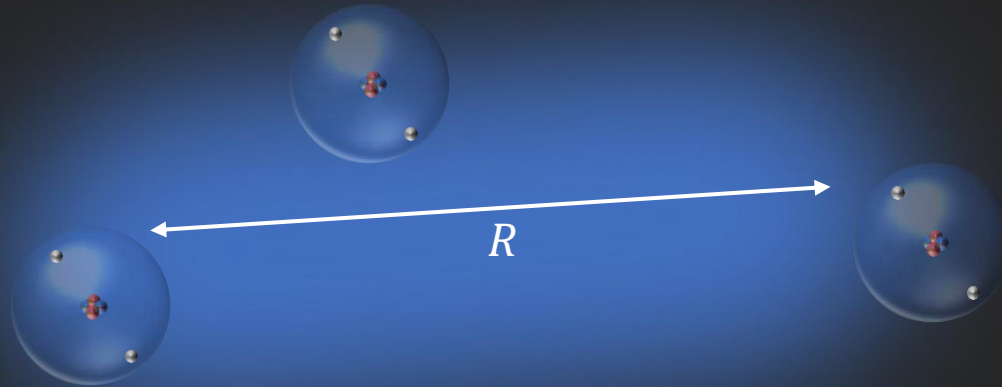
Approximate universal law:  
effective long-range force

*Efimov attraction*

## Atomic world

Electromagnetic force

Helium-4  
trimer

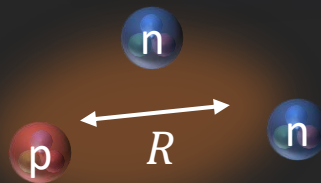


$$V(R) \approx -\frac{\hbar^2}{mR^2}$$

## Subatomic world

Strong force

Triton



$$V(R) \approx -\frac{\hbar^2}{mR^2}$$



# Plan

## 1 TWO-BODY PHYSICS

- Basic theory (resonances)
- Zero-range universality
- Feshbach resonances
- Zero-range theory
- Extras (Separable theory)

## 2 THREE-BODY PHYSICS

- History
  - Thomas collapse
  - STM's zero-range theory
  - Efimov's breakthrough
- Efimov effect and Efimov states

## 3 UNIVERSAL CLUSTERS

- Experimental observations in nuclear and atomic systems
- Mixtures of particles

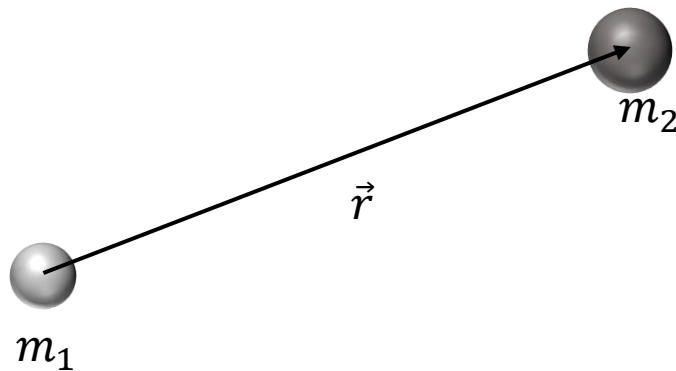
## 4 VAN DER WAALS UNIVERSALITY

- Two-body systems
- Three-body systems

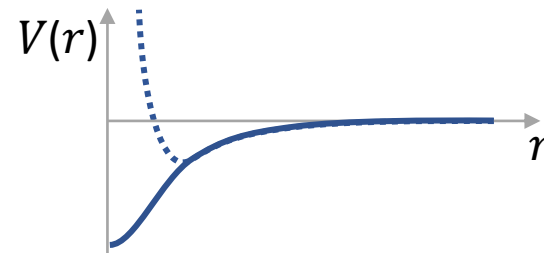
# Two-body physics

# Basic theory

We consider two particles of masses  $m_1$  and  $m_2$  with no internal degree of freedom. In their centre of mass, they are described by a relative vector  $\vec{r}$ .

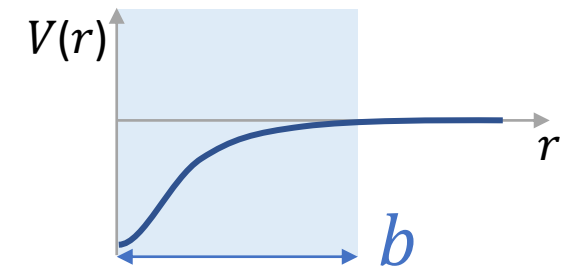


The particles interact via an isotropic (central) interaction potential  $V(r)$ , with  $r = |\vec{r}|$



**Attractive**

← Necessary for binding

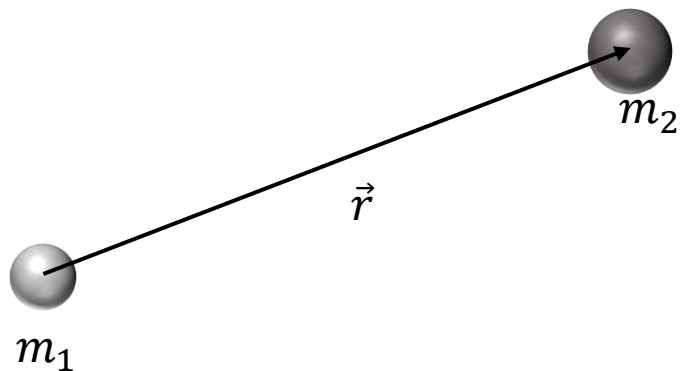


**Short range**

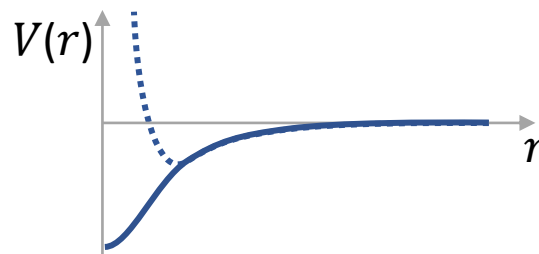
↔  $V(r)$  decays strictly faster than  $r^{-3}$

# Basic theory

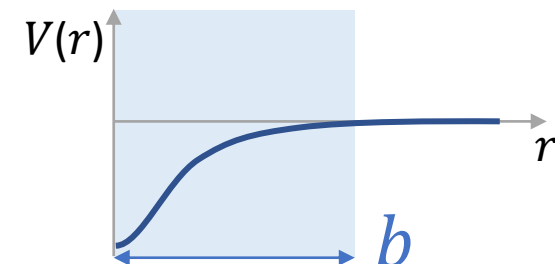
We consider two particles of masses  $m_1$  and  $m_2$  with no internal degree of freedom. In their centre of mass, they are described by a relative vector  $\vec{r}$ .



The particles interact via an isotropic (central) interaction potential  $V(r)$ , with  $r = |\vec{r}|$



**Attractive**  
 ← Necessary for binding



**Short range**  
 ↔  $V(r)$  decays strictly faster than  $r^{-3}$

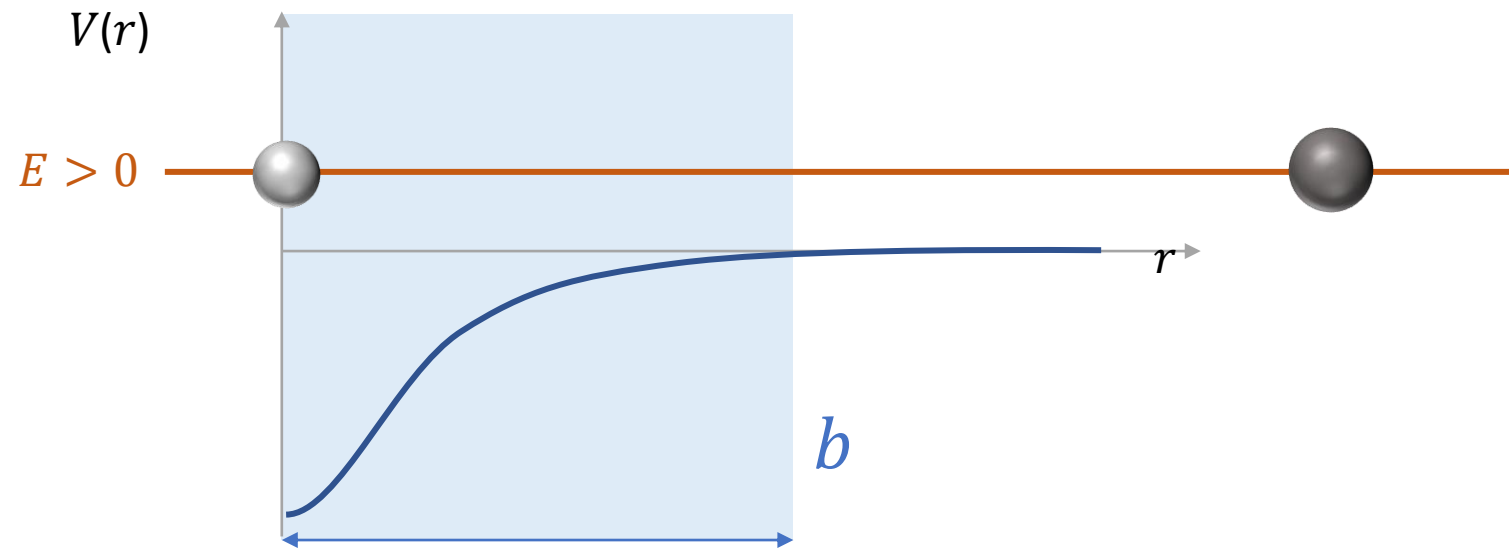
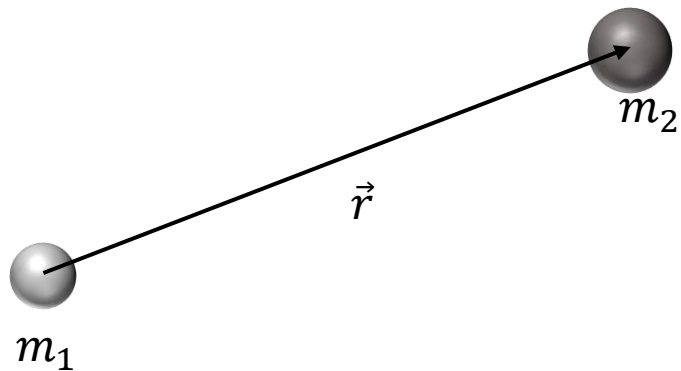
**Classically:**

$$\underbrace{\frac{1}{2} \mu \left( \frac{d\vec{r}}{dt} \right)^2}_{\text{Kinetic energy}} + \underbrace{V(r)}_{\text{Interaction energy}} = \underbrace{E}_{\text{Total energy}}$$

Reduced mass:  $\mu = \left( \frac{1}{m_1} + \frac{1}{m_2} \right)^{-1}$

# Basic theory

We consider two particles of masses  $m_1$  and  $m_2$  with no internal degree of freedom. In their centre of mass, they are described by a relative vector  $\vec{r}$ .



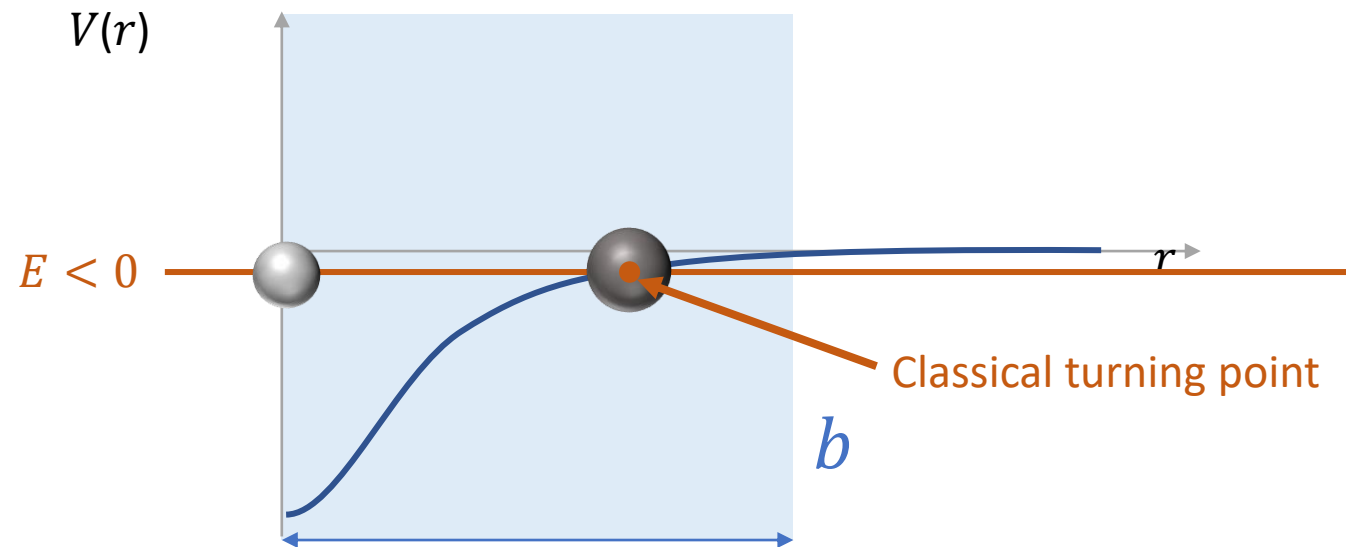
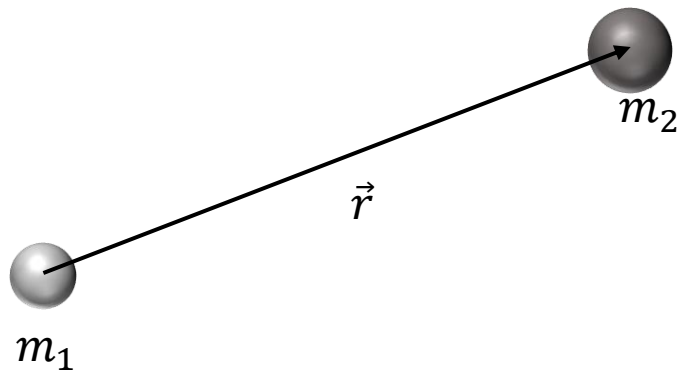
**Classically:**

$$\underbrace{\frac{1}{2} \mu \left( \frac{d\vec{r}}{dt} \right)^2}_{\text{Kinetic energy}} + \underbrace{V(r)}_{\text{Interaction energy}} = \underbrace{E}_{\text{Total energy}}$$

Reduced mass:  $\mu = \left( \frac{1}{m_1} + \frac{1}{m_2} \right)^{-1}$

# Basic theory

We consider two particles of masses  $m_1$  and  $m_2$  with no internal degree of freedom. In their centre of mass, they are described by a relative vector  $\vec{r}$ .

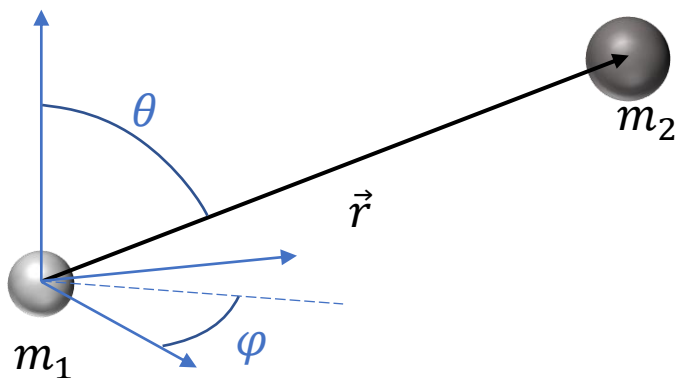


**Classically:**

$$\underbrace{\frac{1}{2}\mu\left(\frac{d\vec{r}}{dt}\right)^2}_{\text{Kinetic energy}} + \underbrace{V(r)}_{\text{Interaction energy}} = \underbrace{E}_{\text{Total energy}}$$

Reduced mass:  $\mu = \left(\frac{1}{m_1} + \frac{1}{m_2}\right)^{-1}$

# Basic theory



Quantum mechanically, the vector  $\vec{r}$  is described by a wave function  $\psi(\vec{r})$ .

Schrödinger equation at energy  $E$ : 
$$\left( -\frac{\hbar^2}{2\mu} \nabla_r^2 + V(r) - E \right) \psi(\vec{r}) = 0$$

$$\left( -\nabla_r^2 + \frac{2\mu}{\hbar^2} V(r) - \frac{2\mu}{\hbar^2} E \right) \psi(\vec{r}) = 0$$

$$\frac{1}{r} \frac{\partial^2}{\partial r^2} (r \cdot) + \frac{1}{r^2} \left( \underbrace{\cot \theta \frac{\partial}{\partial \theta} + \frac{\partial^2}{\partial \theta^2}}_{\ell(\ell+1)} + \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \varphi^2} \right) \underbrace{P_\ell(\cos \theta)}_{\text{Legendre polynomial}}$$

Spherical coordinates:

$$\psi(\vec{r}) = \psi(r, \theta, \varphi)$$

Partial wave expansion:

$$= \sum_{\ell=0}^{\infty} \frac{u_\ell(r)}{r} P_\ell(\cos \theta)$$

- $\ell = 0$ : s wave
- $\ell = 1$ : p wave
- $\ell = 2$ : d wave

Radial Schrödinger equations:

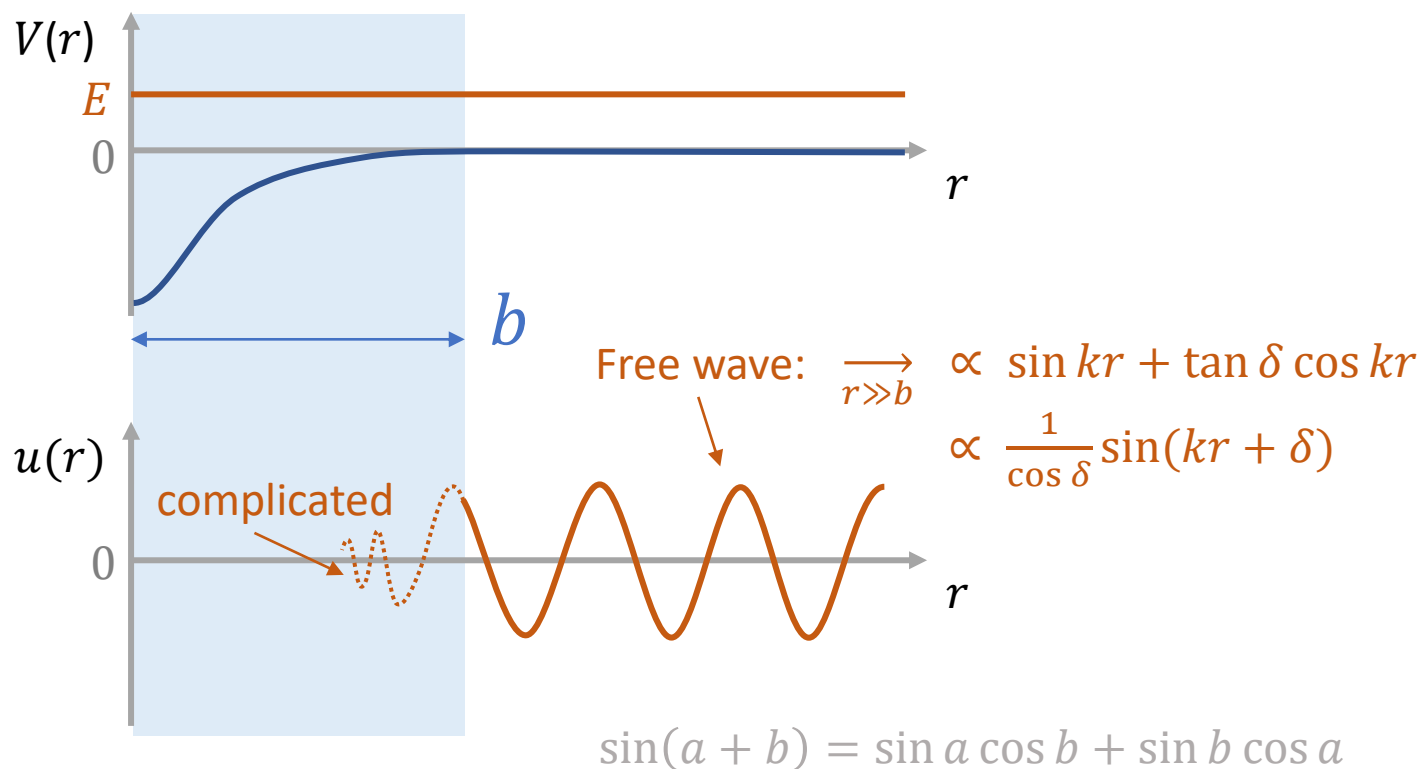
$$\left( \underbrace{-\frac{d^2}{dr^2}}_{\text{Radial kinetic energy}} + \underbrace{\frac{\ell(\ell+1)}{r^2}}_{\text{Centrifugal repulsion}} + \frac{2\mu}{\hbar^2} V(r) - \frac{2\mu}{\hbar^2} E \right) u_\ell(r) = 0$$

Radial kinetic energy      Centrifugal repulsion

# Basic theory: s wave

Radial Schrödinger equations:  $\left(-\frac{d^2}{dr^2} + \frac{2\mu}{\hbar^2}V(r) - \underbrace{\frac{2\mu}{\hbar^2}E}_{-k^2}\right)u(r) = 0$   $k$ : wave number

Positive energy  $E = \frac{\hbar^2 k^2}{2\mu} \geq 0$



## Phase shift:

$\delta = 0$  modulo  $\pi$  : effectively non-interacting  
 $\delta \approx \frac{\pi}{2}$  modulo  $\pi$  : **resonant interaction**

At low scattering energy  $E$ , in the s wave:

For  $k \ll b^{-1}$ ,  $\tan \delta \approx -ka$

**"Scattering length"**  
 (positive or negative)



## Justification:

With potential  $v$ :  $\left(-\frac{d^2}{dr^2} + v(r) - k^2\right)u(r) = 0$

With potential  $\bar{v}$ :  $\left(-\frac{d^2}{dr^2} + \bar{v}(r) - k^2\right)\bar{u}(r) = 0$

$\times \bar{u}_\ell$

$$-\bar{u}u'' + (v - k^2)\bar{u}u = 0$$

$\times u_\ell$

$$-u\bar{u}'' + (\bar{v} - k^2)u\bar{u} = 0$$

Subtract:  $u\bar{u}'' - \bar{u}u'' + (v - \bar{v})\bar{u}u = 0$

$$(u\bar{u}' - \bar{u}u')' + (v - \bar{v})\bar{u}u = 0$$

$$(u\bar{u}' - \bar{u}u')' = -(v - \bar{v})\bar{u}u$$

At low scattering energy  $E$ , in the s wave:

For  $k \ll b^{-1}$ ,

$$\tan\delta \approx -ka$$

“Scattering length”  
(positive or negative)

Condition for  $r = 0$ :

$$u(0) = 0$$

$$\bar{u}(0) = 0$$

For  $r \gg b$ :

$$u(r) \rightarrow \frac{1}{k \cos \delta} \sin(kr + \delta)$$

$$\bar{u}(r) \rightarrow \frac{1}{k \cos \bar{\delta}} \sin(kr + \bar{\delta})$$

## Justification:

With potential  $v$ :  $\left(-\frac{d^2}{dr^2} + v(r) - k^2\right)u(r) = 0$

With potential  $\bar{v}$ :  $\left(-\frac{d^2}{dr^2} + \bar{v}(r) - k^2\right)\bar{u}(r) = 0$

$$(u\bar{u}' - \bar{u}u')' = -(v - \bar{v})\bar{u}u$$

$$[u\bar{u}' - \bar{u}u']_0^\infty = -\int_0^\infty (v - \bar{v})\bar{u}u dr$$

$$\left[\frac{\sin(kr+\delta)\cos(kr+\bar{\delta}) - \sin(kr+\bar{\delta})\cos(kr+\delta)}{k\cos\delta\cos\bar{\delta}} - 0\right] = -\int_0^\infty (v - \bar{v})\bar{u}u dr$$

$$\frac{\sin(\delta - \bar{\delta})}{k\cos\delta\cos\bar{\delta}} = -\int_0^\infty (v - \bar{v})\bar{u}u dr$$

$\bar{v} \rightarrow 0$  ( $\bar{\delta} \rightarrow 0$ )  $\frac{\tan\delta}{k} = -\int_0^\infty v(r)\frac{\sin(kr)}{k}u(r)dr$

$$\sin(a+b) = \sin a \cos b + \sin b \cos a$$

At low scattering energy  $E$ , in the s wave:

For  $k \ll b^{-1}$ ,

$$\tan\delta \approx -ka$$

“Scattering length”  
(positive or negative)

Condition for  $r = 0$ :

$$u(0) = 0$$

$$\bar{u}(0) = 0$$

For  $r \gg b$ :

$$u(r) \rightarrow \frac{1}{k\cos\delta}\sin(kr + \delta)$$

$$\bar{u}(r) \rightarrow \frac{1}{k\cos\bar{\delta}}\sin(kr + \bar{\delta})$$

$$u'(r) \xrightarrow{r \gg b} \frac{1}{\cos\delta}\cos(kr + \delta)$$

$$\bar{u}'(r) \xrightarrow{r \gg b} \frac{1}{\cos\bar{\delta}}\cos(kr + \bar{\delta})$$

## Justification:

With potential  $v$ :  $\left(-\frac{d^2}{dr^2} + v(r) - k^2\right)u(r) = 0$

With potential  $\bar{v}$ :  $\left(-\frac{d^2}{dr^2} + \bar{v}(r) - k^2\right)\bar{u}(r) = 0$

$\bar{v} \rightarrow 0$  ( $\bar{\delta} \rightarrow 0$ )

$$\frac{\tan \delta}{k} = - \int_0^\infty v(r) \frac{\sin(kr)}{k} u(r) dr$$

$$\approx - \underbrace{\int_0^b v(r) r \frac{\sin(kr)}{kr} u(r) dr}_{a}$$

For  $kb \ll 1$

$$\frac{\sin kr}{kr} \xrightarrow{kb \ll 1} 1$$

$$\int_0^b v(r) r u^{(0)}(r) dr = a$$

$$u(r) \xrightarrow{kb \ll 1} u^{(0)}(r)$$

The scattering length  $a$  is well defined if the potential  $v(r)$  decays faster than  $1/r^3$ .

At low scattering energy  $E$ , in the s wave:

For  $k \ll b^{-1}$ ,  $\tan \delta \approx -ka$

“Scattering length”  
(positive or negative)

Condition for  $r = 0$ :

$$u(0) = 0$$

$$\bar{u}(0) = 0$$

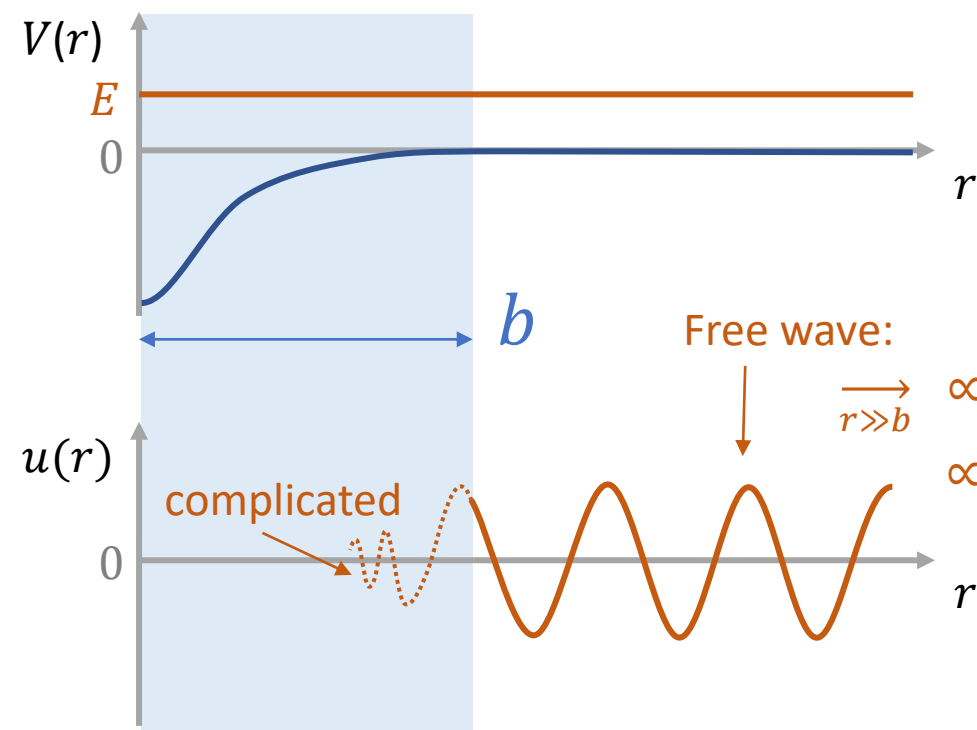
$$u(r) \xrightarrow{r \gg b} \frac{\sin kr + \tan \delta \cos kr}{k} \xrightarrow{kb \rightarrow 0} r + \frac{\tan \delta}{k}$$

$$\bar{u}(r) = \frac{\sin kr}{k}$$

# Basic theory: s wave

Radial Schrödinger equations: 
$$\left( -\frac{d^2}{dr^2} + \frac{2\mu}{\hbar^2} V(r) - \frac{2\mu}{\hbar^2} E \right) \underbrace{u(r)}_{-k^2} = 0$$

Positive energy  $E = \frac{\hbar^2 k^2}{2\mu} \geq 0$



$k$ : wave number

$\delta = 0$  modulo  $\pi$  : effectively non-interacting  
 $\delta \approx \frac{\pi}{2}$  modulo  $\pi$  : **resonant interaction**

At low scattering energy  $E$ , in the s wave:

For  $k \ll b^{-1}$ ,

$\tan \delta \approx -ka$

**“Scattering length”**  
 (positive or negative)

Low-energy s-wave **resonance**:  $|a| \gg b$

**Unitarity (or unitary limit)**:  $a \rightarrow \pm\infty$

Cross section:  $\sigma = \frac{4\pi}{k^2} \sin^2 \delta \leq \frac{4\pi}{k^2}$

# Basic theory

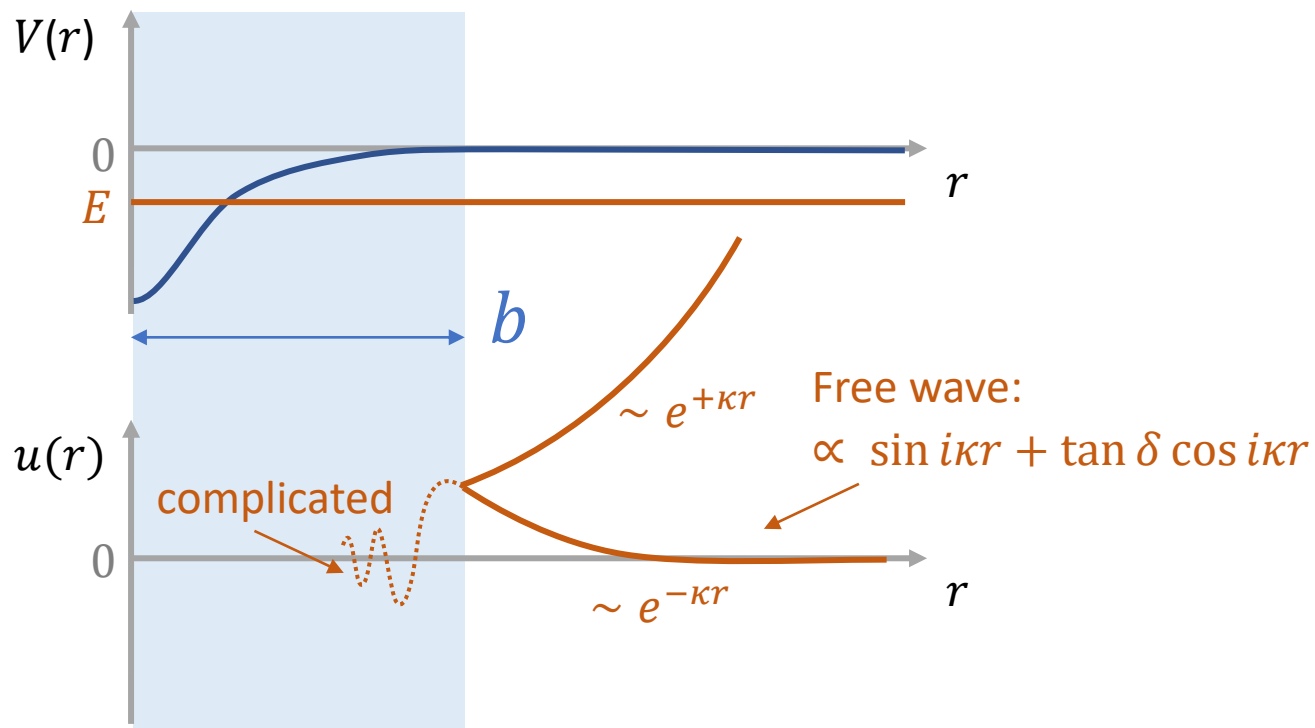
Radial Schrödinger equations: 
$$\left( -\frac{d^2}{dr^2} + \frac{\ell(\ell+1)}{r^2} + \frac{2\mu}{\hbar^2} V(r) - \underbrace{\frac{2\mu}{\hbar^2} E}_{+\kappa^2} \right) u_\ell(r) = 0$$

$k \rightarrow i\kappa$

$\kappa \geq 0$ : binding wave number

Negative energy  $E = -\frac{\hbar^2 \kappa^2}{2\mu} \leq 0$

$$\begin{aligned} & \sin i\kappa r + \tan \delta \cos i\kappa r \\ & \propto \frac{e^{-\kappa r} - e^{+\kappa r}}{2i} + \tan \delta \frac{e^{-\kappa r} + e^{+\kappa r}}{2} \\ & \propto \left( \tan \delta + \frac{1}{i} \right) \frac{e^{-\kappa r}}{2} + \underbrace{\left( \tan \delta - \frac{1}{i} \right) \frac{e^{+\kappa r}}{2}}_0 \end{aligned}$$



Physical state (bound state) :  $\tan \delta = \frac{1}{i}$   
 (quantisation of the energy: discrete levels)

For small energy  $E$ , in the s wave:

For  $k \ll b^{-1}$ ,  $\tan \delta \approx -ka = -i\kappa a$

$\Rightarrow \kappa \approx 1/a$   $E \approx -\frac{\hbar^2}{2\mu a^2}$  (For  $|a| \gg b$ )

# Basic theory

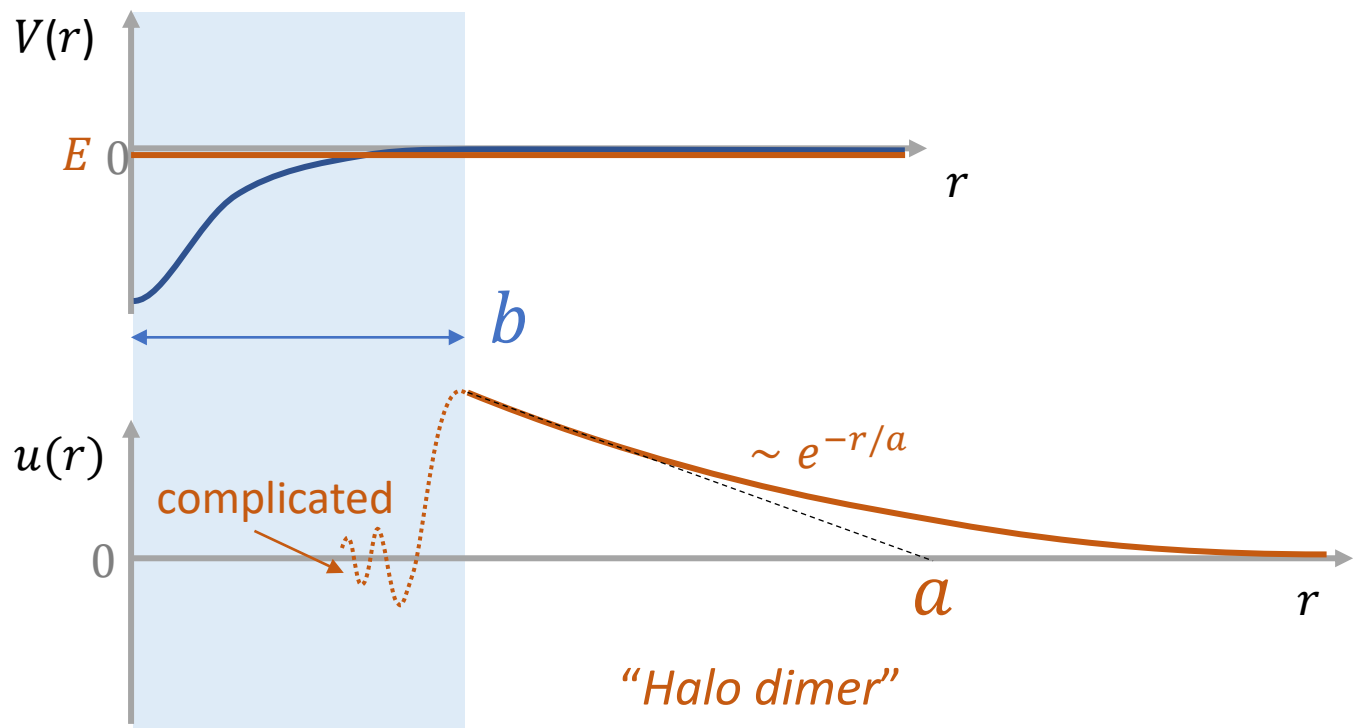
Radial Schrödinger equations: 
$$\left( -\frac{d^2}{dr^2} + \frac{\ell(\ell+1)}{r^2} + \frac{2\mu}{\hbar^2} V(r) - \underbrace{\frac{2\mu}{\hbar^2} E}_{+\kappa^2} \right) u_\ell(r) = 0$$

$k \rightarrow i\kappa$

$\kappa \geq 0$ : binding wave number

Negative energy  $E = -\frac{\hbar^2 \kappa^2}{2\mu} \leq 0$

$$\begin{aligned} & \sin i\kappa r + \tan \delta \cos i\kappa r \\ & \propto \frac{e^{-\kappa r} - e^{+\kappa r}}{2i} + \tan \delta \frac{e^{-\kappa r} + e^{+\kappa r}}{2} \\ & \propto \left( \tan \delta + \frac{1}{i} \right) \frac{e^{-\kappa r}}{2} + \underbrace{\left( \tan \delta - \frac{1}{i} \right) \frac{e^{+\kappa r}}{2}}_0 \end{aligned}$$



*“Halo dimer”*  
Extends beyond the classical turning point

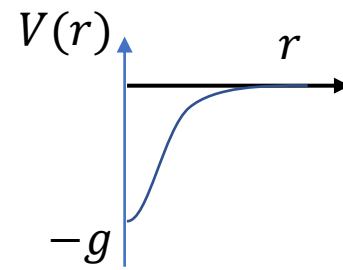
Physical state (bound state) :  $\tan \delta = \frac{1}{i}$   
(quantisation of the energy: discrete levels)

For small energy  $E$ , in the s wave:

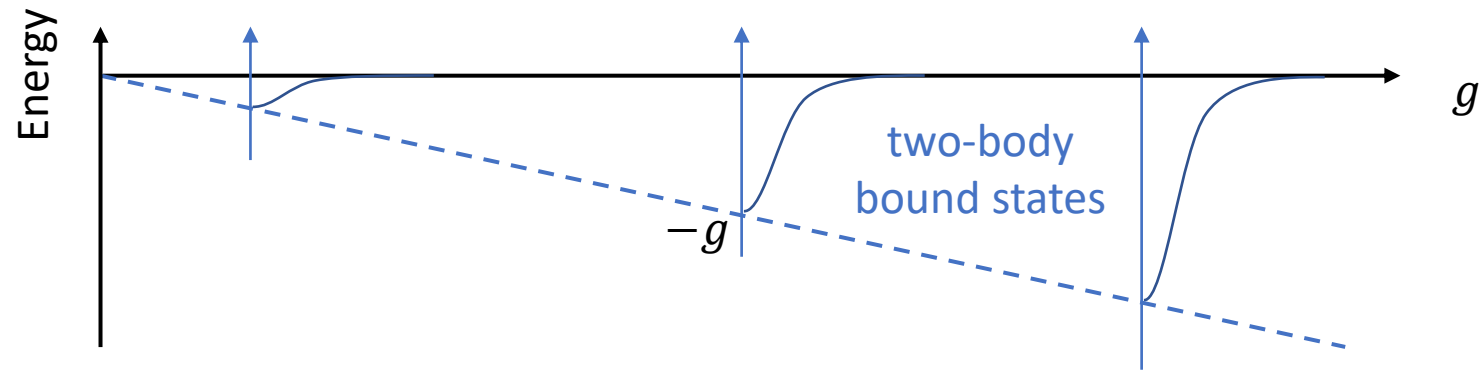
For  $k \ll b^{-1}$ ,  $\tan \delta \approx -ka = -ika$

$\Rightarrow \kappa \approx 1/a$   $E \approx -\frac{\hbar^2}{2\mu a^2}$  (For  $|a| \gg b$ )

Short-range attractive interaction potential

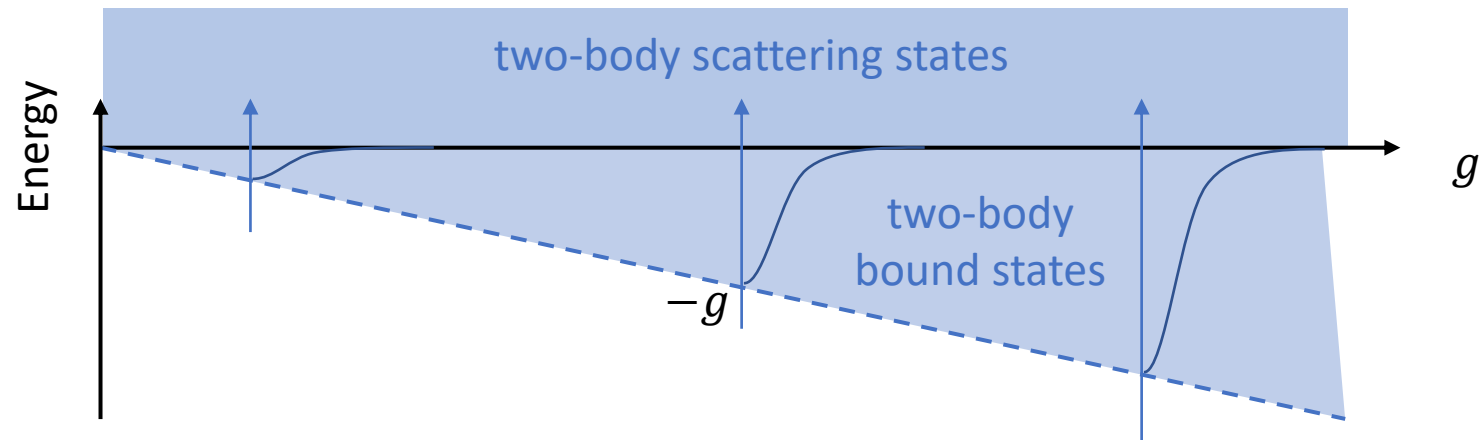


Short-range attractive interaction potential



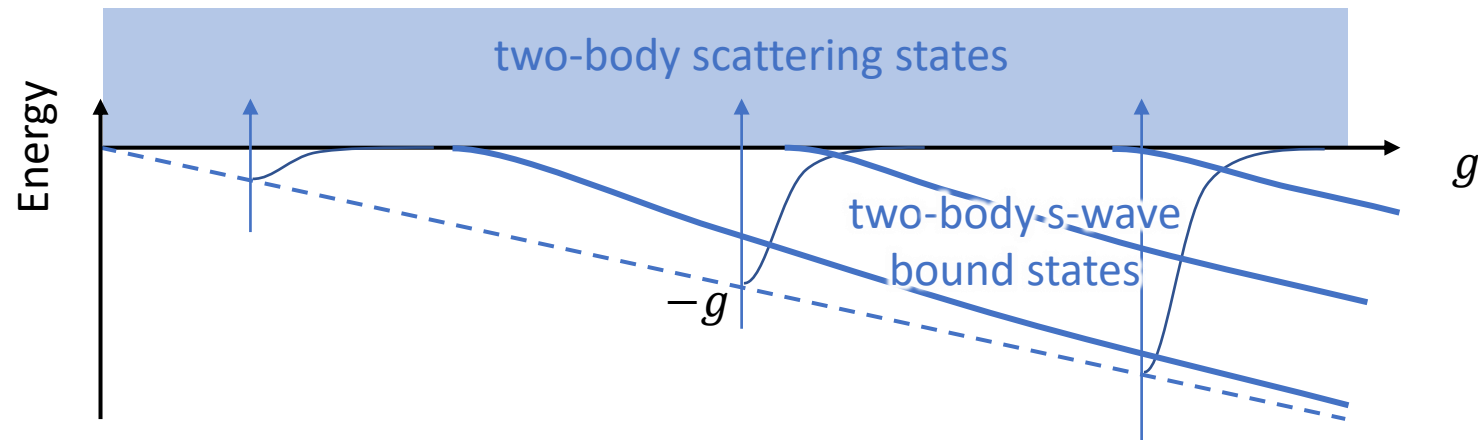


## Binding in classical systems



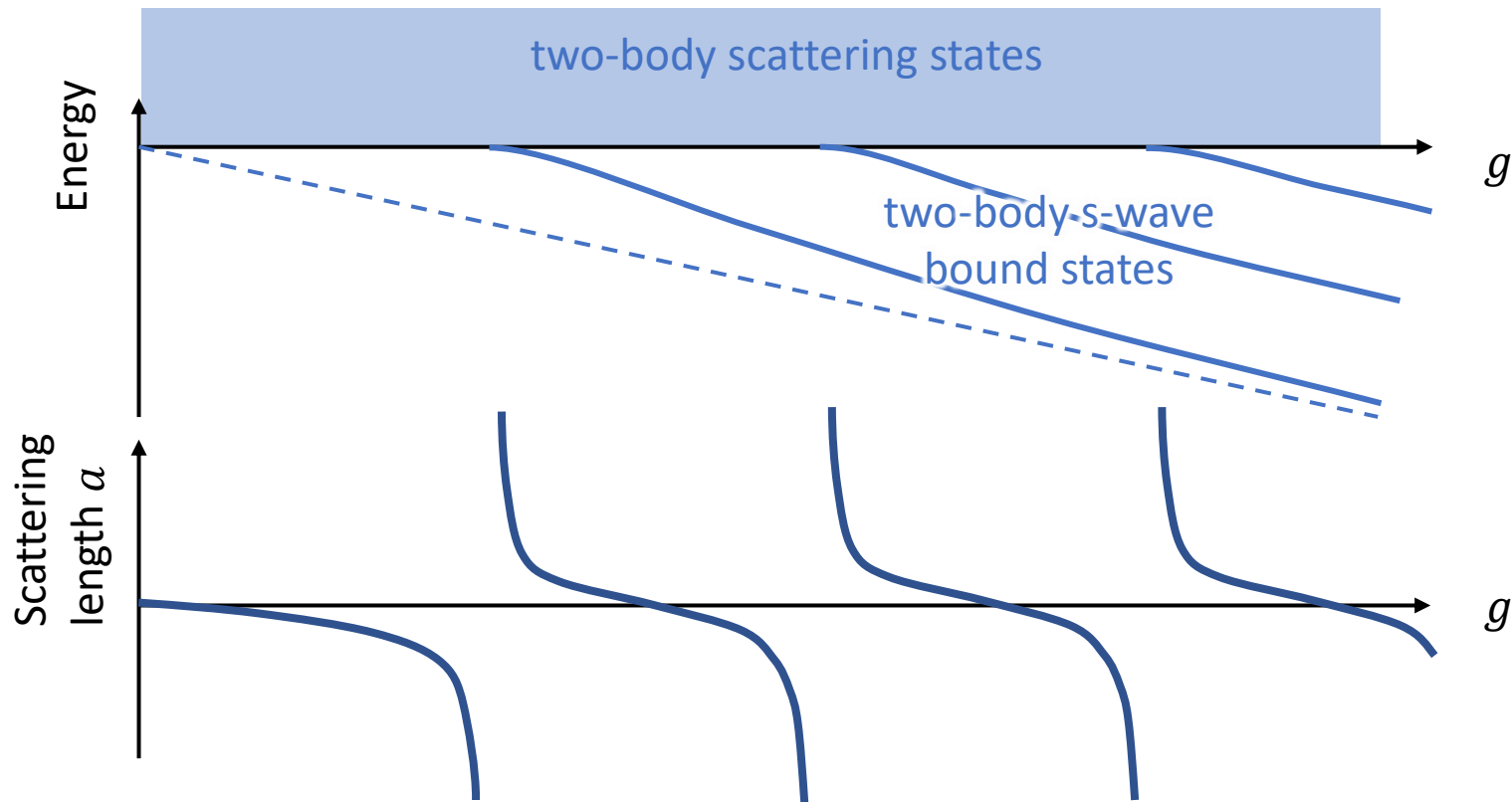
## Binding in quantum systems

Critical strength  $g$  (zero-point) and quantisation



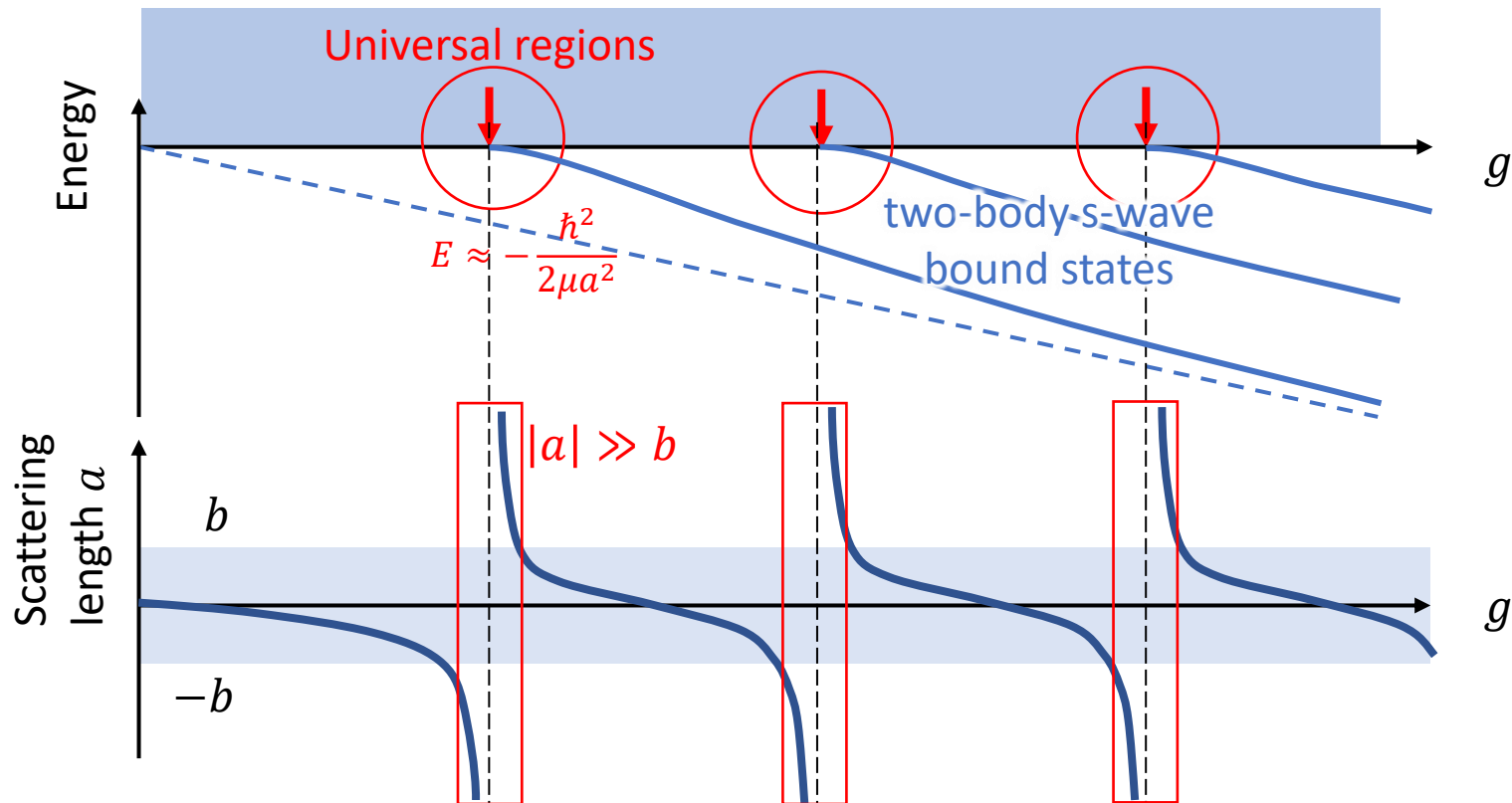
## Binding in quantum systems

Critical strength  $g$  (zero-point) and quantisation



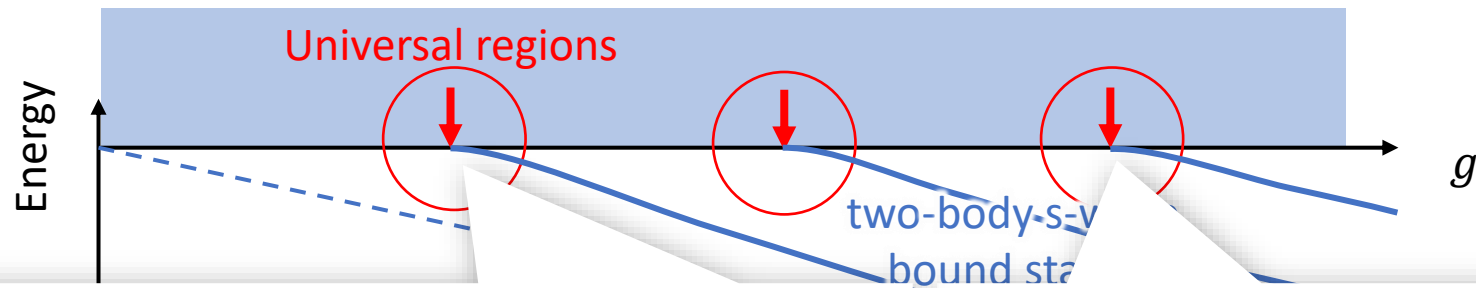
## Binding in quantum systems

Two-body resonances  $\Rightarrow$  unitarity points, universality and scale invariance



# Binding in quantum systems

Two-body resonances  $\Rightarrow$  unitarity points, universality and scale invariance



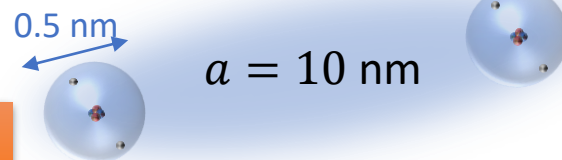
## In nature

Deuteron



$\Rightarrow$  Prof Alejandro Kievsky's lecture.

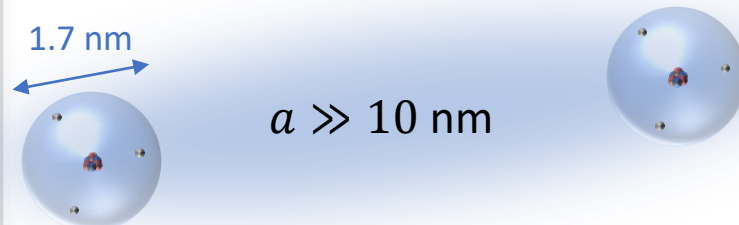
Helium-4 dimer



$\Rightarrow$  Prof Doerte Blume's lecture.

## In the laboratory

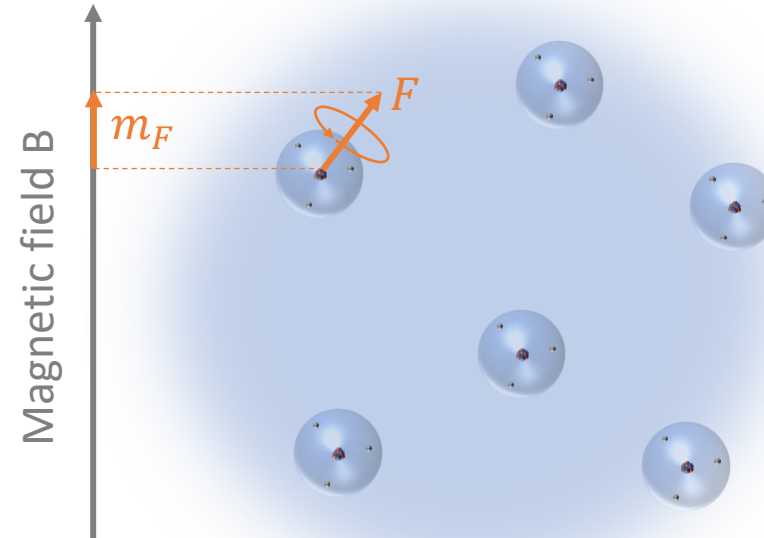
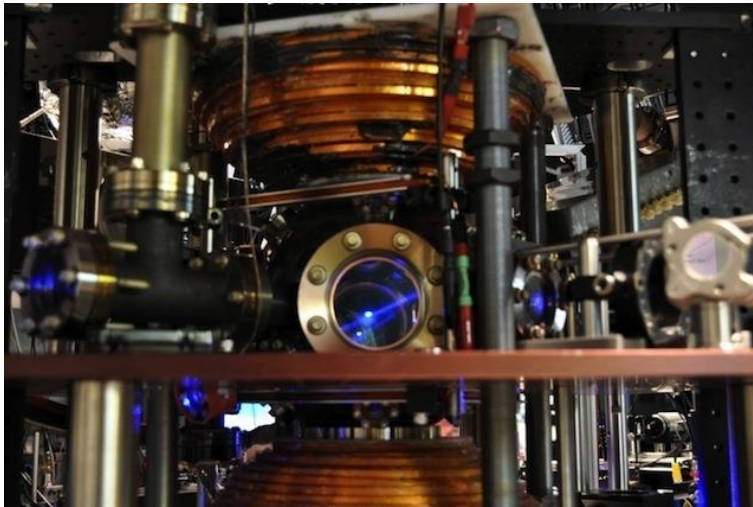
Feshbach dimers from cold atoms



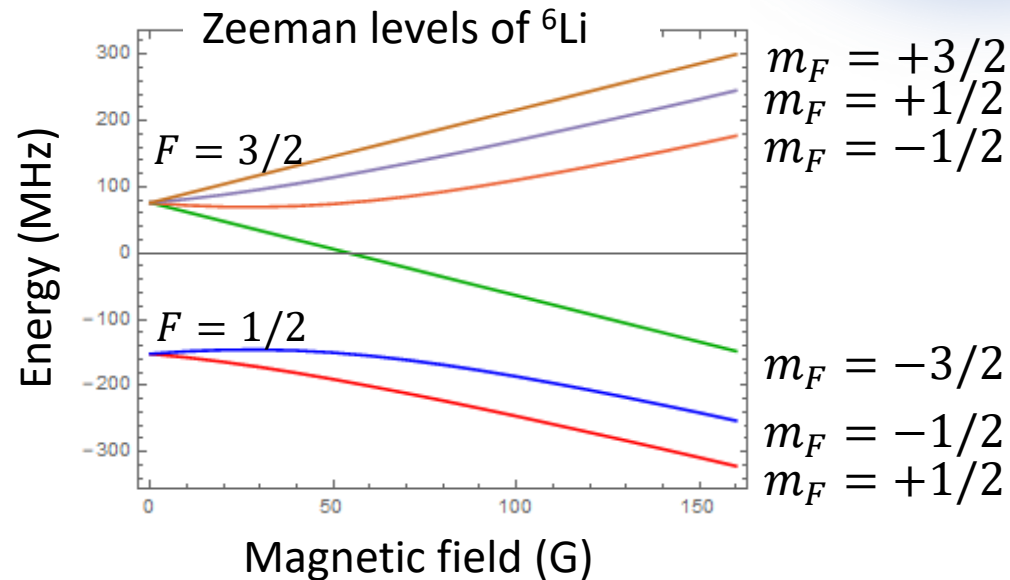
*"Halo dimers"*

# Observations in ultra-cold atomic gases

Cloud of atoms cooled to  $T < 1 \mu\text{K}$  in a vacuum chamber

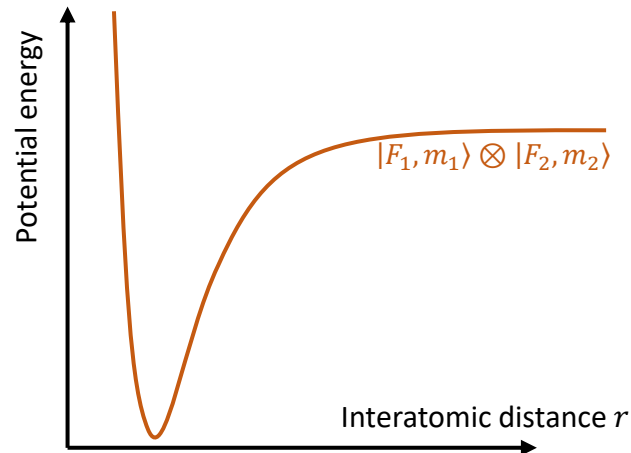
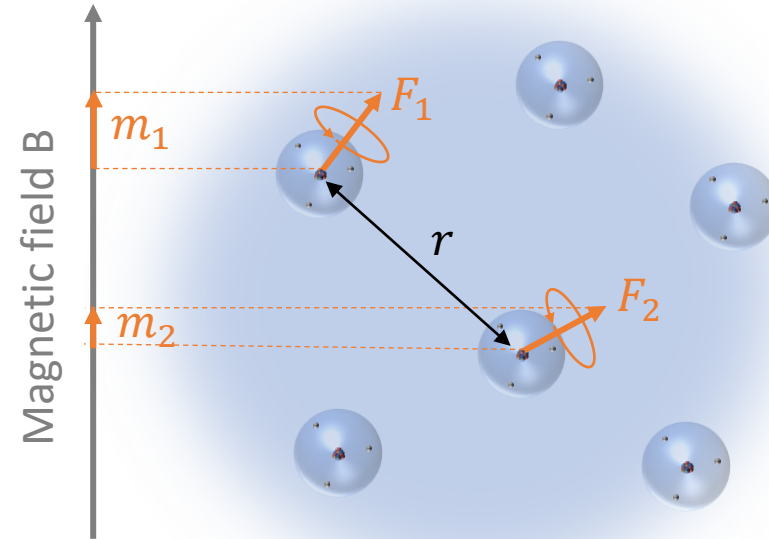
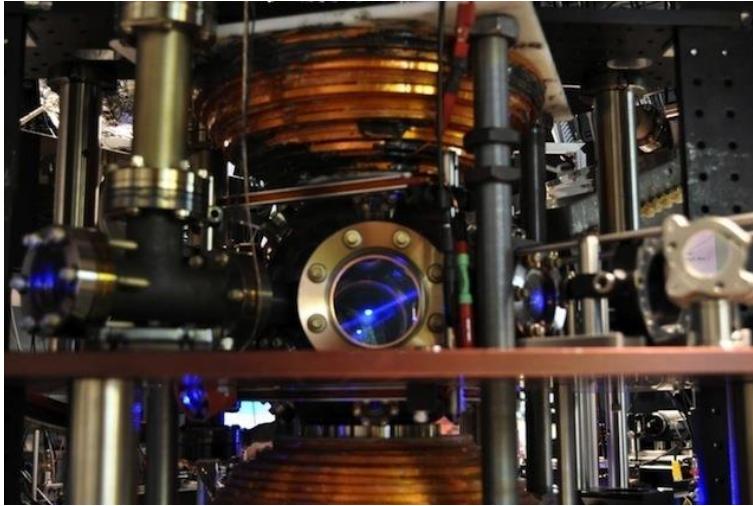


**Zeeman effect:**  
Different internal atomic states shift differently with magnetic field



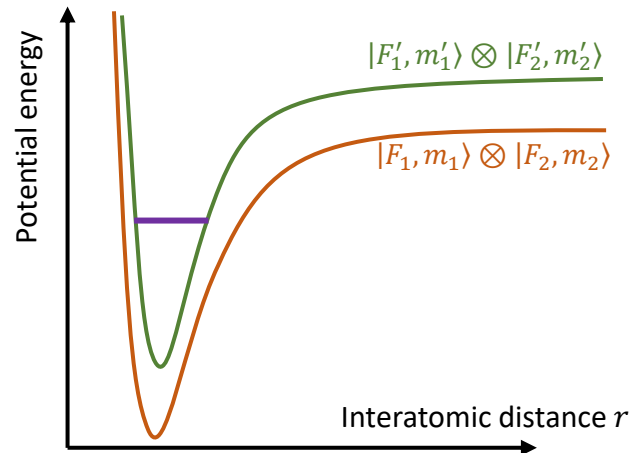
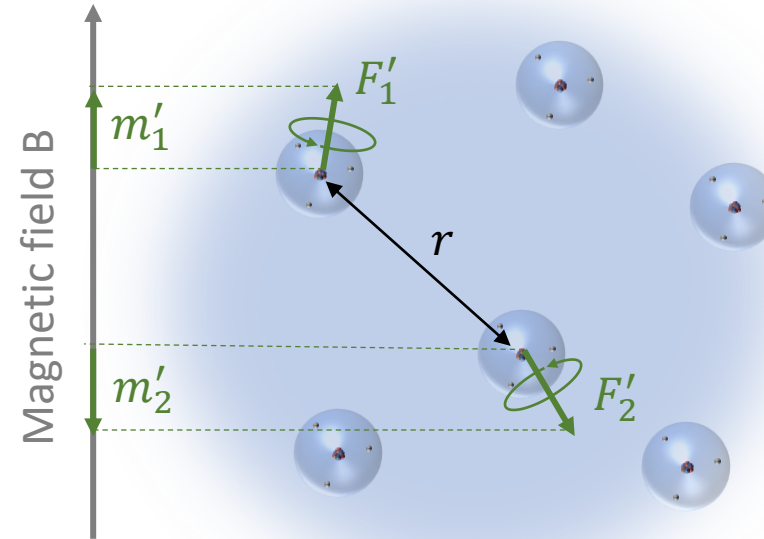
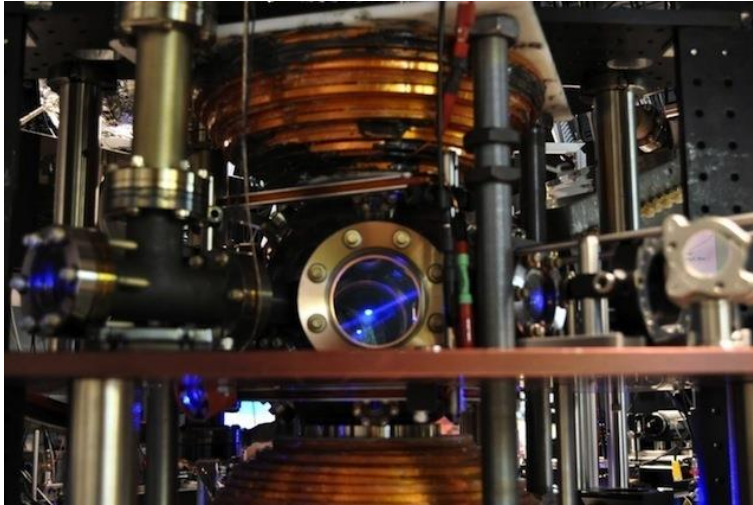
# Observations in ultra-cold atomic gases

Cloud of atoms cooled to  $T < 1 \mu\text{K}$  in a vacuum chamber



# Observations in ultra-cold atomic gases

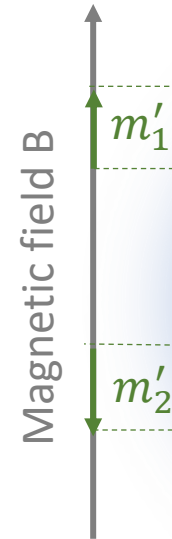
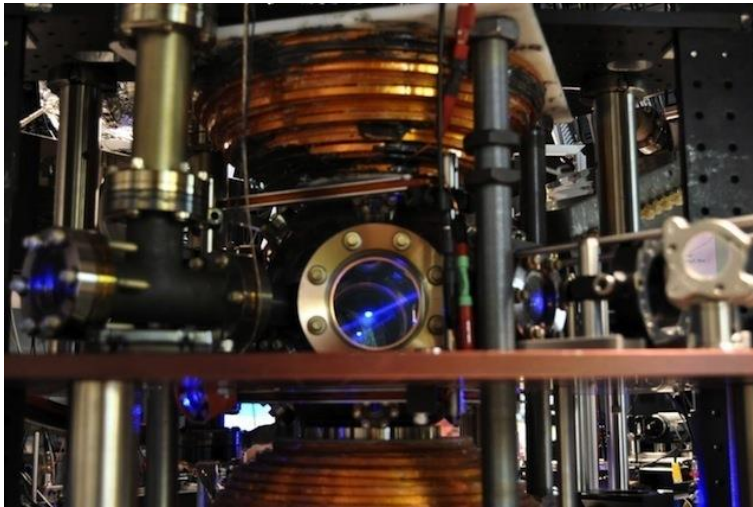
Cloud of atoms cooled to  $T < 1 \mu\text{K}$  in a vacuum chamber





# Observations in ultra-cold atomic gases

Cloud of atoms cooled to  $T < 1 \mu\text{K}$  in a vacuum chamber

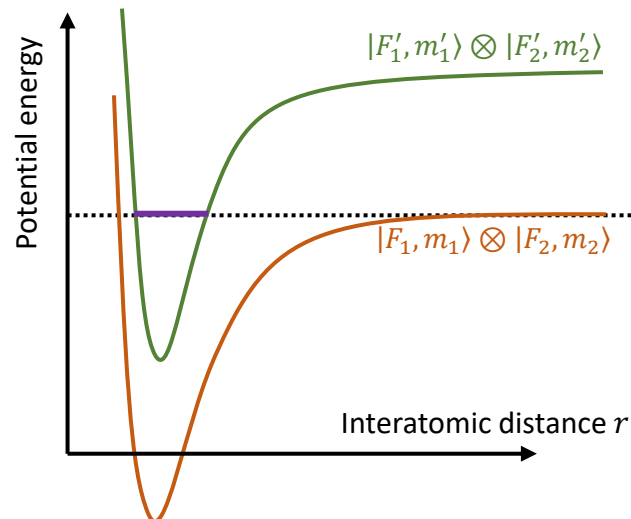


*Nature* volume 392, pages 151–154 (1998) articles

## Observation of Feshbach resonances in a Bose-Einstein condensate

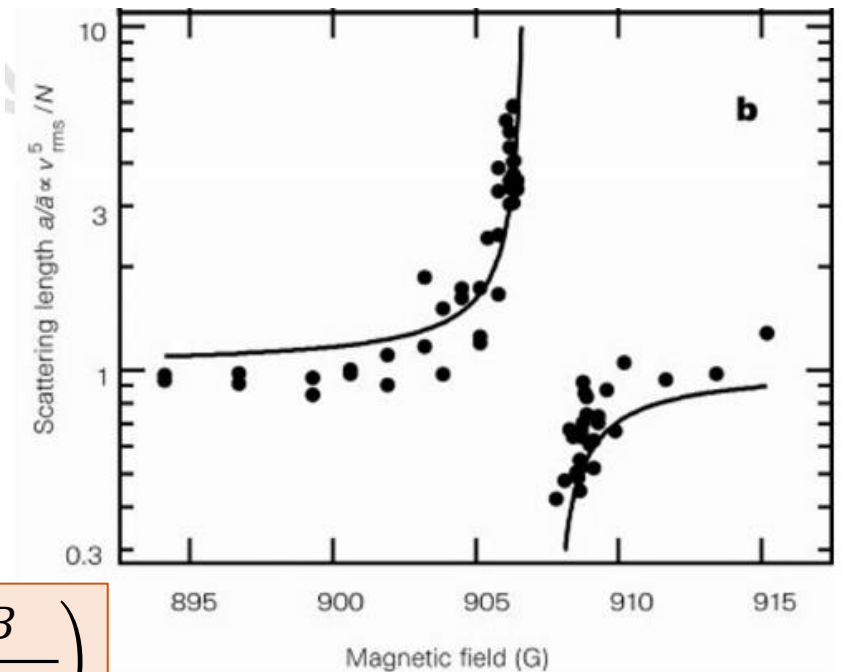
S. Inouye\*, M. R. Andrews\*†, J. Stenger\*, H.-J. Miesner\*, D. M. Stamper-Kurn\* & W. Ketterle\*

\* Department of Physics and Research Laboratory of Electronics, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, USA

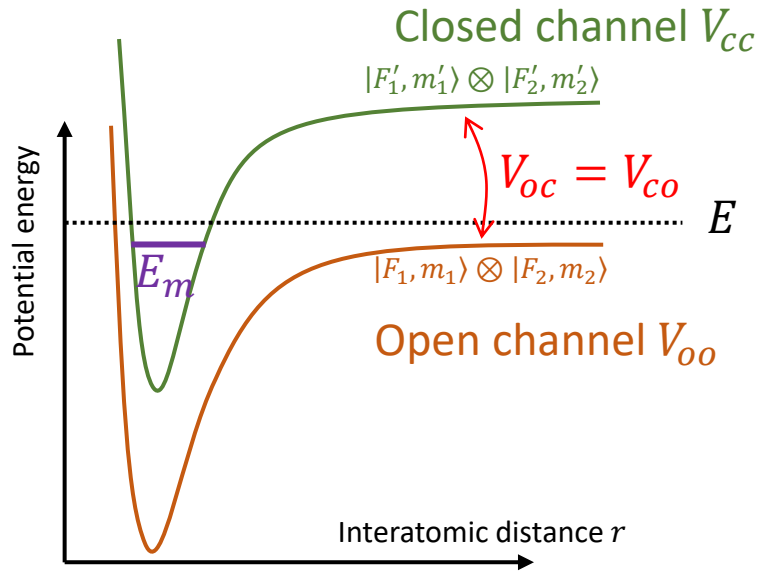


Feshbach resonance  
 $a \rightarrow \infty$

$$a = a_{bg} \left( 1 - \frac{\Delta B}{B - B_0} \right)$$



# Two-channel model



Closed-channel eigenstates:

$$(K + V_{cc}) |\bar{u}_c^{(n)}\rangle = E_c^{(n)} |\bar{u}_c^{(n)}\rangle$$

Isolated resonance approximation:

$$G_c = \sum_n \frac{|\bar{u}_c^{(n)}\rangle \langle \bar{u}_c^{(n)}|}{E - E_c^{(n)}} \approx \frac{|\bar{u}_m\rangle \langle \bar{u}_m|}{E - E_m}$$

Radial wave function (for  $\ell = 0$ ):

$$u(r) = u_o(r) |F_1, m_1\rangle \otimes |F_2, m_2\rangle + u_c(r) |F'_1, m'_1\rangle \otimes |F'_2, m'_2\rangle$$

$$\begin{aligned} (K + V_{oo} - E)|u_o\rangle + V_{oc}|u_c\rangle &= 0 \\ (K + V_{cc} - E)|u_c\rangle + V_{co}|u_o\rangle &= 0 \end{aligned}$$



$$|u_o\rangle = |\bar{u}_o\rangle + G_o V_{oc} |u_c\rangle$$

$$|u_c\rangle = 0 + G_c V_{co} |u_o\rangle$$



$$|u_o\rangle = |\bar{u}_o\rangle + G_o V_{oc} G_c V_{co} |u_o\rangle$$



$$|u_o\rangle = |\bar{u}_o\rangle + G_o V_{oc} |\bar{u}_m\rangle \frac{\langle \bar{u}_m | V_{co} | u_o \rangle}{E - E_m}$$

With  $K = -\frac{\hbar^2}{2\mu} \frac{d^2}{dr^2}$

With the resolvents:

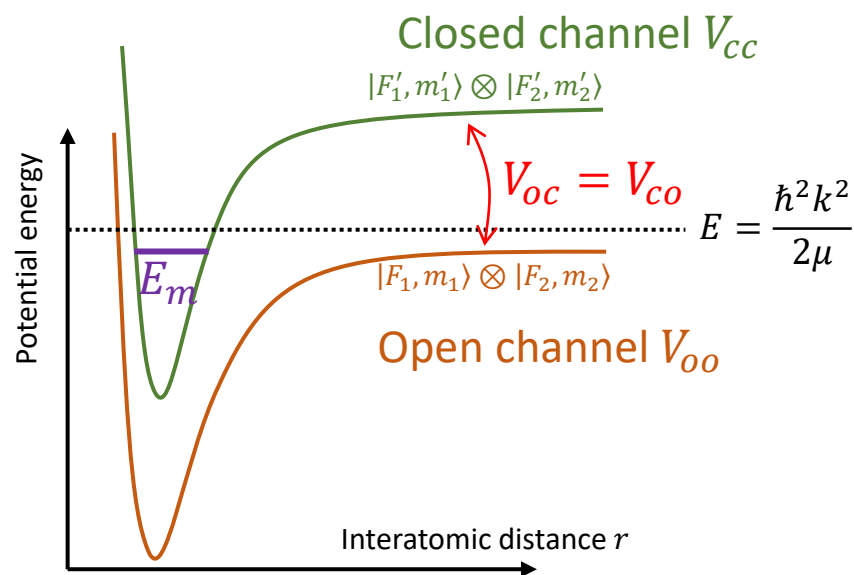
$$G_o = (E - K - V_{oo})^{-1}$$

$$G_c = (E - K - V_{cc})^{-1}$$

And the open-channel eigenstate:

$$(K + V_{oo}) |\bar{u}_o\rangle = E |\bar{u}_o\rangle$$

# Two-channel model



Radial wave function (for  $\ell = 0$ ):

$$u(r) = u_o(r) |F_1, m_1\rangle \otimes |F_2, m_2\rangle + u_c(r) |F'_1, m'_1\rangle \otimes |F'_2, m'_2\rangle$$

$$|u_o\rangle = |\bar{u}_o\rangle + G_o V_{oc} |\bar{u}_m\rangle \frac{\langle \bar{u}_m | V_{co} | u_o \rangle}{E - E_m}$$

Multiply on the left by  $\langle \bar{u}_m | V_{co}$

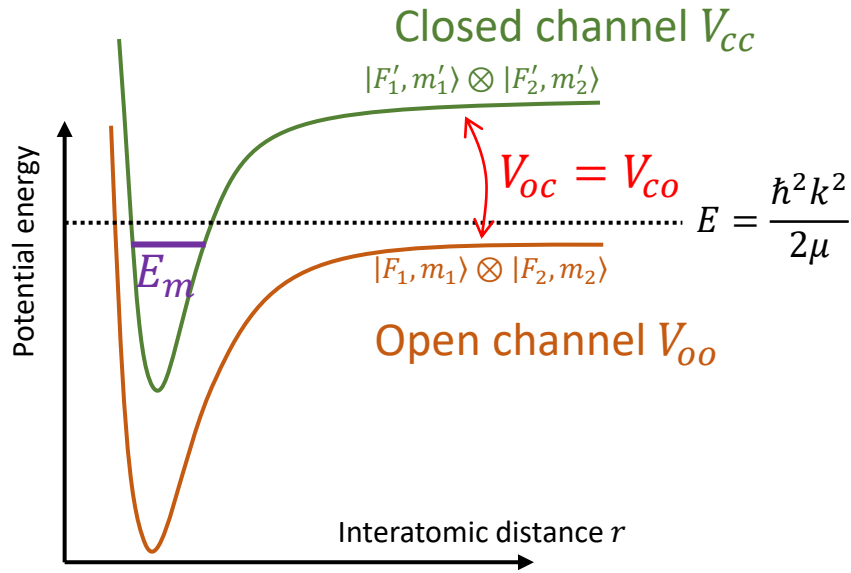
$$\langle \bar{u}_m | V_{co} | u_o \rangle = \langle \bar{u}_m | V_{co} | \bar{u}_o \rangle + \underbrace{\langle \bar{u}_m | V_{co} G_o V_{oc} | \bar{u}_m \rangle}_{\Delta E_m} \frac{\langle \bar{u}_m | V_{co} | u_o \rangle}{E - E_m}$$

$\Delta E_m$  :  
resonance  
shift

$$\langle \bar{u}_m | V_{co} | u_o \rangle = \frac{\langle \bar{u}_m | V_{co} | \bar{u}_o \rangle}{1 - \frac{\Delta E_m}{E - E_m}}$$

$$|u_o\rangle = |\bar{u}_o\rangle + G_o V_{oc} |\bar{u}_m\rangle \frac{\langle \bar{u}_m | V_{co} | \bar{u}_o \rangle}{E - E_m - \Delta E_m}$$

# Two-channel model



$\alpha$  : resonance strength

$$|u_o\rangle = |\bar{u}_o\rangle - |\bar{u}_o^{(irr)}\rangle \frac{k |\langle \bar{u}_m | V_{co} | \bar{u}_o \rangle|^2}{E - E_m - \Delta E_m}$$

$$u_o(r) \xrightarrow{r \gg b} k^{-1} \left( \sin(kr + \bar{\delta}) - \cos(kr + \bar{\delta}) \frac{k\alpha}{E - E_m - \Delta E_m} \right)$$

Radial wave function (for  $\ell = 0$ ):

$$u(r) = u_o(r) |F_1, m_1\rangle \otimes |F_2, m_2\rangle + u_c(r) |F'_1, m'_1\rangle \otimes |F'_2, m'_2\rangle$$

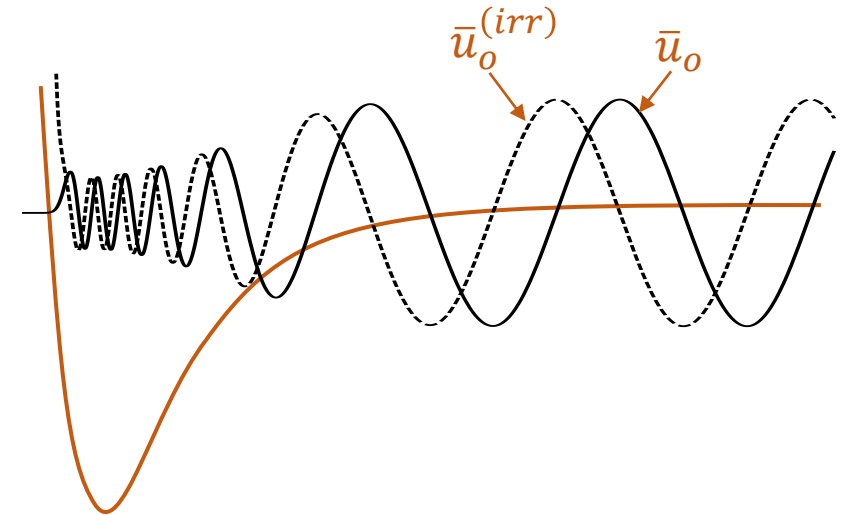
$$|u_o\rangle = |\bar{u}_o\rangle + G_o V_{oc} |\bar{u}_m\rangle \frac{\langle \bar{u}_m | V_{co} | \bar{u}_o \rangle}{E - E_m - \Delta E_m}$$

$$\bar{u}_o(r) \xrightarrow{r \gg b} k^{-1} \sin(kr + \bar{\delta})$$

$$\bar{u}_o^{(irr)}(r) \xrightarrow{r \gg b} k^{-1} \cos(kr + \bar{\delta})$$

(irregular solution)

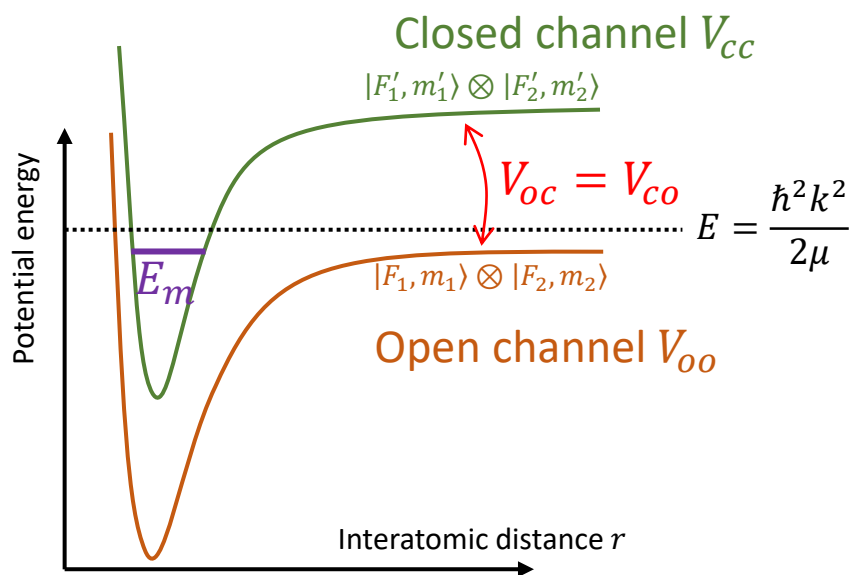
$$G_o = -k |\bar{u}_o^{(irr)}\rangle \langle \bar{u}_o|$$



$$\propto \sin \left( kr + \bar{\delta} - \arctan \frac{k\alpha}{E - E_m - \Delta E_m} \right)$$

$$\delta = \bar{\delta} - \arctan \frac{k\alpha}{E - E_m - \Delta E_m}$$

# Two-channel model



Radial wave function (for  $\ell = 0$ ):

$$u(r) = u_o(r) |F_1, m_1\rangle \otimes |F_2, m_2\rangle + u_c(r) |F'_1, m'_1\rangle \otimes |F'_2, m'_2\rangle$$

$$\delta = \bar{\delta} - \arctan \frac{k\alpha}{E - E_m - \Delta E_m}$$

Background phase shift

Resonant phase shift

For  $E \rightarrow 0$ ,

$$-ka \quad -ka_{bg} \quad \text{Background scattering length}$$

$$a = a_{bg} - \frac{\alpha}{E_m + \Delta E_m}$$

The scattering length diverges for  $E_m + \Delta E_m = 0$

The molecular state energy varies with the magnetic field (approximately linearly)

$$E_m = \mu_m(B - B_1)$$

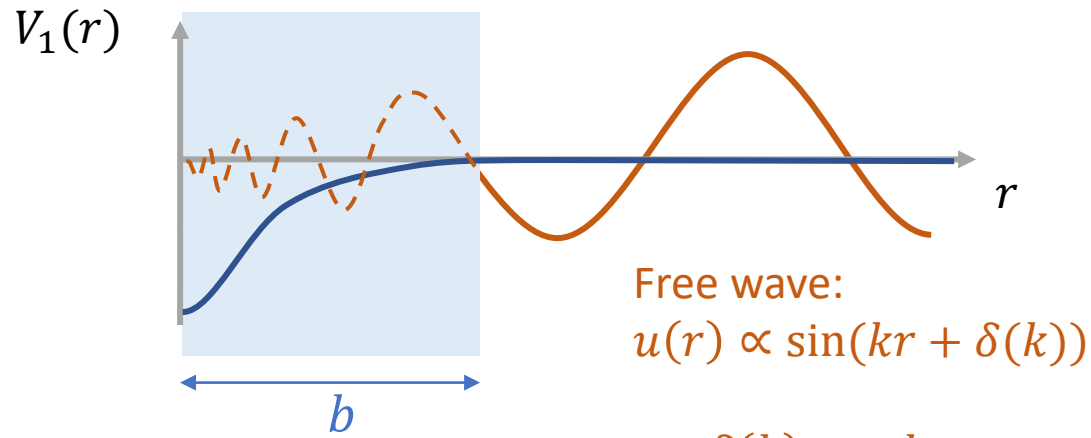


$$a = a_{bg} \left( 1 - \frac{\Delta B}{B - B_0} \right)$$

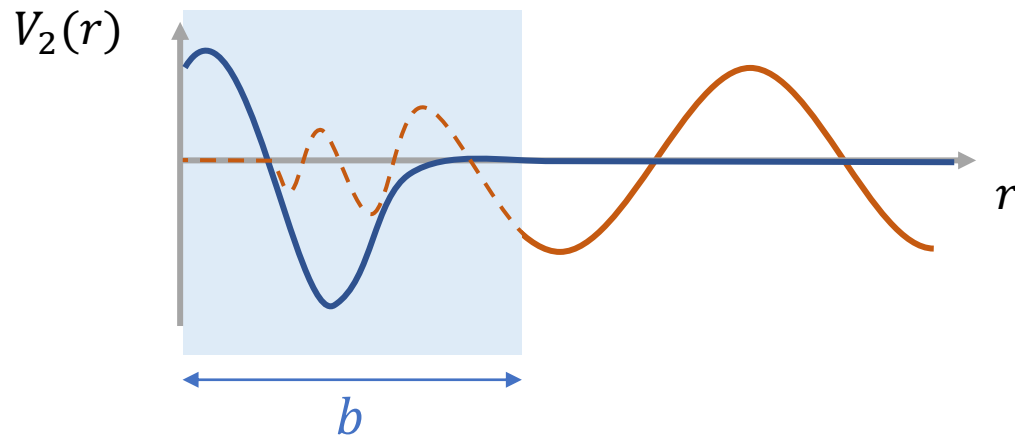
The scattering length diverges for  $B = B_0 \equiv B_1 - \Delta E_m / \mu_m$

$$\Delta B = \frac{\alpha}{a_{bg} \mu_m} \quad \text{magnetic width of the resonance}$$

# Zero-range theory

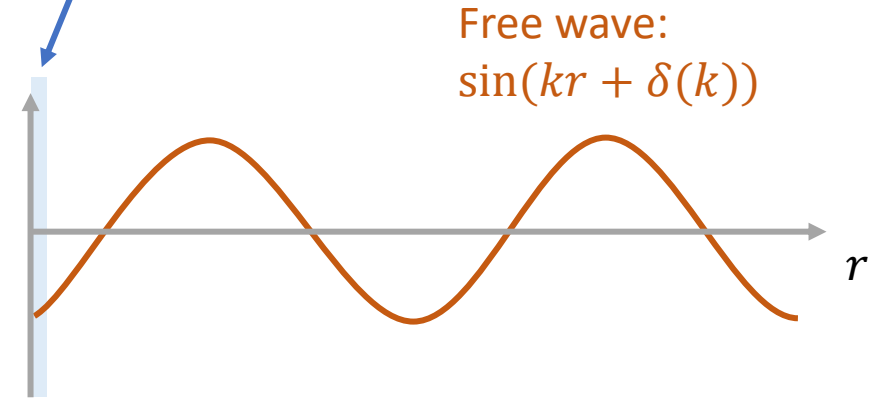


$$\tan \delta(k) \approx -ka$$



Free wave:  $-\frac{\hbar^2}{2\mu} \nabla^2 \psi = E\psi$

Zero-range Boundary condition  $\begin{cases} u(0) = ? \\ u'(0) = ? \end{cases}$



# Zero-range theory

$$\tan \delta(k) \approx -ka$$

Free wave:

$$u(r) \propto \sin(kr + \delta(k))$$

$$u(r) \propto \sin kr + \tan \delta(k) \cos kr$$

$$u(r) \propto \sin kr - ka \cos kr$$

$$u'(r) \propto k(\cos kr + ka \sin kr)$$

$$\begin{cases} u(0) \propto -ka \\ u'(0) \propto k \end{cases}$$

$$u(r) \propto kr - ka + O(r^2)$$

$$\frac{u'(0)}{u(0)} = -\frac{1}{a}$$

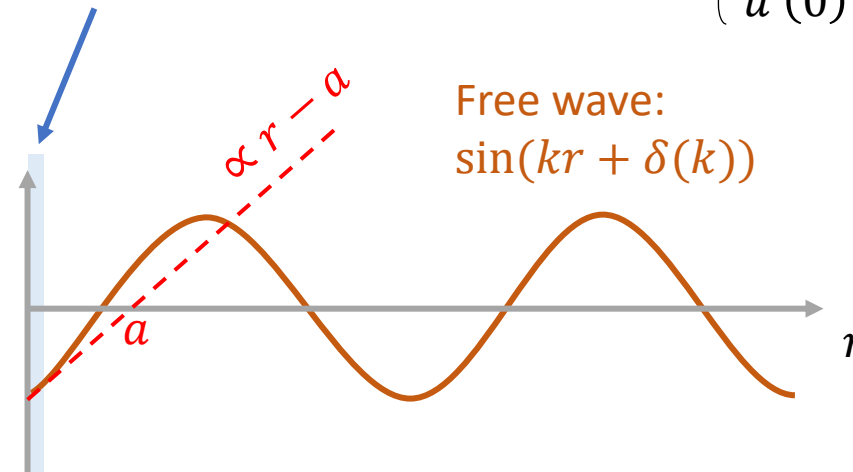
$$\psi(r) = \frac{u(r)}{r} + \dots$$

$$u(r) \propto r - a$$

For  $r \rightarrow 0$

Free wave:  $-\frac{\hbar^2}{2\mu} \nabla^2 \psi = E\psi$

Zero-range Boundary condition  $\begin{cases} u(0) = ? \\ u'(0) = ? \end{cases}$



**Bethe-Peierls boundary condition:**

$$\frac{1}{r\psi} \frac{d}{dr} (r\psi) \xrightarrow{r \rightarrow 0} -\frac{1}{a}$$

(this condition being isotropic, it only affects the s wave)

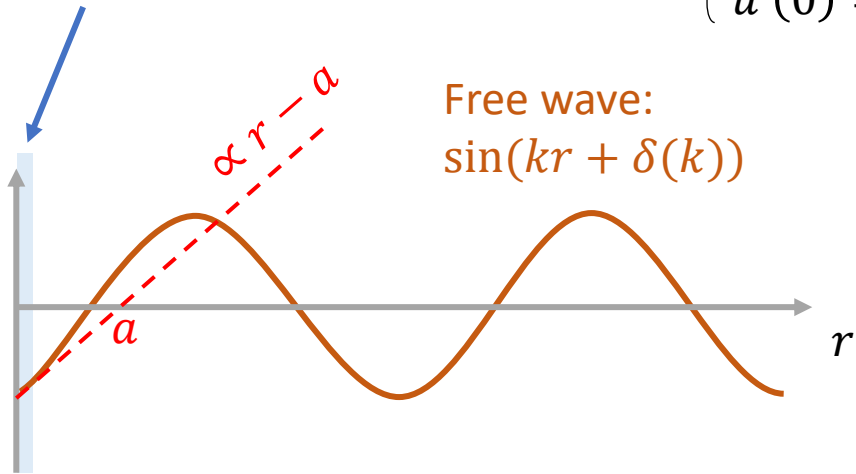
$$\psi \xrightarrow{r \rightarrow 0} F \times \left( \frac{1}{r} - \frac{1}{a} \right)$$

➔ **“universal theory”**  
(in terms of  $a$ )

# Zero-range theory

Free wave:  $-\frac{\hbar^2}{2\mu} \nabla^2 \psi = E\psi$

Zero-range Boundary condition  $\begin{cases} u(0) = ? \\ u'(0) = ? \end{cases}$



Bethe-Peierls boundary condition:

$$\frac{1}{r\psi} \frac{d}{dr} (r\psi) \xrightarrow{r \rightarrow 0} -\frac{1}{a}$$

(this condition being isotropic, it only affects the s wave)

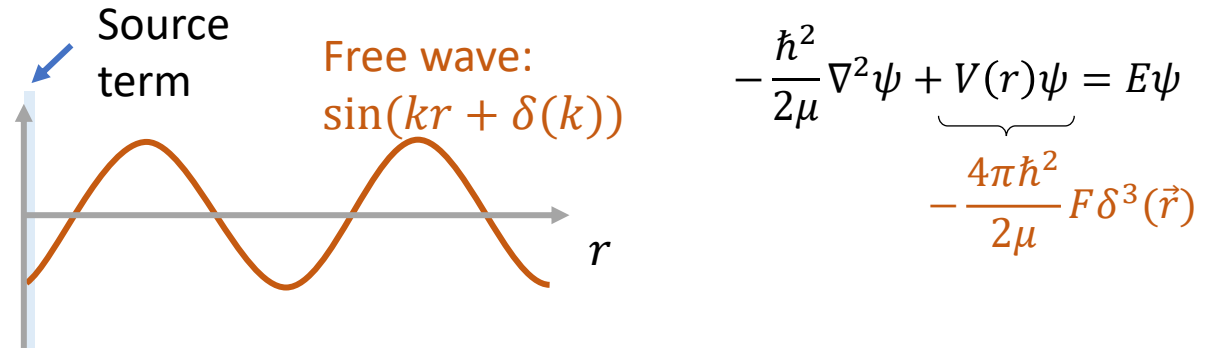
$$\psi \xrightarrow{r \rightarrow 0} F \times \left( \frac{1}{r} - \frac{1}{a} \right)$$

➔ **“universal theory” (in terms of  $a$ )**

## Alternative formulations:

(1) “source term”

Including the boundary condition by a source term inside the Schrödinger equation:



Obtained from the relation:  $\nabla^2 \left( \frac{1}{r} \right) = -4\pi\delta^3(\vec{r})$

(2) “Pseudopotential” (Huang-Yang, 1957)

$$-\frac{\hbar^2}{2\mu} \nabla^2 \psi + \underbrace{\frac{4\pi\hbar^2 a}{2\mu} \delta^3(\vec{r}) \frac{d}{dr} (r\psi)}_{\text{pseudopotential}} = E\psi$$

(3) “zero-range” or “contact” interaction

Take any potential, set the range to zero, while increasing the depth to keep a fixed scattering length  $a$ .



## 2. Two-body physics > Zero-range theory

### (3) “zero-range” or “contact” interaction

Take any potential, set the range to zero, while increasing the depth to keep a fixed scattering length  $a$ .

Example in momentum space:  $\tilde{V}(p) = \begin{cases} -g & \text{for } p \leq b^{-1} \\ 0 & \text{for } p > b^{-1} \end{cases}$

$$\frac{\hbar^2 p^2}{2\mu} \tilde{\psi}(\vec{p}) + \int \frac{d^3 q}{(2\pi)^3} \tilde{V}(q) \tilde{\psi}(\vec{p} - \vec{q}) = E \tilde{\psi}(\vec{p})$$

At zero energy  $E = 0$ :

$$p^2 \tilde{\psi}(\vec{p}) - \underbrace{\frac{2\mu}{\hbar^2} g \int_{q < b^{-1}} \frac{d^3 q}{(2\pi)^3} \tilde{\psi}(\vec{p} - \vec{q})}_f = 0$$

$$f \approx \frac{2\mu}{\hbar^2} g \int_{q < b^{-1}} \frac{d^3 q}{(2\pi)^3} \tilde{\psi}(\vec{q})$$

$$\tilde{\psi}(\vec{p}) = (2\pi)^3 \delta^3(\vec{p}) + \frac{f}{p^2}$$

F.T.

$$\tilde{\psi}(\vec{r}) = 1 + \frac{f}{4\pi r} \equiv 1 - \frac{a}{r} \longrightarrow f = -4\pi a$$

We can set  $b \rightarrow 0$  only at the end of calculations

$$f = \frac{2\mu}{\hbar^2} g \left( 1 + f \int_{q < b^{-1}} \frac{d^3 \vec{q}}{(2\pi)^3} \frac{1}{q^2} \right)$$

$$\frac{\hbar^2}{2\mu} \frac{1}{g} = \frac{1}{f} + \underbrace{\int_{q < b^{-1}} \frac{d^3 q}{(2\pi)^3} \frac{1}{q^2}}_{\frac{1}{2\pi^2} b^{-1}}$$

$$\frac{4\pi\hbar^2}{2\mu} \frac{1}{g} = -\frac{1}{a} + \frac{2}{\pi} \frac{1}{b}$$

“Renormalisation relation”

### Bethe-Peierls condition in momentum space

Schrödinger equation in coordinate space

$$-\frac{\hbar^2}{2\mu} \nabla^2 \psi - \frac{4\pi\hbar^2}{2\mu} F \delta^3(\vec{r}) = E\psi$$

F.T.

Schrödinger equation in momentum space

$$\frac{\hbar^2 p^2}{2\mu} \tilde{\psi}(\vec{p}) - \frac{4\pi\hbar^2}{2\mu} F = E\tilde{\psi}(\vec{p})$$

$$p^2 \tilde{\psi}(\vec{p}) - 4\pi F = \frac{2\mu}{\hbar^2} E \tilde{\psi}(\vec{p})$$

$$\lim_{p \rightarrow \infty} (p^2 \tilde{\psi}(\vec{p}) - 4\pi F) = \frac{2\mu}{\hbar^2} E \lim_{p \rightarrow \infty} \tilde{\psi}(\vec{p}) = 0$$

$$4\pi F = \lim_{p \rightarrow \infty} p^2 \tilde{\psi}(\vec{p})$$



Condition for the source in coordinate space

$$\psi \xrightarrow{r \rightarrow 0} F \times \left( \frac{1}{r} - \frac{1}{a} \right)$$

$$\psi(r) - \frac{F}{r} \xrightarrow{r \rightarrow 0} -F/a$$

$$\int \frac{d^3 p}{(2\pi)^3} \left( \tilde{\psi}(\vec{p}) - \frac{4\pi F}{p^2} \right) e^{i\vec{p} \cdot \vec{r}} \xrightarrow{r \rightarrow 0} -F/a$$

$$\int \frac{d^3 p}{(2\pi)^3} \left( \tilde{\psi}(\vec{p}) - \frac{4\pi F}{p^2} \right) = -F/a$$

Condition for the source in momentum space

$$F = -a \int \frac{d^3 p}{(2\pi)^3} \left( \tilde{\psi}(\vec{p}) - \frac{\lim_{q \rightarrow \infty} q^2 \tilde{\psi}(\vec{q})}{p^2} \right)$$

# Bound state in the Zero-Range Theory

There is only one bound state in the zero-range model. It has zero angular momentum.

We look for eigenstates of energy  $E = -\hbar^2\kappa^2/2\mu$

## Coordinate space

$$\text{Equation: } -\frac{d^2u(r)}{dr^2} = -\kappa^2u(r)$$

$$u(r) = Ae^{-\kappa r} + \cancel{Be^{+\kappa r}}$$

$$\text{Condition: } \frac{du(r)}{dr} = -\frac{1}{a}u(r)$$

$$-\kappa u(r) = -\frac{1}{a}u(r)$$

$$\Rightarrow \boxed{\kappa = \frac{1}{a}}$$

$$\psi(\vec{r}) = \frac{e^{-r/a}}{\sqrt{2\pi a r}}$$

## Momentum space

$$\text{Equation: } p^2 \tilde{\psi}(\vec{p}) - 4\pi F = -\kappa^2 \tilde{\psi}(\vec{p})$$

$$\tilde{\psi}(\vec{p}) = \frac{4\pi F}{p^2 + \kappa^2}$$

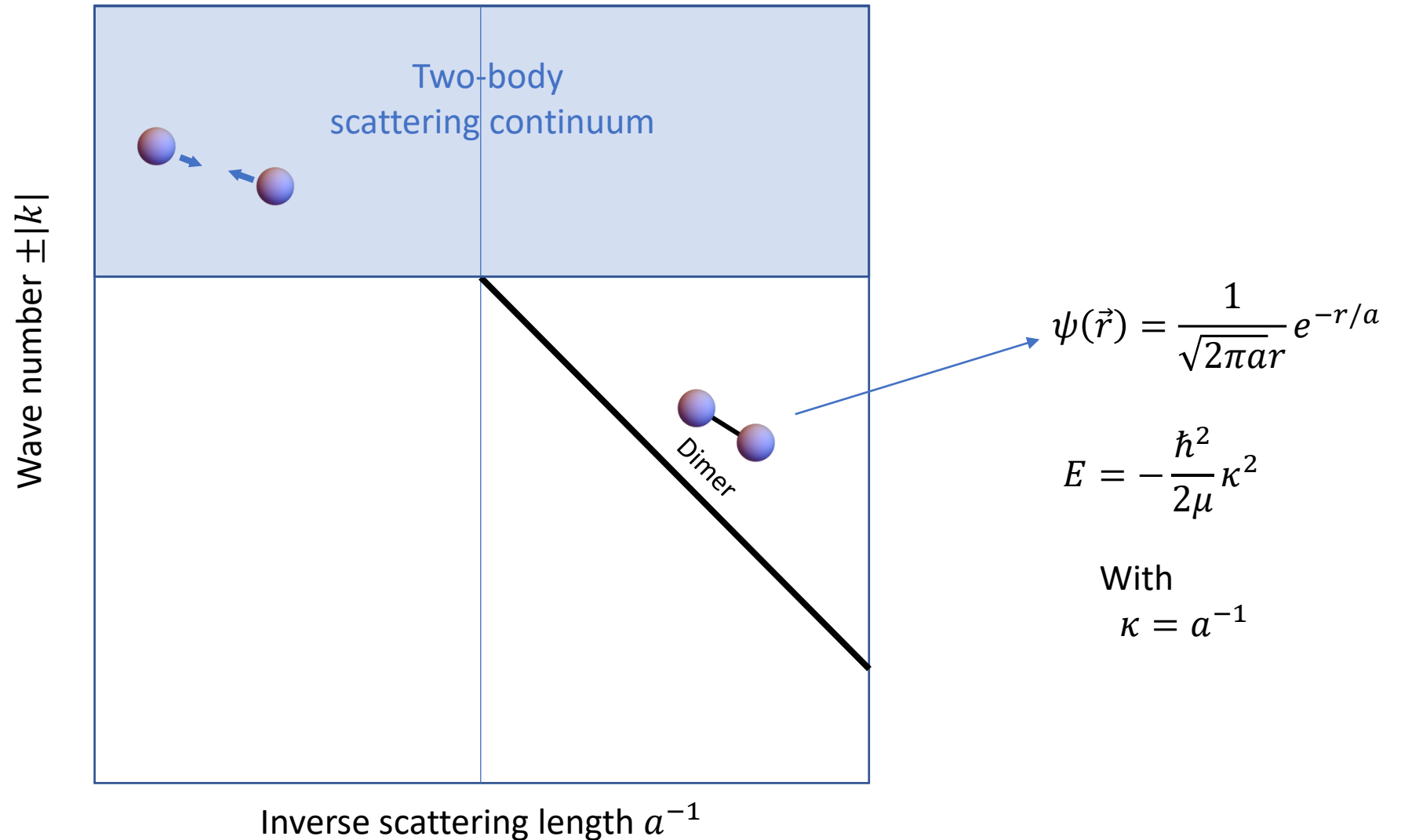
$$\text{Condition: } \int \frac{d^3p}{(2\pi)^3} \left( \tilde{\psi}(\vec{p}) - \frac{4\pi F}{p^2} \right) = -F/a$$

$$F \underbrace{4\pi \int \frac{d^3p}{(2\pi)^3} \left( \frac{1}{p^2 + \kappa^2} - \frac{1}{p^2} \right)}_{-\kappa} = -F/a$$

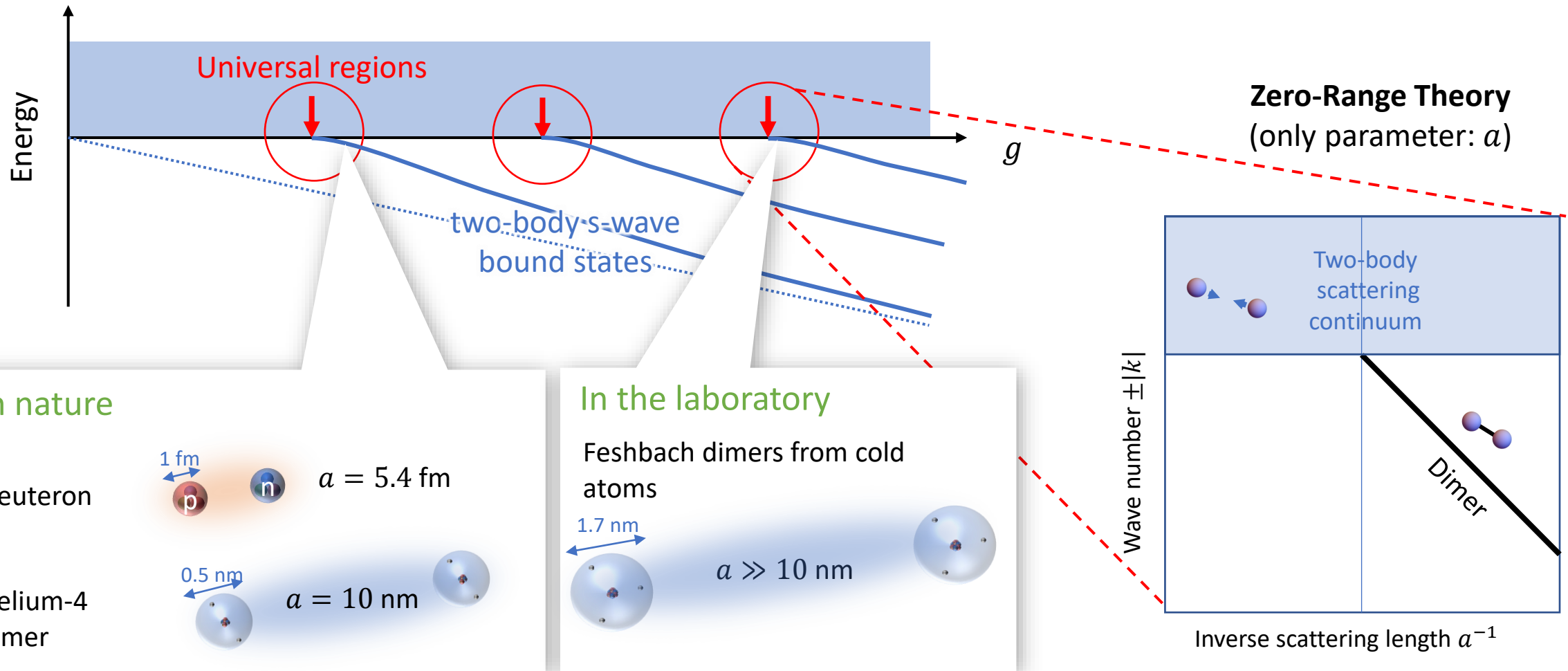
$$\Rightarrow \boxed{\kappa = \frac{1}{a}}$$

$$\tilde{\psi}(\vec{p}) = \frac{1}{\pi\sqrt{a}} \frac{1}{p^2 + a^{-2}}$$

# Two-body spectrum in the Zero-Range Theory



# Summary

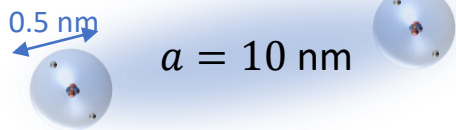


## In nature

Deuteron

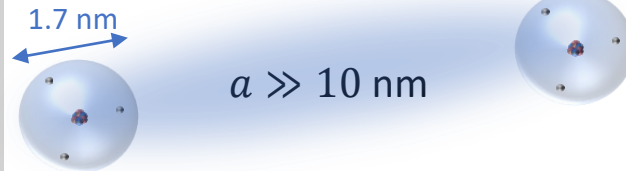


Helium-4 dimer



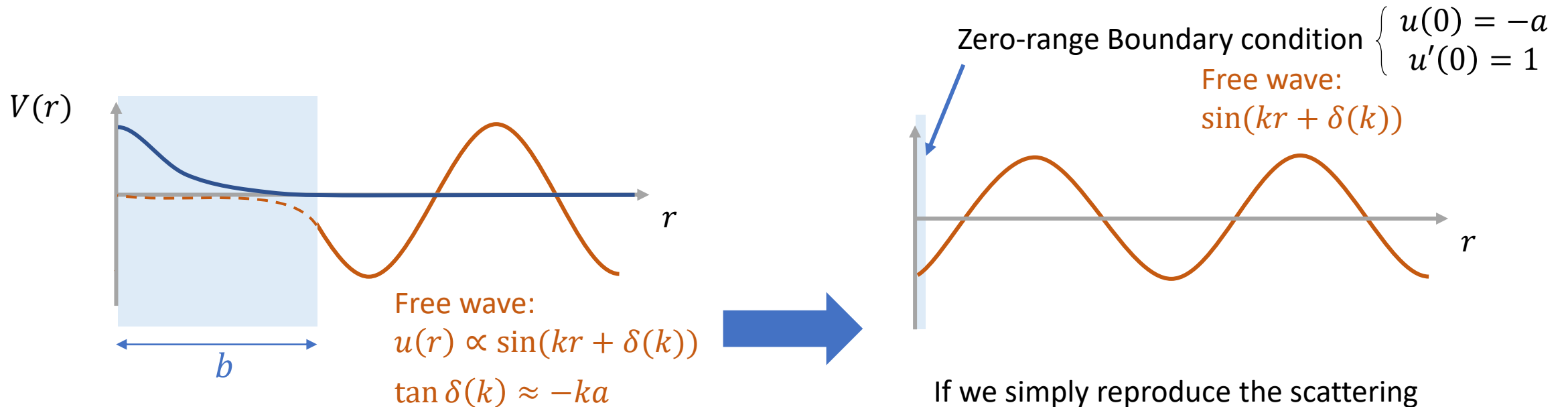
## In the laboratory

Feshbach dimers from cold atoms



Universal "Halo dimers"

# Zero-range theory for repulsive forces?



If we simply reproduce the scattering length, we retrieve the previous zero-range theory. Therefore there is a *bound state*!

The scattering length cannot be larger than  $b$  (hard sphere limit  $a = b$ ).

Therefore, in the limit of small  $b$ , the scattering length is always zero.

There is no zero-range limit nor universality for repulsive forces.

# Other dimensions

For  $b \ll |\vec{r}| \ll k^{-1}$

Zero-range theory

Universal bound state

$D = 3$

$$\psi(r) \propto \frac{1}{|\vec{r}|} - \frac{1}{a_{3D}}$$

For **attractive** forces with large scattering length  $|a_{3D}| \gg b$

There is a bound state only for  $a_{3D} > 0$ .

$$E = -\frac{\hbar^2}{2\mu a_{3D}^2} \quad (a_{3D} > 0)$$

$D = 2$

$$\psi(r) \propto \ln \frac{|\vec{r}|}{a_{2D}}$$

For **attractive** forces with large scattering length  $a_{2D} \gg b$

The scattering length  $a_{2D}$  is always positive. There is always a bound state.

$$E = -4e^{-2\gamma} \frac{\hbar^2}{2\mu a_{2D}^2}$$

$D = 1$

$$\psi(r) \propto |r| - a_{1D}$$

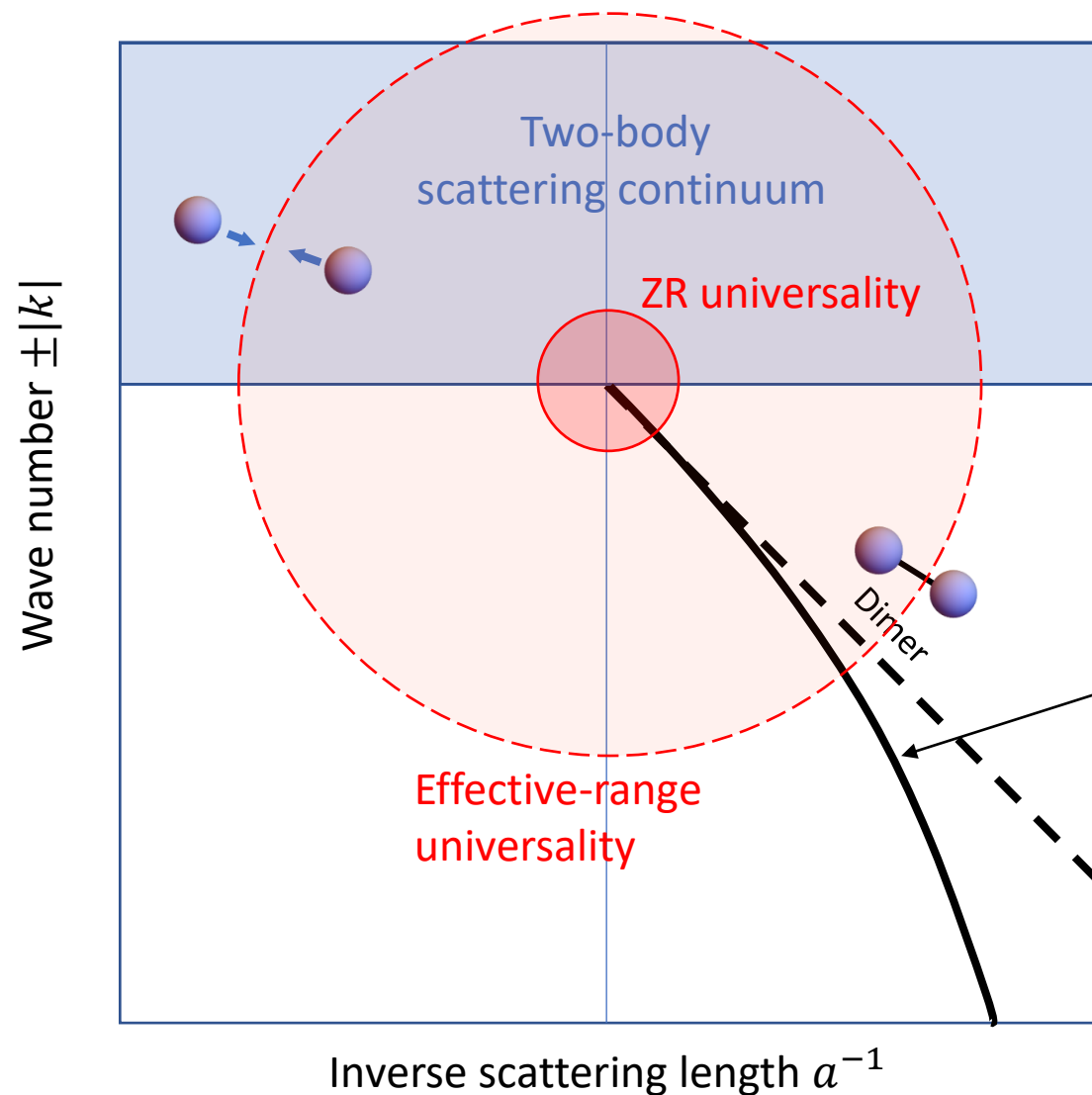
For **attractive** ( $a_{1D} > 0$ ) and **repulsive** ( $a_{1D} < 0$ ) with large  $|a_{1D}| \gg b$

$$E = -\frac{\hbar^2}{2\mu a_{1D}^2}$$

→ Prof Doerte  
Blume's lecture.

No regularisation:  $v(r) \rightarrow g\delta(r)$  with  $g = -\frac{2}{a_{1D}}$

# Beyond zero-range theory: the effective-range theory



For  $k \ll b^{-1}$ ,

$$\frac{k}{\tan\delta} \approx -\frac{1}{a} + \frac{1}{2}r_e k^2 + o(k^2)$$

Scattering length

Effective range

Universal description of the low-energy two-body physics in a wider range, in terms of only **two parameters**.

Bound state:

$$\tan\delta = \frac{1}{i} \quad \longrightarrow \quad E = -\frac{\hbar^2}{mr_e^2} \left(1 - \sqrt{1 - 2r_e/a}\right)^2$$

Very useful to describe two-body systems, but less relevant to systems with more than 2 particles.



# Separable theory

Zero-range potentials (contact interactions) easier to solve than finite-range potentials.

This is due to the **separability** of these potentials.

➔ One can consider separable potentials without taking the zero-range limit!

Local potential :

$$V(r)\psi(\vec{r})$$

Non-local potential :

$$\int d^3r' V(\vec{r}, \vec{r}')\psi(\vec{r}')$$

Separable potential:

$$\int d^3r' g\phi(\vec{r})\phi^*(\vec{r}')\psi(\vec{r}')$$

Coupling constant

Form factor

$$\int \frac{d^3q}{(2\pi)^3} \tilde{V}(\vec{p} - \vec{q})\tilde{\psi}(\vec{q})$$

$$\int \frac{d^3q}{(2\pi)^3} \tilde{V}(\vec{p}, \vec{q})\tilde{\psi}(\vec{q})$$

$$\int \frac{d^3q}{(2\pi)^3} g\tilde{\phi}(\vec{p})\tilde{\phi}^*(\vec{q})\tilde{\psi}(\vec{q})$$

In bra-ket notation:  $\left(\hat{T} - \frac{\hbar^2}{2\mu}k^2 + g|\phi\rangle\langle\phi|\right)|\psi\rangle = 0$  with  $\phi(\vec{r}) = \langle\vec{r}|\phi\rangle$  and  $\tilde{\phi}(\vec{p}) = \langle\vec{p}|\phi\rangle$

Special case: Zero-range limit

$$\tilde{\phi}(\vec{p}) = \begin{cases} 1 & \text{for } p \leq \Lambda \\ 0 & \text{for } p > \Lambda \end{cases} \quad \text{with } \Lambda \rightarrow \infty$$

Normalisation:  $\int \phi(r)d^3r = \tilde{\phi}(0) \equiv 1$   
So that the potential is described at low energy by the coupling constant  $g$

# Separable theory

Solution at zero energy for an attractive separable potential:  $-g|\phi\rangle\langle\phi|$

$$\frac{\hbar^2 p^2}{2\mu} \tilde{\psi}(\vec{p}) - \int \frac{d^3 q}{(2\pi)^3} g \tilde{\phi}(\vec{p}) \tilde{\phi}^*(\vec{q}) \tilde{\psi}(\vec{q}) = E \tilde{\psi}(\vec{p})$$

At zero energy  $E = 0$ :

$$p^2 \tilde{\psi}(\vec{p}) = \tilde{\phi}(\vec{p}) \underbrace{\frac{2\mu}{\hbar^2} g \int \frac{d^3 q}{(2\pi)^3} \tilde{\phi}^*(\vec{q}) \tilde{\psi}(\vec{q})}_f \quad \longrightarrow \quad f = \frac{2\mu}{\hbar^2} g \left( 1 + f \int \frac{d^3 q}{(2\pi)^3} \frac{|\phi(\vec{q})|^2}{q^2} \right)$$

$$\tilde{\psi}(\vec{p}) = (2\pi)^3 \delta^3(\vec{p}) + \tilde{\phi}(\vec{p}) \frac{f}{p^2}$$

F.T.

$$\begin{aligned} \tilde{\psi}(\vec{r}) &= 1 + f \int \frac{d^3 p}{(2\pi)^3} \frac{\tilde{\phi}(\vec{p})}{p^2} e^{i\vec{p}\cdot\vec{r}} \\ &= 1 + \frac{f}{4\pi r} + f \int \frac{d^3 p}{(2\pi)^3} \frac{\tilde{\phi}(\vec{p})-1}{p^2} e^{i\vec{p}\cdot\vec{r}} \end{aligned}$$

$$\xrightarrow[r \rightarrow \infty]{0} \quad \longrightarrow \quad f = -4\pi a$$

$$\frac{\hbar^2}{2\mu} \frac{1}{g} = \frac{1}{f} + \int \frac{d^3 q}{(2\pi)^3} \frac{|\phi(\vec{q})|^2}{q^2}$$

$$\frac{4\pi\hbar^2}{2\mu} \frac{1}{g} = -\frac{1}{a} + \frac{2}{\pi} \int_0^\infty dq |\phi(\vec{q})|^2$$

“Renormalisation relation”

# Three-body physics

The Thomas Collapse (1935)

The Skorniakov Ter-Martirosian Equations (1955)

The Efimov breakthrough (1970)

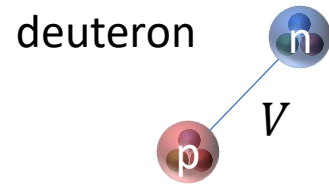
Efimov states

# The Thomas collapse (1935)



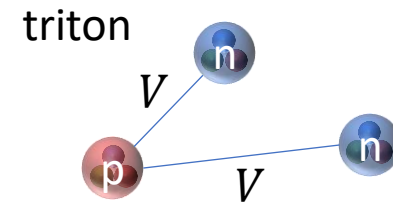
Llewellyn Thomas in 1926

“The Interaction Between a Neutron and a Proton and the Structure of H<sub>3</sub>.”, Phys. Rev. 47, 903, 1935



$$H_{2B} = T_1 + T_2 + V(r_{12})$$

Ground energy:  $E_{2B}$



$$H_{3B} = T_1 + T_2 + T_3 + V(r_{12}) + V(r_{13})$$

Ground energy:  $E_{3B} \leq \frac{\langle \psi | H_{3B} | \psi \rangle}{\langle \psi | \psi \rangle}$

|| For a particular ansatz  $\psi$

$$- \frac{\text{constant}}{b^2} |E_{2B}|$$

**Collapse:**

$E_{3B} \rightarrow -\infty$  when  $b \rightarrow 0$   
 Why? *This was a mystery.*

**Estimate of  $b$ :**

From the known ratio  $\frac{E_{3B}}{E_{2B}} = 4$ ,  
 Thomas found  $b \sim 6 \cdot 10^{-15} \text{m}$

# The Skorniakov – Ter-Martirosian equation (1955)



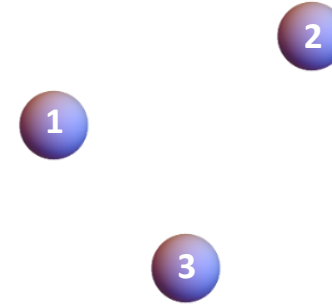
Karen Avetikovich Ter-Martirosian  
(undated)

G. Skorniakov and K. Ter-Martirosian, “Three Body Problem for Short Range Forces. I. Scattering of Low Energy Neutrons by Deuterons,” **Sov. Phys. JETP**, 4, 648, 1957.

# General three-body equation

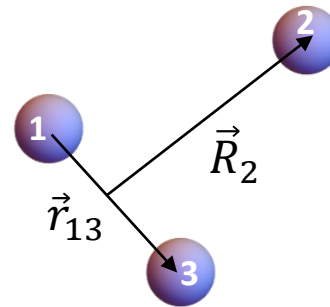
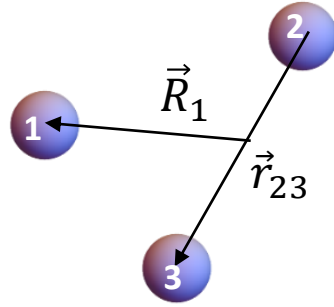
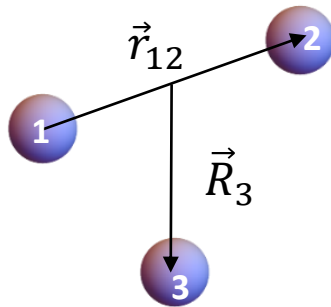
Three-body wave function:  $\Psi(\vec{x}_1, \vec{x}_2, \vec{x}_3)$

$$\hat{H} = -\frac{\hbar^2}{2m} \nabla_1^2 - \frac{\hbar^2}{2m} \nabla_2^2 - \frac{\hbar^2}{2m} \nabla_3^2 + V(r_{12}) + V(r_{13}) + V(r_{23})$$



1. Eliminate the centre of mass  $\vec{R} = (\vec{x}_1 + \vec{x}_2 + \vec{x}_3)/3$

2. Express the remaining coordinates in terms of Jacobi coordinates:  $\Psi(\vec{R}, \vec{r})$



$$\vec{r}_{ij} = \vec{x}_j - \vec{x}_i$$

$$\vec{R}_k = \vec{x}_k - \frac{\vec{x}_i + \vec{x}_j}{2}$$

$$\hat{H} = -\frac{3\hbar^2}{4m} \nabla_R^2 - \frac{\hbar^2}{m} \nabla_r^2 + V(r_{12}) + V(r_{13}) + V(r_{23})$$

## General three-body equation

$$\hat{H} = -\frac{3\hbar^2}{4m}\nabla_R^2 - \frac{\hbar^2}{m}\nabla_r^2 + V(r_{12}) + V(r_{13}) + V(r_{23})$$



Schrödinger equation at energy  $E$

$$\left(-\frac{3\hbar^2}{4m}\nabla_R^2 - \frac{\hbar^2}{m}\nabla_r^2 + V(r_{12}) + V(r_{13}) + V(r_{23}) - E\right)\Psi(\vec{R}, \vec{r}) = 0$$

Schrödinger equation at energy  $E = -\frac{\hbar^2\kappa^2}{m} < 0$

$$\left(-\frac{3}{4}\nabla_R^2 - \nabla_r^2 + \kappa^2\right)\Psi(\vec{R}, \vec{r}) = -\frac{m}{\hbar^2}(V(r_{12}) + V(r_{13}) + V(r_{23}))\Psi(\vec{R}, \vec{r})$$

## Zero-range limit

Schrödinger equation at energy  $E = -\frac{\hbar^2 \kappa^2}{m} < 0$

$$\left(-\frac{3}{4}\nabla_R^2 - \nabla_r^2 + \kappa^2\right)\Psi(\vec{R}, \vec{r}) = -\frac{m}{\hbar^2}(V(r_{12}) + V(r_{13}) + V(r_{23}))\Psi(\vec{R}, \vec{r})$$



Zero-range limit

$$\left(-\frac{3}{4}\nabla_R^2 - \nabla_r^2 + \kappa^2\right)\Psi(\vec{R}, \vec{r}) = 4\pi[F_1(R_1)\delta^3(r_{23}) + F_2(R_2)\delta^3(r_{13}) + F_3(R_3)\delta^3(r_{12})]$$

with  $\Psi(\vec{R}_k, \vec{r}_{ij}) \xrightarrow{r_{ij} \rightarrow 0} \left(\frac{1}{r_{ij}} - \frac{1}{a_{ij}}\right) F_k(\vec{R}_k)$

(Bethe-Peierls condition)



# The Skorniakov & Ter-Martirosian equation

$$\left(-\frac{3}{4}\nabla_R^2 - \nabla_r^2 + \kappa^2\right)\Psi(\vec{R}, \vec{r}) = 4\pi[F_1(R_1)\delta^3(r_{23}) + F_2(R_2)\delta^3(r_{13}) + F_3(R_3)\delta^3(r_{12})]$$

In momentum (Fourier) representation

with  $\Psi(\vec{R}_k, \vec{r}_{ij}) \xrightarrow{r_{ij} \rightarrow 0} \left(\frac{1}{r_{ij}} - \frac{1}{a_{ij}}\right) F_k(\vec{R}_k)$

$$\left(\frac{3}{4}P^2 + p^2 + \kappa^2\right)\tilde{\Psi}(\vec{P}, \vec{p}) = 4\pi \sum_{i=1,2,3} \tilde{F}_i(\vec{P}_i)$$

$$\tilde{\Psi}(\vec{P}, \vec{p}) = \frac{4\pi \sum_{i=1,2,3} \tilde{F}_i(\vec{P}_i)}{\frac{3}{4}P^2 + p^2 + \kappa^2} \quad \text{①}$$

②



Skorniakov – Ter-Martirosian integral equations:

$$\left(\frac{1}{a_{ij}} - \sqrt{\frac{3}{4}P^2 + \kappa^2}\right)\tilde{F}_k(\vec{P}) + 4\pi \int \frac{d^3\vec{Q}}{(2\pi)^3} \frac{\tilde{F}_i(\vec{Q}) + \tilde{F}_j(\vec{Q})}{P^2 + Q^2 + \vec{Q} \cdot \vec{P} + \kappa^2} = 0$$

with  $\{i, j, k\} = \{1, 2, 3\}$

**Benefit of the zero-range theory:**

Now, the unknown function has only 1 argument!

$$\tilde{\Psi}(\vec{P}, \vec{p}) \longrightarrow \tilde{F}(\vec{P})$$

# The Skorniakov & Ter-Martirosian equation

Detailed derivation (1/3):

$$\tilde{\Psi}(\vec{P}, \vec{p}) = \frac{4\pi \sum_{i=1,2,3} \tilde{F}_i(\vec{P}_i)}{\frac{3}{4}P^2 + p^2 + \kappa^2} \quad \textcircled{1} \qquad \text{with } \Psi(\vec{R}_k, \vec{r}_{ij}) \xrightarrow{r_{ij} \rightarrow 0} \left( \frac{1}{r_{ij}} - \frac{1}{a_{ij}} \right) F_k(\vec{R}_k) \quad \textcircled{2}$$

Consider the function  $\Omega(\vec{R}_k, \vec{r}_{ij}) = \Psi(\vec{R}_k, \vec{r}_{ij}) - \frac{1}{r_{ij}} F_k(\vec{R}_k)$ , which removes the  $1/r_{ij}$  divergence from  $\Psi$ .

According to  $\textcircled{2}$ , this function goes to the finite value  $-1/a_{ij} F_k(\vec{R}_k)$  when  $r_{ij} \rightarrow 0$ .

Let us consider its Fourier transform:  $\tilde{\Omega}(\vec{P}_k, \vec{p}_{ij}) = \tilde{\Psi}(\vec{P}_k, \vec{p}_{ij}) - \frac{4\pi}{p_{ij}^2} \tilde{F}_k(\vec{P}_k)$ .

We have  $\Omega(\vec{R}_k, \vec{r}_{ij}) = \int \frac{d^3\vec{P}}{(2\pi)^3} \int \frac{d^3\vec{p}}{(2\pi)^3} \Omega(\vec{P}, \vec{p}) e^{i(\vec{P} \cdot \vec{R}_k + \vec{p} \cdot \vec{r}_{ij})}$ , so  $\Omega(\vec{R}_k, \vec{0}) = \int \frac{d^3\vec{P}}{(2\pi)^3} \int \frac{d^3\vec{p}}{(2\pi)^3} \Omega(\vec{P}, \vec{p}) e^{i\vec{P} \cdot \vec{R}_k}$ , which is equal to  $-1/a_{ij} F_k(\vec{R}_k)$ . Taking the Fourier transform again, we arrive at  $\int \frac{d^3\vec{p}}{(2\pi)^3} \Omega(\vec{P}, \vec{p}) = -1/a_{ij} \tilde{F}_k(\vec{P})$   
i.e.

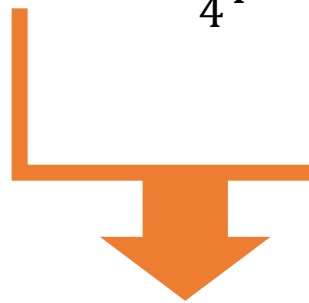
$$\int \frac{d^3\vec{p}_{ij}}{(2\pi)^3} \left[ \tilde{\Psi}(\vec{P}_k, \vec{p}_{ij}) - \frac{4\pi}{p_{ij}^2} \tilde{F}_k(\vec{P}_k) \right] = -\frac{1}{a_{ij}} \tilde{F}_k(\vec{P}_k) \quad \textcircled{3}$$

# The Skorniakov & Ter-Martirosian equation

Detailed derivation (2/3):

$$\tilde{\Psi}(\vec{P}, \vec{p}) = \frac{4\pi \sum_{i=1,2,3} \tilde{F}_i(\vec{P}_i)}{\frac{3}{4}P^2 + p^2 + \kappa^2} \quad \textcircled{1}$$

$$\text{with } \Psi(\vec{R}_k, \vec{r}_{ij}) \xrightarrow{r_{ij} \rightarrow 0} \left( \frac{1}{r_{ij}} - \frac{1}{a_{ij}} \right) F_k(\vec{R}_k) \quad \textcircled{2}$$



$$\int \frac{d^3 \vec{p}_{ij}}{(2\pi)^3} \left[ \tilde{\Psi}(\vec{P}_k, \vec{p}_{ij}) - \frac{4\pi}{p_{ij}^2} \tilde{F}_k(\vec{P}_k) \right] = -\frac{1}{a_{ij}} \tilde{F}_k(\vec{P}_k) \quad \textcircled{3}$$

$$4\pi \int \frac{d^3 \vec{p}_{ij}}{(2\pi)^3} \left[ \frac{\tilde{F}_i(\vec{P}_i) + \tilde{F}_j(\vec{P}_j) + \tilde{F}_k(\vec{P}_k)}{\frac{3}{4}P_k^2 + p_{ij}^2 + \kappa^2} - \frac{1}{p_{ij}^2} \tilde{F}_k(\vec{P}_k) \right] = -\frac{1}{a_{ij}} \tilde{F}_k(\vec{P}_k)$$

$$4\pi \int \frac{d^3 \vec{p}_{ij}}{(2\pi)^3} \left[ \frac{1}{p_{ij}^2 + \left( \frac{3}{4}P_k^2 + \kappa^2 \right)} - \frac{1}{p_{ij}^2} \right] \tilde{F}_k(\vec{P}_k) + 4\pi \int \frac{d^3 \vec{p}_{ij}}{(2\pi)^3} \frac{\tilde{F}_i(\vec{P}_i) + \tilde{F}_j(\vec{P}_j)}{\frac{3}{4}P_k^2 + p_{ij}^2 + \kappa^2} = -\frac{1}{a_{ij}} \tilde{F}_k(\vec{P}_k)$$

$$-\sqrt{\frac{3}{4}P_k^2 + \kappa^2}$$

## The Skorniakov & Ter-Martirosian equation

Detailed derivation (3/3):

$$\left( \frac{1}{a_{ij}} - \sqrt{\frac{3}{4}P_k^2 + \kappa^2} \right) \tilde{F}_k(\vec{P}_k) + 4\pi \int \frac{d^3\vec{p}_{ij}}{(2\pi)^3} \frac{\tilde{F}_i(\vec{P}_i)}{\frac{3}{4}P_k^2 + p_{ij}^2 + \kappa^2} + 4\pi \int \frac{d^3\vec{p}_{ij}}{(2\pi)^3} \frac{\tilde{F}_j(\vec{P}_j)}{\frac{3}{4}P_k^2 + p_{ij}^2 + \kappa^2} = 0$$

Using  $\vec{p}_{ij} = -\vec{P}_i - \frac{1}{2}\vec{P}_k$  and  $\vec{p}_{ij} = \vec{P}_j + \frac{1}{2}\vec{P}_k$  to make a change of integration variable  $\vec{p}_{ij} \rightarrow \vec{P}_i$  and  $\vec{p}_{ij} \rightarrow \vec{P}_j$  in the two integrals, one obtains:

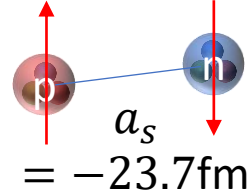
$$\left( \frac{1}{a_{ij}} - \sqrt{\frac{3}{4}P_k^2 + \kappa^2} \right) \tilde{F}_k(\vec{P}_k) + 4\pi \int \frac{d^3\vec{P}_i}{(2\pi)^3} \frac{\tilde{F}_i(\vec{P}_i)}{\frac{3}{4}P_k^2 + \left(\vec{P}_i + \frac{1}{2}\vec{P}_k\right)^2 + \kappa^2} + 4\pi \int \frac{d^3\vec{P}_j}{(2\pi)^3} \frac{\tilde{F}_j(\vec{P}_j)}{\frac{3}{4}P_k^2 + \left(\vec{P}_j + \frac{1}{2}\vec{P}_k\right)^2 + \kappa^2} = 0$$

Finally, relabelling the integration variables  $\vec{P}_i$  and  $\vec{P}_j$  as  $\vec{Q}$ , one arrives at the Skorniakov – Ter-Martirosian equations:

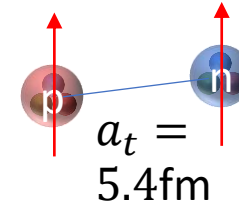
$$\left( \frac{1}{a_{ij}} - \sqrt{\frac{3}{4}P^2 + \kappa^2} \right) \tilde{F}_k(\vec{P}) + 4\pi \int \frac{d^3\vec{Q}}{(2\pi)^3} \frac{\tilde{F}_i(\vec{Q}) + \tilde{F}_j(\vec{Q})}{P^2 + Q^2 + \vec{Q} \cdot \vec{P} + \kappa^2} = 0$$

# Application to nucleons:

Nucleon interaction:

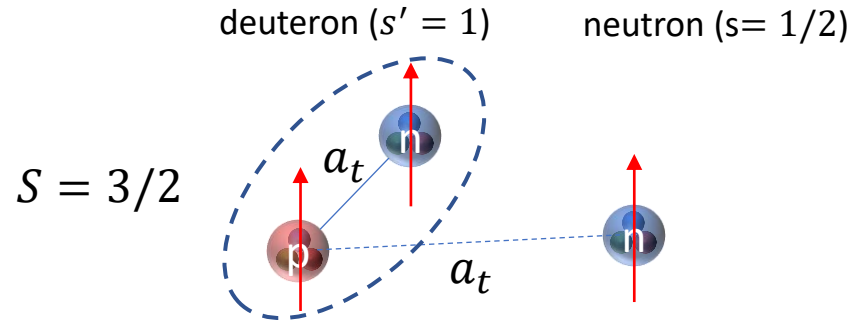


Singlet  $S = 0$   
 $|\uparrow\rangle|\downarrow\rangle - |\downarrow\rangle|\uparrow\rangle$



Triplet  $S = 1$   
 $|\uparrow\rangle|\uparrow\rangle$   
 $|\uparrow\rangle|\downarrow\rangle + |\downarrow\rangle|\uparrow\rangle$   
 $|\downarrow\rangle|\downarrow\rangle$

Deuteron-neutron scattering:

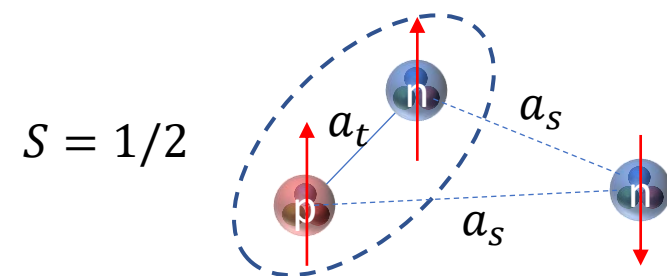


$$F_3 = -F_2 \equiv F \qquad F_1 = 0$$

$$\left( \frac{1}{a_t} - \sqrt{\frac{3}{4}P^2 + \kappa^2} \right) \tilde{F}(\vec{P}) - 4\pi \int \frac{d^3\vec{Q}}{(2\pi)^3} \frac{\tilde{F}(\vec{Q})}{P^2 + Q^2 + \vec{Q} \cdot \vec{P} + \kappa^2} = 0$$

Neutron-deuteron scatt. Length:  $a_{nd} = 1.18a_t$

Universal result



Simplified version:  $a_t = a_s \quad F_1 = F_2 = F_3 \equiv F$   
 (equivalent to the problem of 3 identical bosons)

$$\left( \frac{1}{a_t} - \sqrt{\frac{3}{4}P^2 + \kappa^2} \right) \tilde{F}(\vec{P}) + 8\pi \int \frac{d^3\vec{Q}}{(2\pi)^3} \frac{\tilde{F}(\vec{Q})}{P^2 + Q^2 + \vec{Q} \cdot \vec{P} + \kappa^2} = 0$$

Problem: energy is not bound from below!

## The analytical solution of Minlos-Faddeev (1961)

Skorniakov – Ter-Martirosian integral equation for three bosons at unitarity ( $a \rightarrow \infty$ ):

$$\left( \frac{1}{a} - \sqrt{\frac{3}{4}P^2 + \kappa^2} \right) \tilde{F}(P) + \frac{2}{\pi} \int_0^\infty \frac{Q}{P} dQ \ln \frac{Q^2 + P^2 + PQ + \kappa^2}{Q^2 + P^2 - PQ + \kappa^2} \tilde{F}(Q) = 0$$

1. Extension of integration to  $[-\infty, \infty]$

$$\left( 0 - \sqrt{\frac{3}{4}P^2 + \kappa^2} \right) \tilde{F}(P) + \frac{1}{\pi} \int_{-\infty}^\infty \frac{Q}{P} dQ \ln \frac{Q^2 + P^2 + PQ + \kappa^2}{Q^2 + P^2 - PQ + \kappa^2} \tilde{F}(Q) = 0$$

2. Change of variables:  $P = \frac{1}{\sqrt{3}} \kappa \left( z - \frac{1}{z} \right)$        $Q = \frac{1}{\sqrt{3}} \kappa (z' - 1/z')$

$$-g(z) + \frac{4}{\pi\sqrt{3}} \int_0^\infty \frac{dz'}{z'} \ln \left( \frac{z'^2 + z^2 + zz'}{z'^2 + z^2 - zz'} \right) g(z') = 0 \quad \text{where } g(z) \equiv \left( z^2 - \frac{1}{z^2} \right) \tilde{F}(P)$$

## The analytical solution of Minlos-Faddeev (1961)

$$-g(z) + \frac{4}{\pi\sqrt{3}} \int_0^\infty \frac{dz'}{z'} \ln \left( \frac{z'^2 + z^2 + zz'}{z'^2 + z^2 - zz'} \right) g(z') = 0 \quad \text{where } g(z) \equiv \left( z^2 - \frac{1}{z^2} \right) F(P)$$

**Scale invariance:**  $z \rightarrow \lambda z$  (if  $g(z)$  is a solution, then  $g(\lambda z)$  is also a solution)

3. Solution of the form:  $g(z) = z^s$

$$-z^s + \frac{4}{\pi\sqrt{3}} \int_0^\infty dz' \ln \left( \frac{z'^2 + z^2 + zz'}{z'^2 + z^2 - zz'} \right) z'^{s-1} = 0$$

$$-1 + \frac{4}{\pi\sqrt{3}} \int_0^\infty dx' \ln \left( \frac{1 + x^2 + x}{1 + x^2 - x} \right) x^{s-1} = 0$$

$$\underbrace{\hspace{10em}}_{\frac{2\pi}{s} \frac{\sin(\frac{\pi}{6}s)}{\cos(\frac{\pi}{2}s)} \text{ for } -1 < \text{Re}(s) < 1}$$

Transcendental equation:



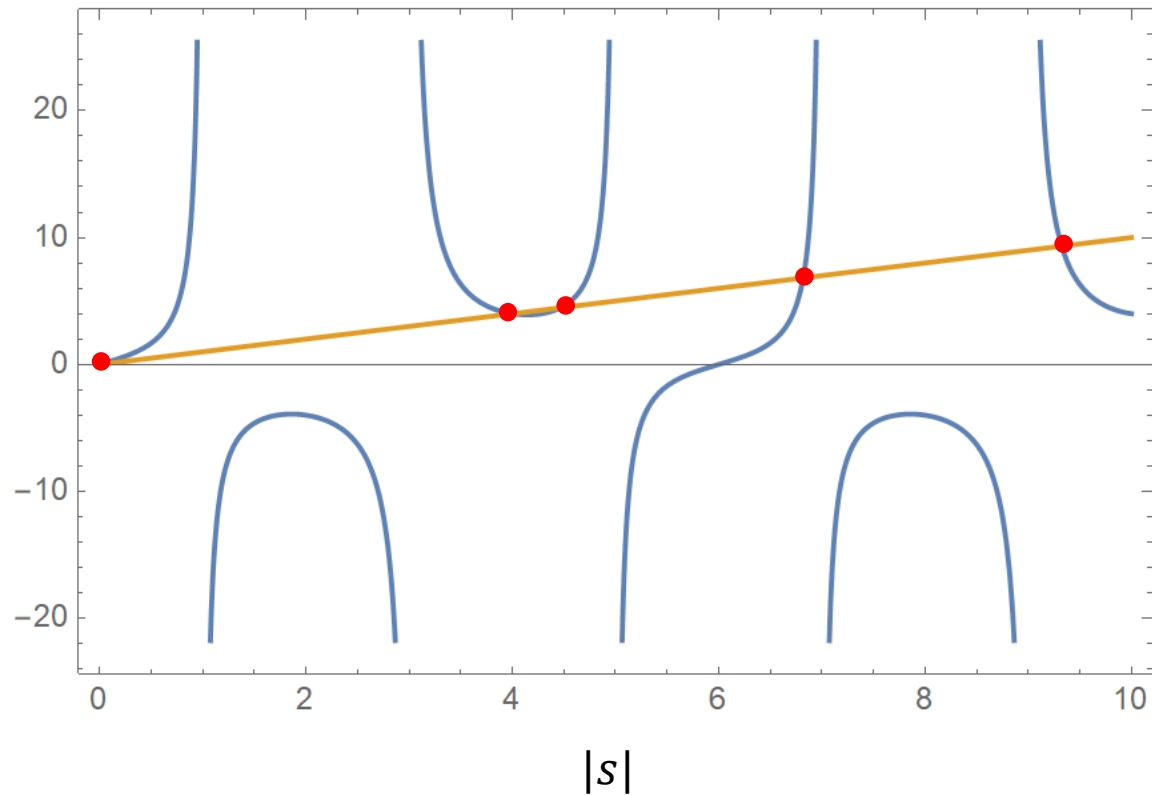
$$s = \frac{8\pi \sin\left(\frac{\pi}{6}s\right)}{\sqrt{3} \cos\left(\frac{\pi}{2}s\right)}$$

### 3. Three-body physics > Skorniakov – Ter-Martirosian's theory (1955)

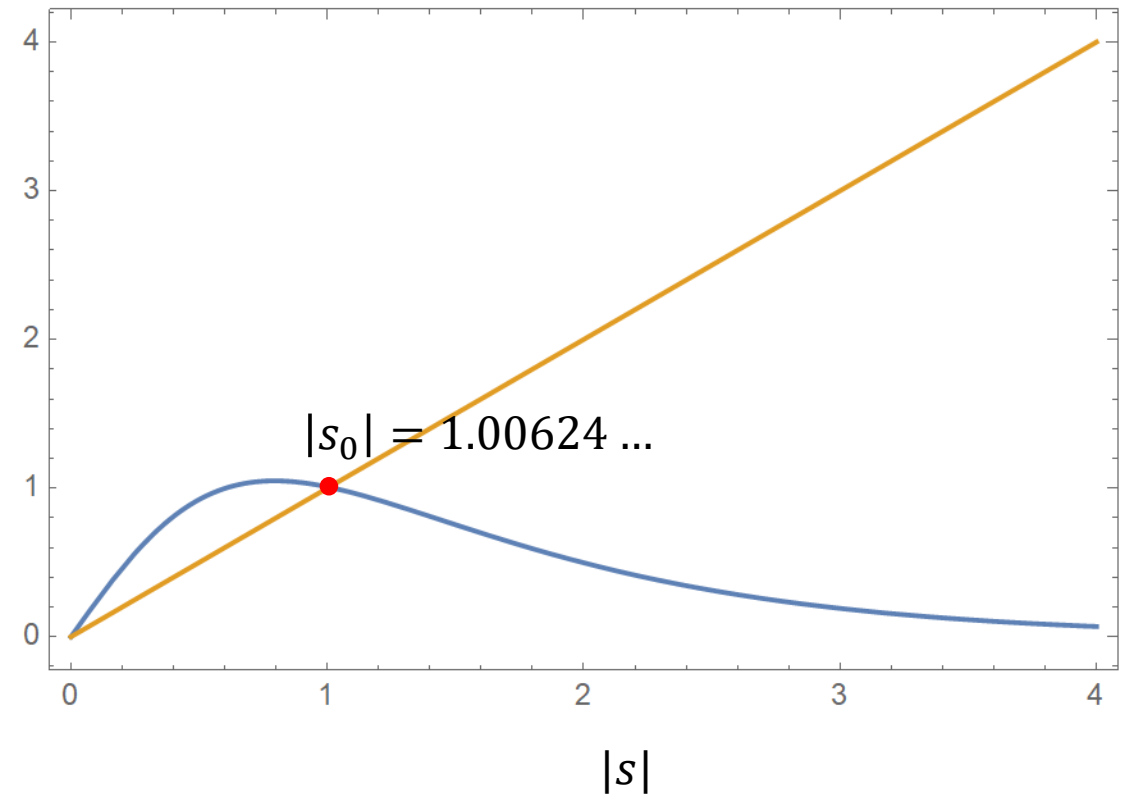
3. Solution of the form:  $g(z) = z^s$

$$s = \frac{8\pi \sin\left(\frac{\pi}{6}s\right)}{\sqrt{3} \cos\left(\frac{\pi}{2}s\right)}$$

Real solutions  $s = \pm|s|$



Imaginary solutions  $s = \pm i|s|$





### 3. Three-body physics › Skorniakov – Ter-Martirosian's theory (1955)

3. Solution of the form:  $g(z) = z^s$

$$s = \frac{8\pi \sin\left(\frac{\pi}{6}s\right)}{\sqrt{3} \cos\left(\frac{\pi}{2}s\right)}$$

$$g(z) = C_+ z^{i|s_0|} + C_- z^{-i|s_0|}$$

Since  $g(z) = (z^2 - z^{-2})\tilde{F}(P)$ , we have  $g(1) = 0$ , therefore  $C_+ = -C_- \equiv C$ .

$$g(z) = C (z^{i|s_0|} - z^{-i|s_0|})$$

4. Going back to the original variables, we obtain the solution:

$$\tilde{F}(P) \propto \frac{1}{P \sqrt{1 + \frac{3P^2}{4\kappa^2}}} \sin\left(|s_0| \operatorname{arcsinh} \frac{\sqrt{3}P}{2\kappa}\right)$$

**Solution valid for any  $\kappa$ , i.e. any negative energy!**  
(consistent with Thomas collapse)

**Obviously something is wrong**



Vitaly Efimov in 1977

## Efimov's breakthrough (1970)

V. Efimov, "Weakly-bound states of three resonantly-interacting particles," **Yad. Fiz.**, **12**, 1080–1091, November 1970, [**Sov. J. Nucl. Phys.** **12**, 589-595 (1971)].

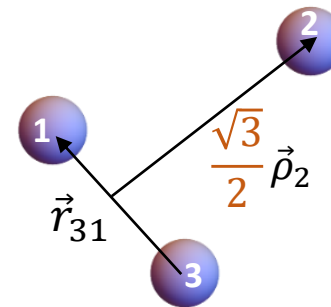
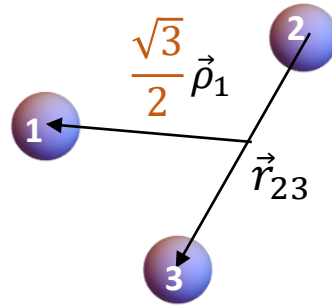
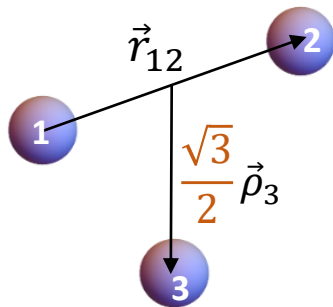
V. Efimov, "Energy levels arising from resonant two-body forces in a three-body system." **Physics Letters B**, **33**, 563 – 564, 1970.

# Derivation for three identical bosons

Hamiltonian in coordinate representation:

$$\hat{H} = -\frac{\hbar^2}{2m}\nabla_1^2 - \frac{\hbar^2}{2m}\nabla_2^2 - \frac{\hbar^2}{2m}\nabla_3^2 \quad \text{with the two-body condition } \Psi \xrightarrow{r_{ij} \rightarrow 0} \propto \frac{1}{r_{ij}} - \frac{1}{a}$$

1. Eliminate the centre of mass  $\vec{R} = \vec{x}_1 + \vec{x}_2 + \vec{x}_3$
2. Express the remaining coordinates in terms of Jacobi coordinates:



$$\vec{r}_{ij} = \vec{x}_j - \vec{x}_i$$

$$\frac{\sqrt{3}}{2}\vec{\rho}_k = \vec{x}_k - \frac{\vec{x}_i + \vec{x}_j}{2}$$

Schrödinger equation:

$$(-\nabla_{r_{12}}^2 - \nabla_{\rho_3}^2 - k^2)\Psi = 0$$

For a total energy  
 $E = \hbar^2 k^2 / m$

# Derivation for three identical bosons

3. Make the Faddeev decomposition:

$$\begin{aligned}\Psi &= \chi(\vec{r}_{12}, \vec{\rho}_3) + \chi(\vec{r}_{23}, \vec{\rho}_1) + \chi(\vec{r}_{31}, \vec{\rho}_2) \\ &= \chi(\vec{r}, \vec{\rho}) + \chi\left(-\frac{1}{2}\vec{r} + \frac{\sqrt{3}}{2}\vec{\rho}, -\frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right) + \chi\left(-\frac{1}{2}\vec{r} - \frac{\sqrt{3}}{2}\vec{\rho}, \frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right)\end{aligned}$$

Where  $\chi$  satisfies

$$(-\nabla_r^2 - \nabla_\rho^2 - k^2)\chi(\vec{r}, \vec{\rho}) = 0$$

4. Apply the two-body condition  $\Psi \xrightarrow{r_{ij} \rightarrow 0} \propto \frac{1}{r_{ij}} - \frac{1}{a} \iff \frac{\partial}{\partial r}(r\Psi) = -\frac{1}{a}(r\Psi)$  for  $r \rightarrow 0$

# Derivation for three identical bosons

3. Make the Faddeev decomposition:

$$\begin{aligned}\Psi &= \chi(\vec{r}_{12}, \vec{\rho}_3) + \chi(\vec{r}_{23}, \vec{\rho}_1) + \chi(\vec{r}_{31}, \vec{\rho}_2) \\ &= \chi(\vec{r}, \vec{\rho}) + \chi\left(-\frac{1}{2}\vec{r} + \frac{\sqrt{3}}{2}\vec{\rho}, -\frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right) + \chi\left(-\frac{1}{2}\vec{r} - \frac{\sqrt{3}}{2}\vec{\rho}, \frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right)\end{aligned}$$

Where  $\chi$  satisfies

$$(-\nabla_r^2 - \nabla_\rho^2 - k^2)\chi(\vec{r}, \vec{\rho}) = 0$$

4. Apply the two-body condition  $\Psi \xrightarrow{r_{ij} \rightarrow 0} \propto \frac{1}{r_{ij}} - \frac{1}{a} \iff \frac{\partial}{\partial r}(r\Psi) = -\frac{1}{a}(r\Psi)$  for  $r \rightarrow 0$

$$\left[ \frac{\partial}{\partial r}(r\chi(\vec{r}, \vec{\rho})) \right]_{r \rightarrow 0} + \left[ \frac{\partial}{\partial r}\left(r\chi\left(-\frac{1}{2}\vec{r} + \frac{\sqrt{3}}{2}\vec{\rho}, -\frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right)\right) \right]_{r \rightarrow 0} + \left[ \frac{\partial}{\partial r}\left(r\chi\left(-\frac{1}{2}\vec{r} - \frac{\sqrt{3}}{2}\vec{\rho}, \frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right)\right) \right]_{r \rightarrow 0}$$

$$= -\frac{1}{a} \left[ r \left( \chi(\vec{r}, \vec{\rho}) + \chi\left(-\frac{1}{2}\vec{r} + \frac{\sqrt{3}}{2}\vec{\rho}, -\frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right) + \chi\left(-\frac{1}{2}\vec{r} - \frac{\sqrt{3}}{2}\vec{\rho}, \frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right) \right) \right]_{r \rightarrow 0}$$

$$\left[ \frac{\partial}{\partial r}(r\chi(\vec{r}, \vec{\rho})) \right]_{r \rightarrow 0} + \chi\left(\frac{\sqrt{3}}{2}\vec{\rho}, -\frac{1}{2}\vec{\rho}\right) + \chi\left(-\frac{\sqrt{3}}{2}\vec{\rho}, -\frac{1}{2}\vec{\rho}\right)$$

$$= -\frac{1}{a} \left[ r \left( \chi(\vec{r}, \vec{\rho}) + \chi\left(\frac{\sqrt{3}}{2}\vec{\rho}, -\frac{1}{2}\vec{\rho}\right) + \chi\left(-\frac{\sqrt{3}}{2}\vec{\rho}, -\frac{1}{2}\vec{\rho}\right) \right) \right]_{r \rightarrow 0}$$

# Derivation for three identical bosons

3. Make the Faddeev decomposition:

$$\begin{aligned}\Psi &= \chi(\vec{r}_{12}, \vec{\rho}_3) + \chi(\vec{r}_{23}, \vec{\rho}_1) + \chi(\vec{r}_{31}, \vec{\rho}_2) \\ &= \chi(\vec{r}, \vec{\rho}) + \chi\left(-\frac{1}{2}\vec{r} + \frac{\sqrt{3}}{2}\vec{\rho}, -\frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right) + \chi\left(-\frac{1}{2}\vec{r} - \frac{\sqrt{3}}{2}\vec{\rho}, \frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right)\end{aligned}$$

Where  $\chi$  satisfies

$$(-\nabla_r^2 - \nabla_\rho^2 - k^2)\chi(\vec{r}, \vec{\rho}) = 0$$

4. Apply the two-body condition  $\Psi \xrightarrow{r_{ij} \rightarrow 0} \propto \frac{1}{r_{ij}} - \frac{1}{a} \iff \frac{\partial}{\partial r}(r\Psi) = -\frac{1}{a}(r\Psi)$  for  $r \rightarrow 0$

$$\left[ \frac{\partial}{\partial r}(r\chi(\vec{r}, \vec{\rho})) \right]_{r \rightarrow 0} + \left[ \frac{\partial}{\partial r}\left(r\chi\left(-\frac{1}{2}\vec{r} + \frac{\sqrt{3}}{2}\vec{\rho}, -\frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right)\right) \right]_{r \rightarrow 0} + \left[ \frac{\partial}{\partial r}\left(r\chi\left(-\frac{1}{2}\vec{r} - \frac{\sqrt{3}}{2}\vec{\rho}, \frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right)\right) \right]_{r \rightarrow 0}$$

$$= -\frac{1}{a} \left[ r \left( \chi(\vec{r}, \vec{\rho}) + \chi\left(-\frac{1}{2}\vec{r} + \frac{\sqrt{3}}{2}\vec{\rho}, -\frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right) + \chi\left(-\frac{1}{2}\vec{r} - \frac{\sqrt{3}}{2}\vec{\rho}, \frac{\sqrt{3}}{2}\vec{r} - \frac{1}{2}\vec{\rho}\right) \right) \right]_{r \rightarrow 0}$$

$$\left[ \frac{\partial}{\partial r}(r\chi(\vec{r}, \vec{\rho})) \right]_{r \rightarrow 0} + \chi\left(\frac{\sqrt{3}}{2}\vec{\rho}, -\frac{1}{2}\vec{\rho}\right) + \chi\left(-\frac{\sqrt{3}}{2}\vec{\rho}, -\frac{1}{2}\vec{\rho}\right)$$

$$= -\frac{1}{a} [r\chi(\vec{r}, \vec{\rho})]_{r \rightarrow 0}$$

# Derivation for three identical bosons

Equation:

$$(-\nabla_r^2 - \nabla_\rho^2 - k^2)\chi(\vec{r}, \vec{\rho}) = 0$$

Boundary condition  $r \rightarrow 0$ :

$$\left[ \frac{\partial}{\partial r} (r\chi(\vec{r}, \vec{\rho})) \right]_{r \rightarrow 0} + \chi\left(\frac{\sqrt{3}}{2}\vec{\rho}, -\frac{1}{2}\vec{\rho}\right) + \chi\left(-\frac{\sqrt{3}}{2}\vec{\rho}, -\frac{1}{2}\vec{\rho}\right) = -\frac{1}{a} [r\chi(\vec{r}, \vec{\rho})]_{r \rightarrow 0}$$

5. Expand  $\chi$  in partial waves. For a total angular momentum  $L = 0$ ,

$$\chi(\vec{r}, \vec{\rho}) = \frac{\chi_0(r, \rho)}{r\rho}$$

$$\Rightarrow \left( -\frac{1}{r} \frac{\partial^2}{\partial r^2} r - \frac{1}{\rho} \frac{\partial^2}{\partial \rho^2} \rho - k^2 \right) \frac{\chi_0(r, \rho)}{r\rho} = 0 \quad \text{with} \quad \left[ \frac{\partial}{\partial r} \frac{\chi_0(r, \rho)}{\rho} \right]_{r \rightarrow 0} + 2 \times \frac{\chi_0\left(\frac{\sqrt{3}}{2}\rho, \frac{1}{2}\rho\right)}{\frac{\sqrt{3}}{2}\rho \cdot \frac{1}{2}\rho} = -\frac{1}{a} \frac{\chi_0(0, \rho)}{\rho}$$

$$\Rightarrow \left( -\frac{\partial^2}{\partial r^2} - \frac{\partial^2}{\partial \rho^2} - k^2 \right) \chi_0(r, \rho) = 0 \quad \text{with} \quad \left[ \frac{\partial}{\partial r} \chi_0(r, \rho) \right]_{r \rightarrow 0} + \frac{8}{\sqrt{3}\rho} \chi_0\left(\frac{\sqrt{3}}{2}\rho, \frac{1}{2}\rho\right) = -\frac{1}{a} \chi_0(0, \rho)$$

# Derivation for three identical bosons

Equation:

$$\left(-\frac{\partial^2}{\partial r^2} - \frac{\partial^2}{\partial \rho^2} - k^2\right) \chi_0(r, \rho) = 0$$

Boundary condition  $r \rightarrow 0$ :

$$\left[\frac{\partial}{\partial r} \chi_0(r, \rho)\right]_{r \rightarrow 0} + \frac{8}{\sqrt{3}\rho} \chi_0\left(\frac{\sqrt{3}}{2}\rho, \frac{1}{2}\rho\right) = -\frac{1}{a} \chi_0(0, \rho)$$

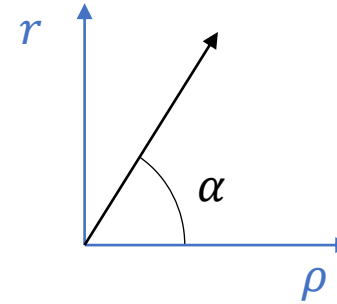
6. Change the coordinates  $(r, \rho)$  to polar coordinates  $(R, \alpha)$ 

$$r = R \sin \alpha$$

$$\rho = R \cos \alpha$$

$$R = \sqrt{r^2 + \rho^2} \quad (\text{hyper-radius})$$

$$\alpha = \arctan r/\rho \quad (\text{hyper-angle})$$

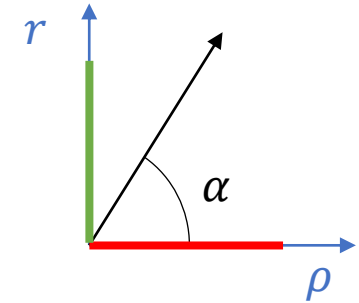


$$\left(-\frac{\partial^2}{\partial R^2} - \frac{1}{R} \frac{\partial}{\partial R} - \frac{1}{R^2} \frac{\partial^2}{\partial \alpha^2} - k^2\right) \chi_0(R, \alpha) = 0$$

$$\text{with } \left[\frac{\partial}{\partial \alpha} \chi_0(R, \alpha)\right]_{\alpha \rightarrow 0} + \frac{8}{\sqrt{3}} \chi_0\left(R, \frac{\pi}{3}\right) = -\frac{R}{a} \chi_0(R, 0)$$



# Derivation for three identical bosons



Equation:

$$\left( -\frac{\partial^2}{\partial R^2} - \frac{1}{R} \frac{\partial}{\partial R} - \frac{1}{R^2} \frac{\partial^2}{\partial \alpha^2} - k^2 \right) \chi_0(R, \alpha) = 0$$

Boundary condition  $\alpha \rightarrow 0$ :

$$\left[ \frac{\partial}{\partial \alpha} \chi_0(R, \alpha) \right]_{\alpha \rightarrow 0} + \frac{8}{\sqrt{3}} \chi_0\left(R, \frac{\pi}{3}\right) = -\frac{R}{a} \chi_0(R, 0)$$

Boundary condition  $\alpha \rightarrow \frac{\pi}{2}$ :  $\chi_0\left(R, \frac{\pi}{2}\right) = 0$

$$\chi(\vec{r}, \vec{\rho}) = \frac{\chi_0(r, \rho)}{r\rho} \implies [\chi_0(r, \rho)]_{\rho \rightarrow 0} = 0$$

7. **At unitarity**  $a \rightarrow \infty$ , the two boundary conditions are independent of  $R$ .  
Therefore, the problem becomes separable in  $R$  and  $\alpha$

Solutions of the form:  $\chi_0(R, \alpha) = F_n(R) \phi_n(\alpha)$

Boundary condition  $\alpha \rightarrow \frac{\pi}{2}$ : OK

Eigenfunctions of  $-\partial^2/\partial \alpha^2$ :

$$-\frac{\partial^2}{\partial \alpha^2} \phi_n(\alpha) = s_n^2 \phi_n(\alpha) \implies \phi_n(\alpha) = \sin\left(s_n \left(\frac{\pi}{2} - \alpha\right)\right)$$

Boundary condition  $\alpha \rightarrow 0$ :

$$s_n \cos\left(\frac{s_n \pi}{2}\right) + \frac{8}{\sqrt{3}} \sin\left(\frac{s_n \pi}{6}\right) = 0$$

# Derivation for three identical bosons

Equation:

$$\left( -\frac{\partial^2}{\partial R^2} - \frac{1}{R} \frac{\partial}{\partial R} - \frac{1}{R^2} \frac{\partial^2}{\partial \alpha^2} - k^2 \right) \chi_0(R, \alpha) = 0$$

Solutions of the form:  $\chi_0(R, \alpha) = F_n(R) \phi_n(\alpha)$

Eigenfunctions of  $-\partial^2/\partial \alpha^2$ :

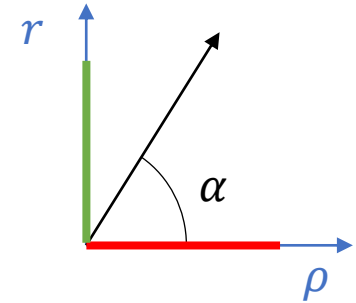
$$-\frac{\partial^2}{\partial \alpha^2} \phi_n(\alpha) = s_n^2 \phi_n(\alpha) \quad \Rightarrow \quad \phi_n(\alpha) = \sin\left(s_n \left(\frac{\pi}{2} - \alpha\right)\right)$$

$$s_n \cos\left(\frac{s_n \pi}{2}\right) + \frac{8}{\sqrt{3}} \sin\left(\frac{s_n \pi}{6}\right) = 0$$

$$\left( -\frac{\partial^2}{\partial R^2} - \frac{1}{R} \frac{\partial}{\partial R} + \frac{s_n^2}{R^2} - k^2 \right) F_n(R) = 0$$

$$\left( -\frac{\partial^2}{\partial R^2} + \frac{s_n^2 - \frac{1}{4}}{R^2} - k^2 \right) \sqrt{R} F_n(R) = 0$$

$V_n(R)$



# Derivation for three identical bosons

Effective Schrödinger equation for the hyper-radius  $R$

$$\left( -\frac{\partial^2}{\partial R^2} + \underbrace{\frac{s_n^2 - \frac{1}{4}}{R^2}}_{V_n(R)} - k^2 \right) \sqrt{R} F_n(R) = 0$$

$$s_n \cos\left(\frac{s_n \pi}{2}\right) + \frac{8}{\sqrt{3}} \sin\left(\frac{s_n \pi}{6}\right) = 0$$

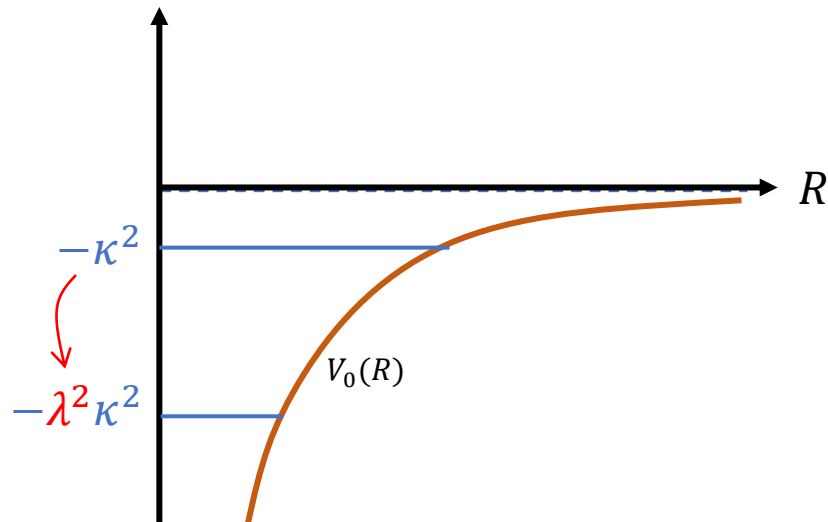
All  $s_n$  are real, except one:  $s_0 = \pm i1.00624$

For  $n = 0$ , one gets the **Efimov attractive potential**

$$V_0(R) = -\frac{|s_0|^2 + \frac{1}{4}}{R^2}$$

**Scale invariance**

$$R \rightarrow \lambda R$$



Solution  $F$  at energy  $-\kappa^2$

$$\left( -\frac{\partial^2}{\partial R^2} + \frac{s_n^2 - \frac{1}{4}}{R^2} + \kappa^2 \right) \sqrt{R} F_n(R) = 0$$

Solution  $F$  at energy  $-\lambda^2 \kappa^2$

$$\left( -\frac{\partial^2}{\partial R^2} + \frac{s_n^2 - \frac{1}{4}}{R^2} + \lambda^2 \kappa^2 \right) \sqrt{\lambda R} F_n(\lambda R) = 0$$

Without boundary condition at short hyper-radius, the Efimov attraction allows any negative energy : **this illustrates the Thomas collapse!**

# Derivation for three identical bosons

Effective Schrödinger equation for the hyper-radius  $R$

$$\left( -\frac{\partial^2}{\partial R^2} + \underbrace{\frac{s_n^2 - \frac{1}{4}}{R^2}}_{V_n(R)} - k^2 \right) \sqrt{R} F_n(R) = 0$$

$$s_n \cos\left(\frac{s_n \pi}{2}\right) + \frac{8}{\sqrt{3}} \sin\left(\frac{s_n \pi}{6}\right) = 0$$

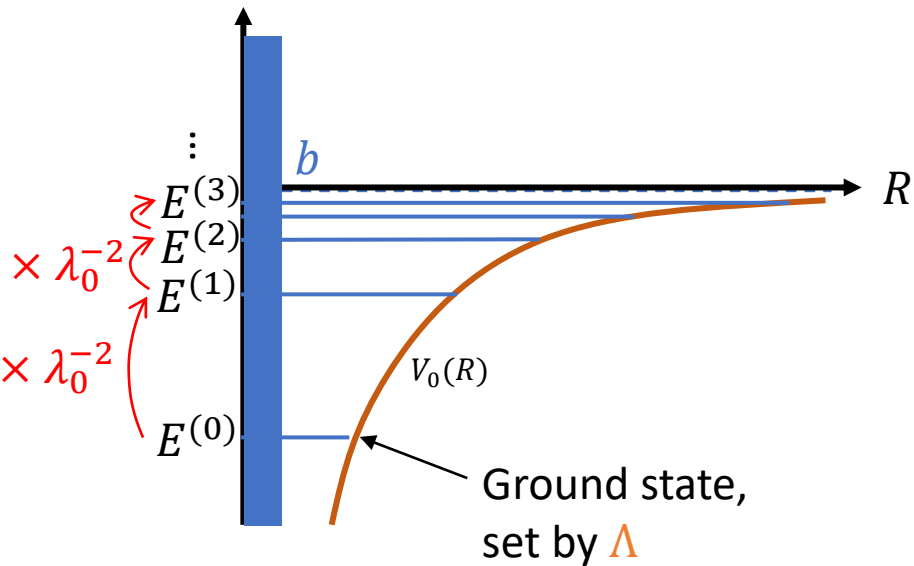
All  $s_n$  are real, except one:  $s_0 = \pm i1.00624$

For  $n = 0$ , one gets the **Efimov attractive potential**

$$V_0(R) = -\frac{|s_0|^2 + \frac{1}{4}}{R^2}$$

**Discrete scale invariance**

$$R \rightarrow \lambda_0 R$$



**The problem is due to the zero-range approximation.** In reality, when the three particles come within distances of the order of  $b$ , the interaction potential sets a boundary condition for  $R$ .

For small  $R$ ,  $F_0(R) = \alpha R^{i|s_0|} + \beta R^{-i|s_0|} \propto \cos(|s_0| \ln \Lambda R)$  ↗ Three-body parameter

$$F_0(\lambda R) \propto \cos(|s_0| \ln \Lambda \lambda R) = \cos(|s_0| \ln \Lambda R + \underbrace{|s_0| \ln \lambda}_{\pi}) \propto F_0(R)$$

$$\lambda_0 = e^{\pi/|s_0|} \approx 22.7$$

$$E^{(n)} = E^{(0)} \lambda_0^{-2n}$$

# Generalised discrete scaling away from unitarity

Suppose we have a solution  $\chi_0$  of:

Equation:

$$\left( -\frac{\partial^2}{\partial R^2} - \frac{1}{R} \frac{\partial}{\partial R} - \frac{1}{R^2} \frac{\partial^2}{\partial \alpha^2} + \kappa^2 \right) \chi_0(R, \alpha) = 0$$

Boundary condition  $\alpha \rightarrow 0$ :

$$\left[ \frac{\partial}{\partial \alpha} \chi_0(R, \alpha) \right]_{\alpha \rightarrow 0} + \frac{8}{\sqrt{3}} \chi_0 \left( R, \frac{\pi}{3} \right) = -\frac{R}{a} \chi_0(R, 0)$$

Discrete scaling:  $R \rightarrow R/\lambda_0$

$$\left( -\frac{\partial^2}{\partial R^2} - \frac{1}{R} \frac{\partial}{\partial R} - \frac{1}{R^2} \frac{\partial^2}{\partial \alpha^2} + \lambda_0^{-2} \kappa^2 \right) \chi_0(\lambda_0 R, \alpha) = 0 \quad \left[ \frac{\partial}{\partial \alpha} \chi_0(\lambda_0 R, \alpha) \right]_{\alpha \rightarrow 0} + \frac{8}{\sqrt{3}} \chi_0 \left( \lambda_0 R, \frac{\pi}{3} \right) = -\frac{R}{\lambda_0 a} \chi_0(\lambda_0 R, 0)$$

i.e. we have a new solution for:

$$\begin{aligned} a &\rightarrow \lambda_0 a \\ \kappa &\rightarrow \kappa / \lambda_0 \end{aligned}$$

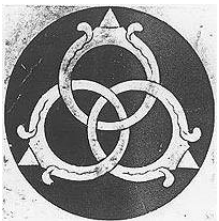
$$(a^{-1}, \kappa) \rightarrow (a^{-1}, \kappa) / \lambda_0$$

# “Efimov spectrum”



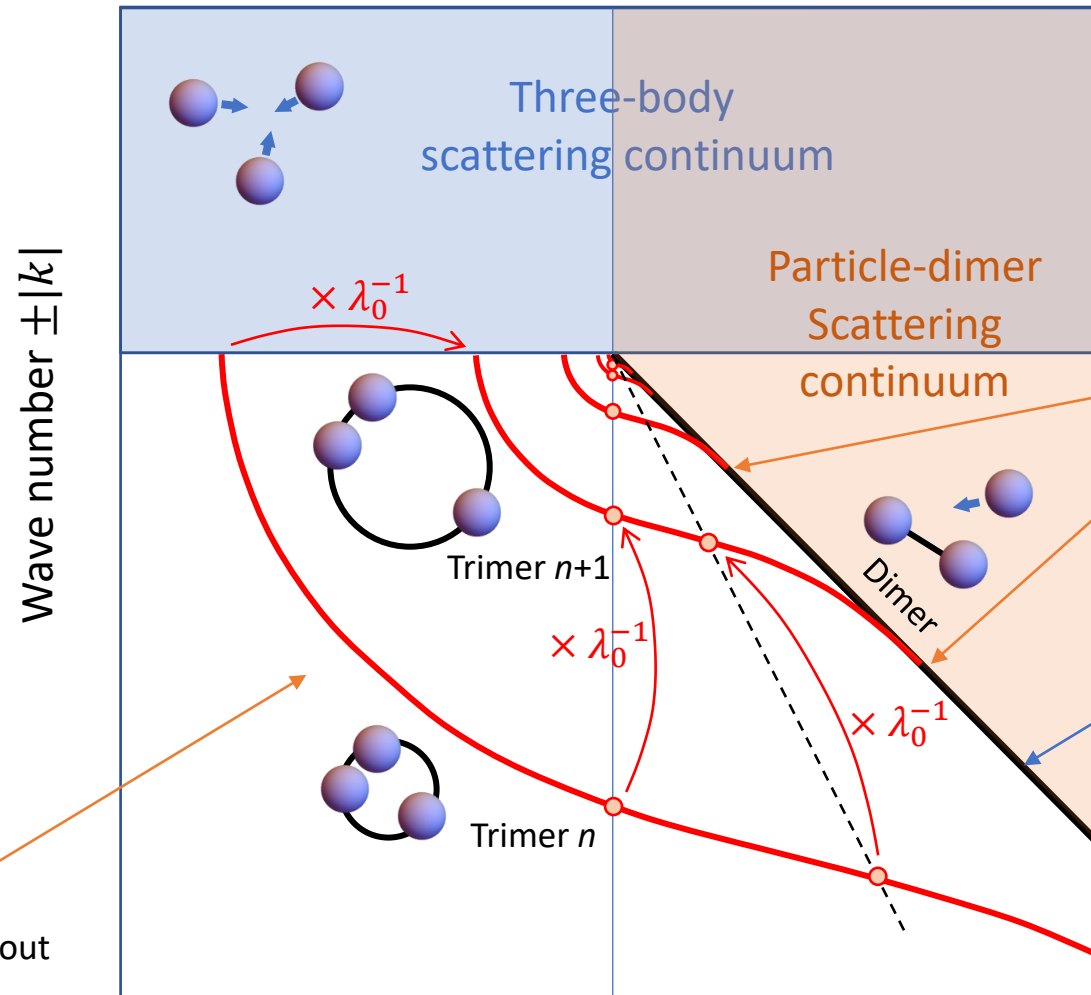
## 1. Discrete scale invariance

Infinite number of three-body bound states.



## 2. Borromean states

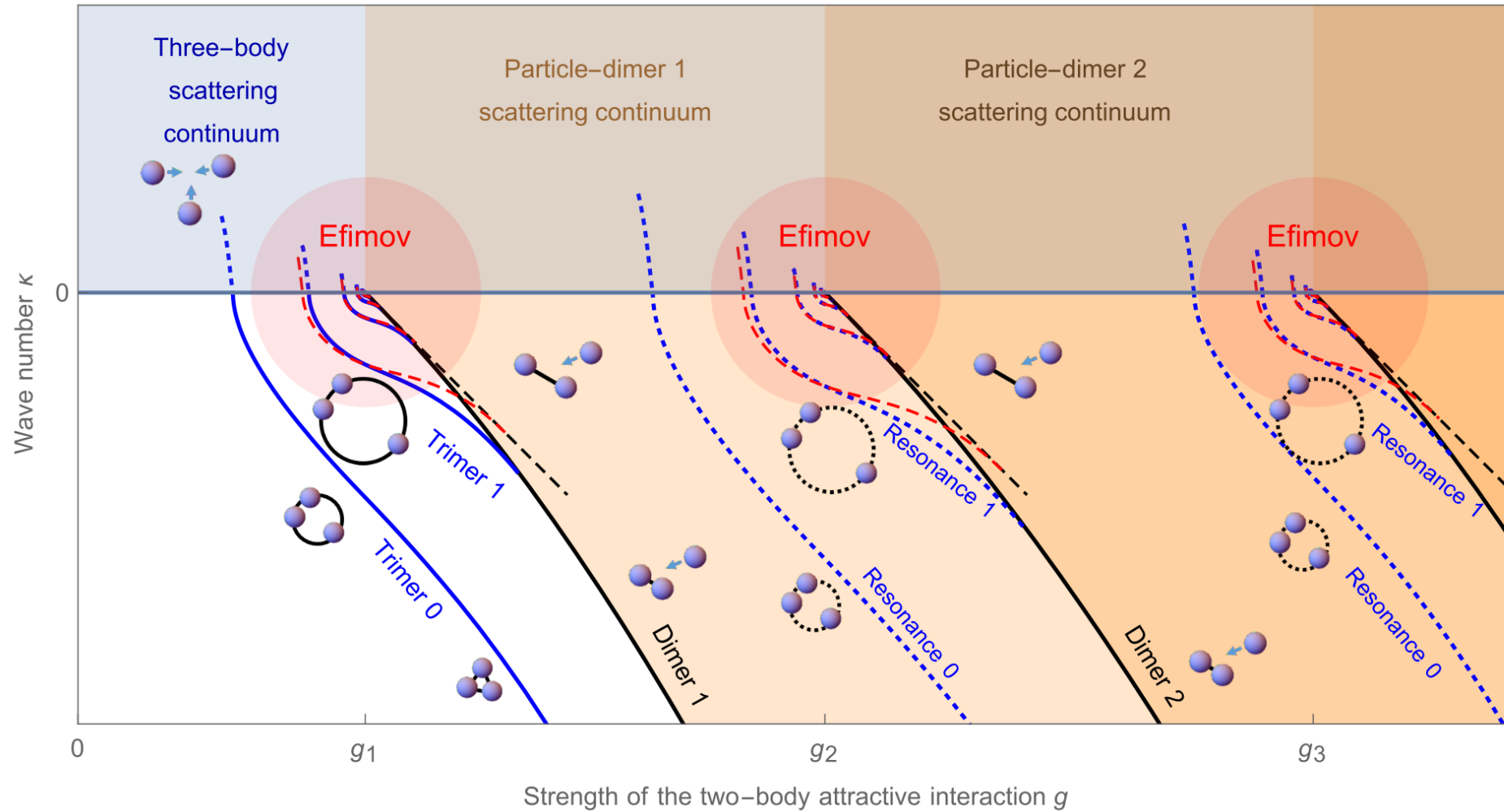
Three-body bound states without two-body bound states.



3. Trimer dissociation with increasing interaction

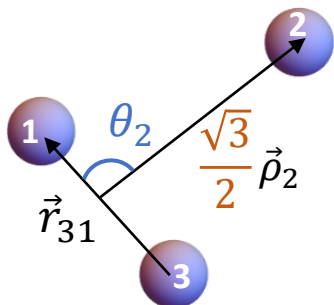
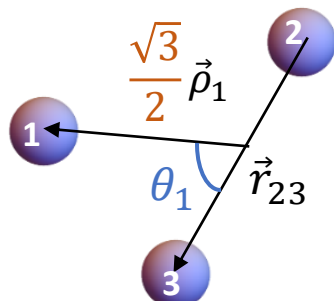
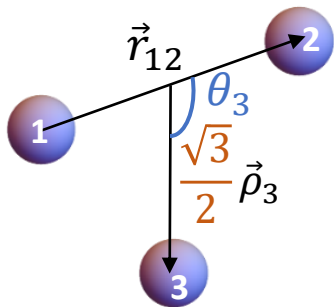
Inverse scattering length  $a^{-1}$

# Three bosons



## What is the shape of an Efimov state?

No definite shape (fluctuating) but a tendency to form elongated triangles



$$\begin{aligned} \Psi &= \chi(\vec{r}_{12}, \vec{\rho}_3) + \chi(\vec{r}_{23}, \vec{\rho}_1) + \chi(\vec{r}_{31}, \vec{\rho}_2) \\ &= \frac{\chi_0(r_{12}, \rho_3)}{r_{12} \rho_3} + \frac{\chi_0(r_{23}, \rho_1)}{r_{23} \rho_1} + \frac{\chi_0(r_{31}, \rho_2)}{r_{31} \rho_2} \\ &= \frac{2}{R^2} \left( \frac{\chi_0(R, \alpha_3)}{\sin 2\alpha_3} + \frac{\chi_0(R, \alpha_1)}{\sin 2\alpha_1} + \frac{\chi_0(R, \alpha_2)}{\sin 2\alpha_2} \right) \\ &= \underbrace{\frac{2F(R)}{R^2}}_{\text{Hyper-radial (size)}} \underbrace{\left( \frac{\phi_0(\alpha_3)}{\sin 2\alpha_3} + \frac{\phi_0(\alpha_1)}{\sin 2\alpha_1} + \frac{\phi_0(\alpha_2)}{\sin 2\alpha_2} \right)}_{\text{Hyper-angular } \Phi_0(\alpha_3, \theta_3) \text{ (shape)}} \end{aligned}$$

Partial wave  $L = 0$

Hyperspherical coordinates:

$$\begin{aligned} r &= R \sin \alpha \\ \rho &= R \cos \alpha \end{aligned}$$

$\chi_0(R, \alpha) = F(R)\phi_0(\alpha)$  at unitarity

with  $\phi_0(\alpha) = \sinh\left(|s_0| \left(\frac{\pi}{2} - \alpha\right)\right)$

Hyper-radial  
(size)

Hyper-angular  
 $\Phi_0(\alpha_3, \theta_3)$   
(shape)



## What is the shape of an Efimov state?

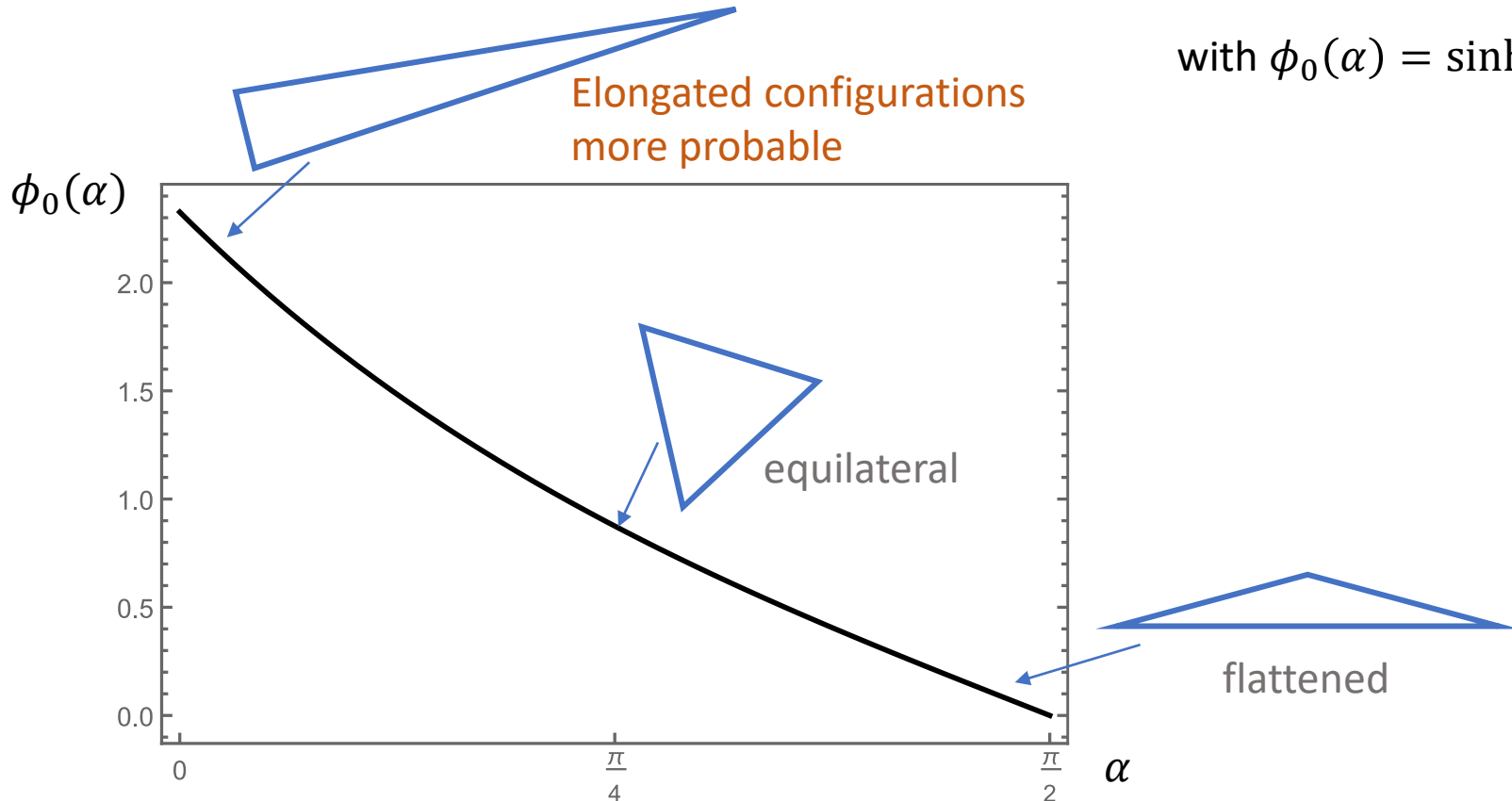
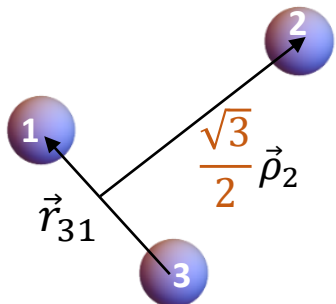
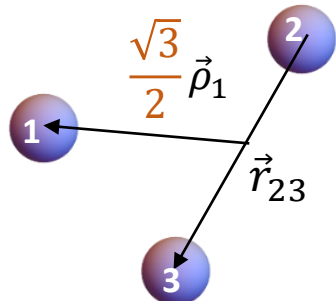
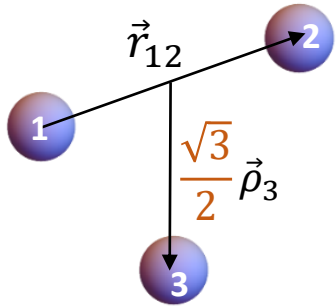
No definite shape (fluctuating) but a tendency to form elongated triangles

$$\Psi = \frac{2F(R)}{R^2} \left( \frac{\phi_0(\alpha_3)}{\sin 2\alpha_3} + \frac{\phi_0(\alpha_1)}{\sin 2\alpha_1} + \frac{\phi_0(\alpha_2)}{\sin 2\alpha_2} \right)$$

$$r = R \sin \alpha$$

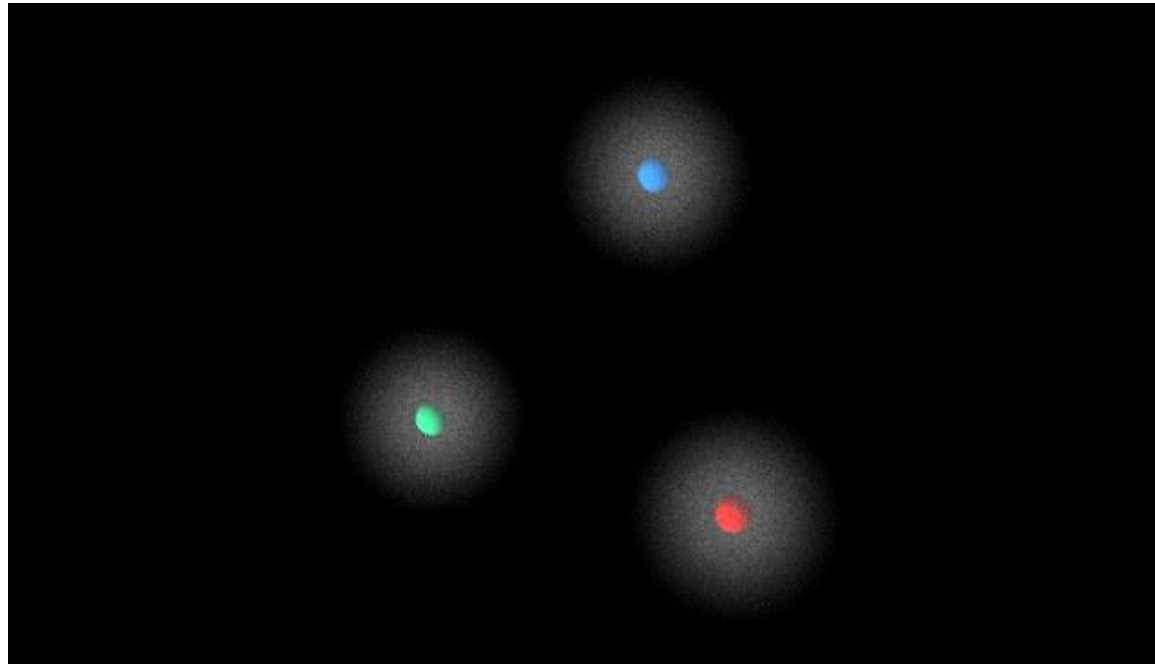
$$\rho = R \cos \alpha$$

$$\text{with } \phi_0(\alpha) = \sinh \left( |s_0| \left( \frac{\pi}{2} - \alpha \right) \right)$$



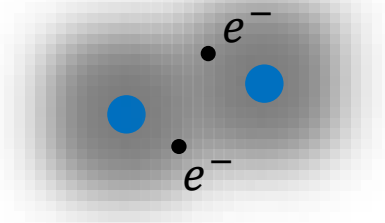
## Why long range ? in spite of short-range two-body interactions?

The Efimov attraction may be viewed as an interaction between two particles mediated by a third particle

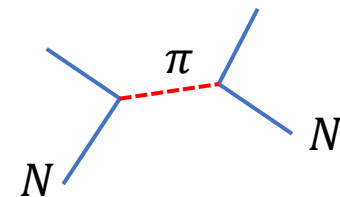


Similar to:

**Chemical covalent bonding**  
(exchange of electron)



**Nuclear force**  
(exchange of virtual meson)



# Overview of universal clusters

## Experimental observations

The triton

The Hoyle state

The helium trimers

Observations in cold atomic gases

## Mixtures of particles

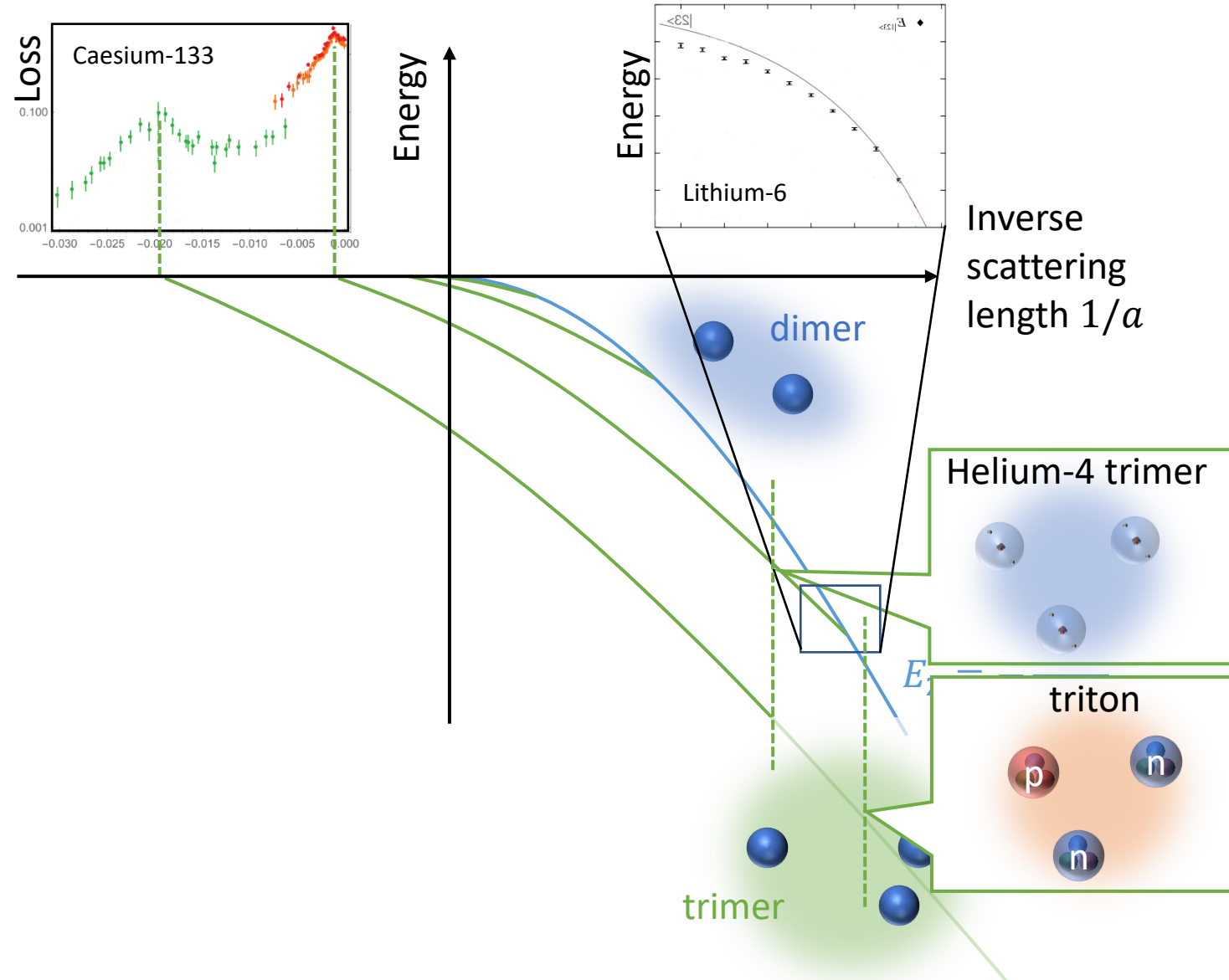
1+2 particles : the Born approximation

Mixtures of two two kinds of bosons

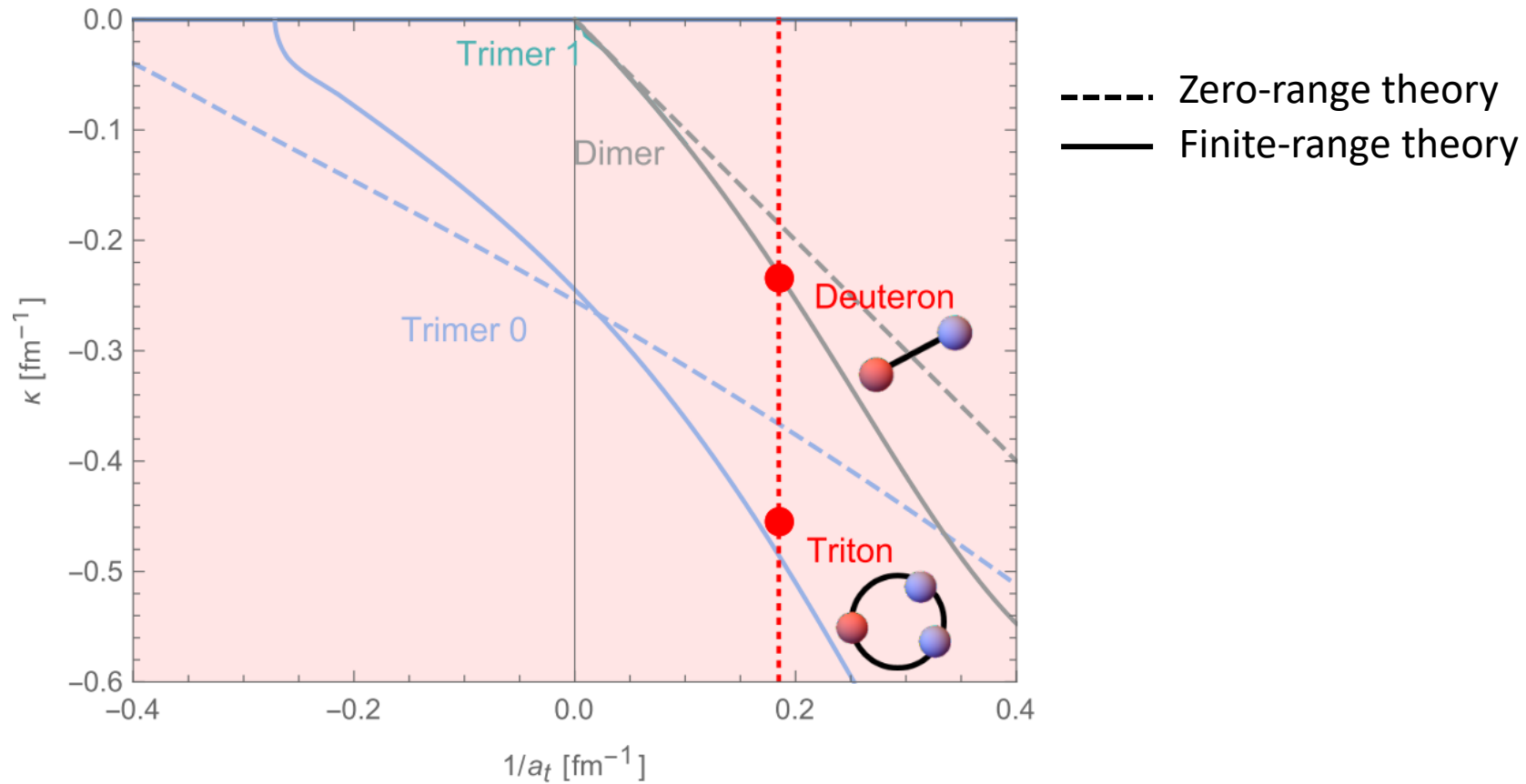
Mixtures of two kinds of fermions

Halo nuclei

# Three bosons



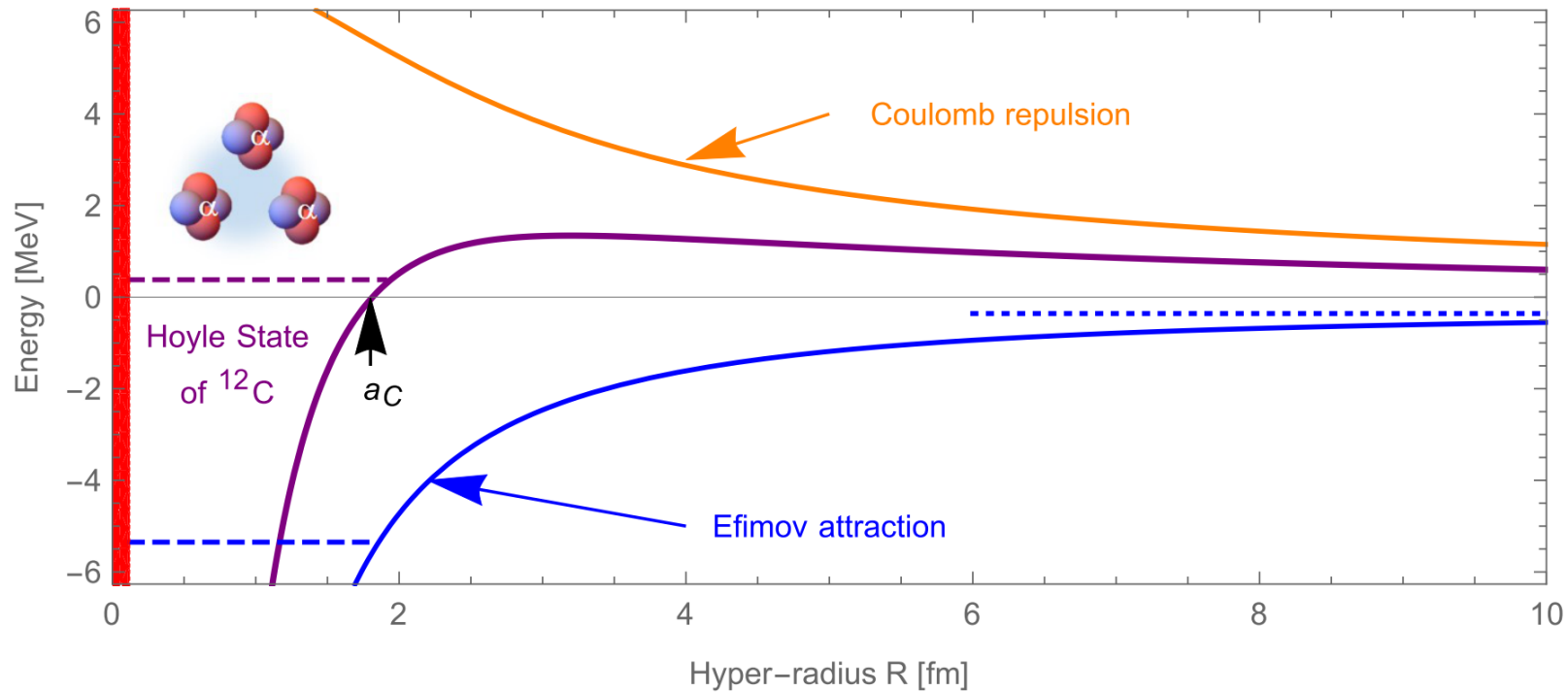
# The triton (2 neutrons + 1 proton)



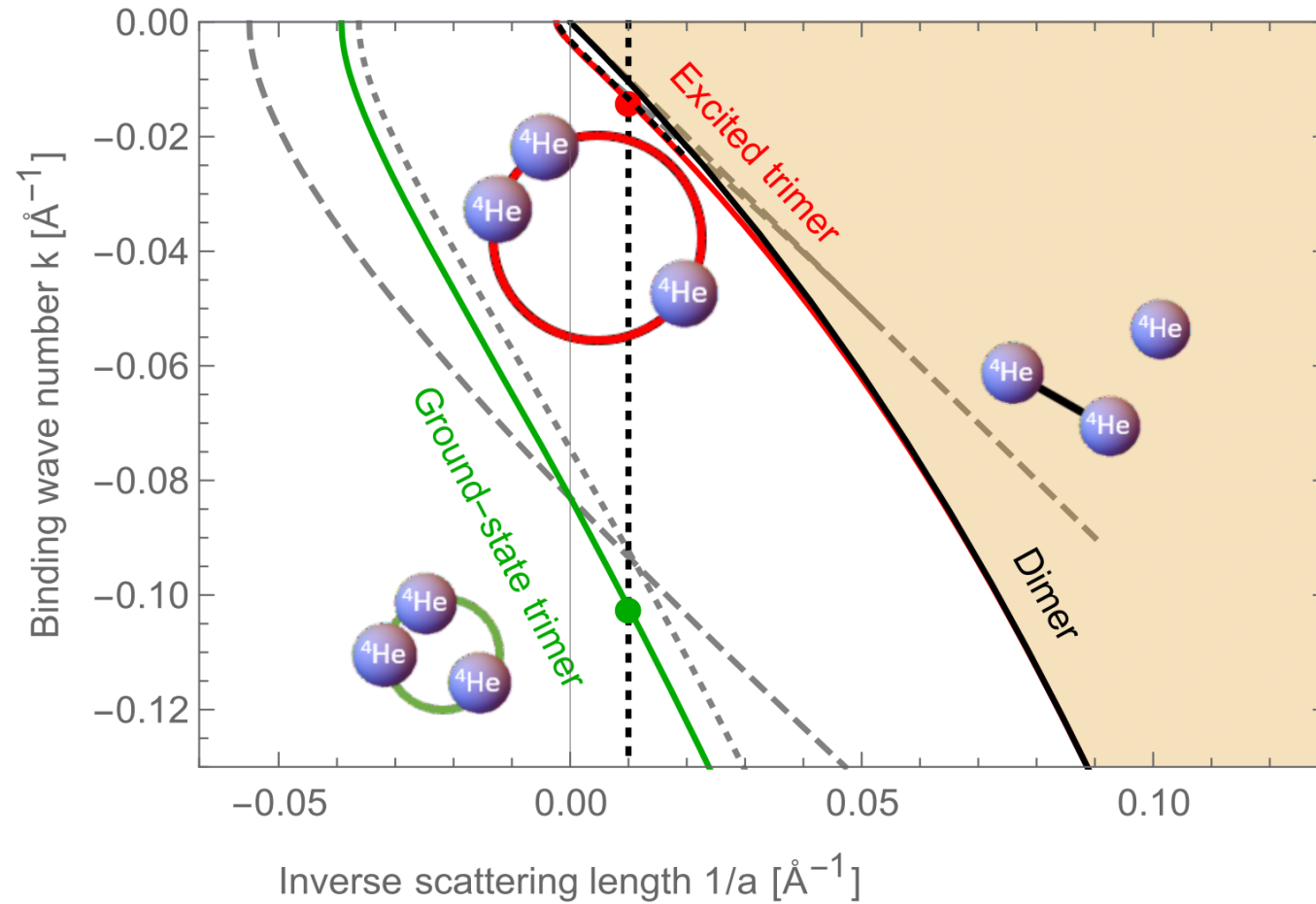
Qualitatively consistent,  
but not the best example.

# The Hoyle state of carbon-12

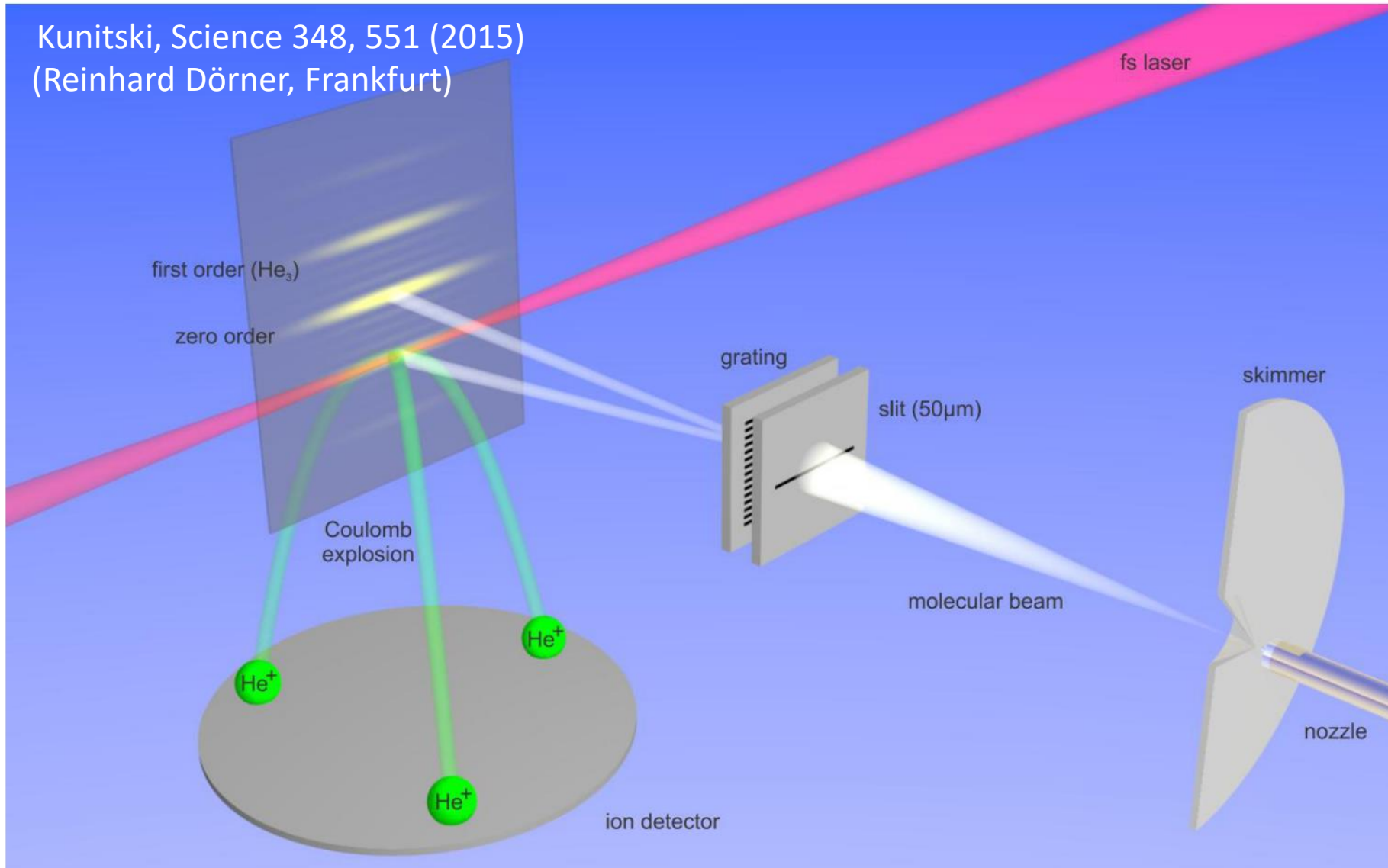
Originally suggested in V. Efimov's first paper



No clear evidence.

The helium triatomic molecules  ${}^4\text{He}_3$ 

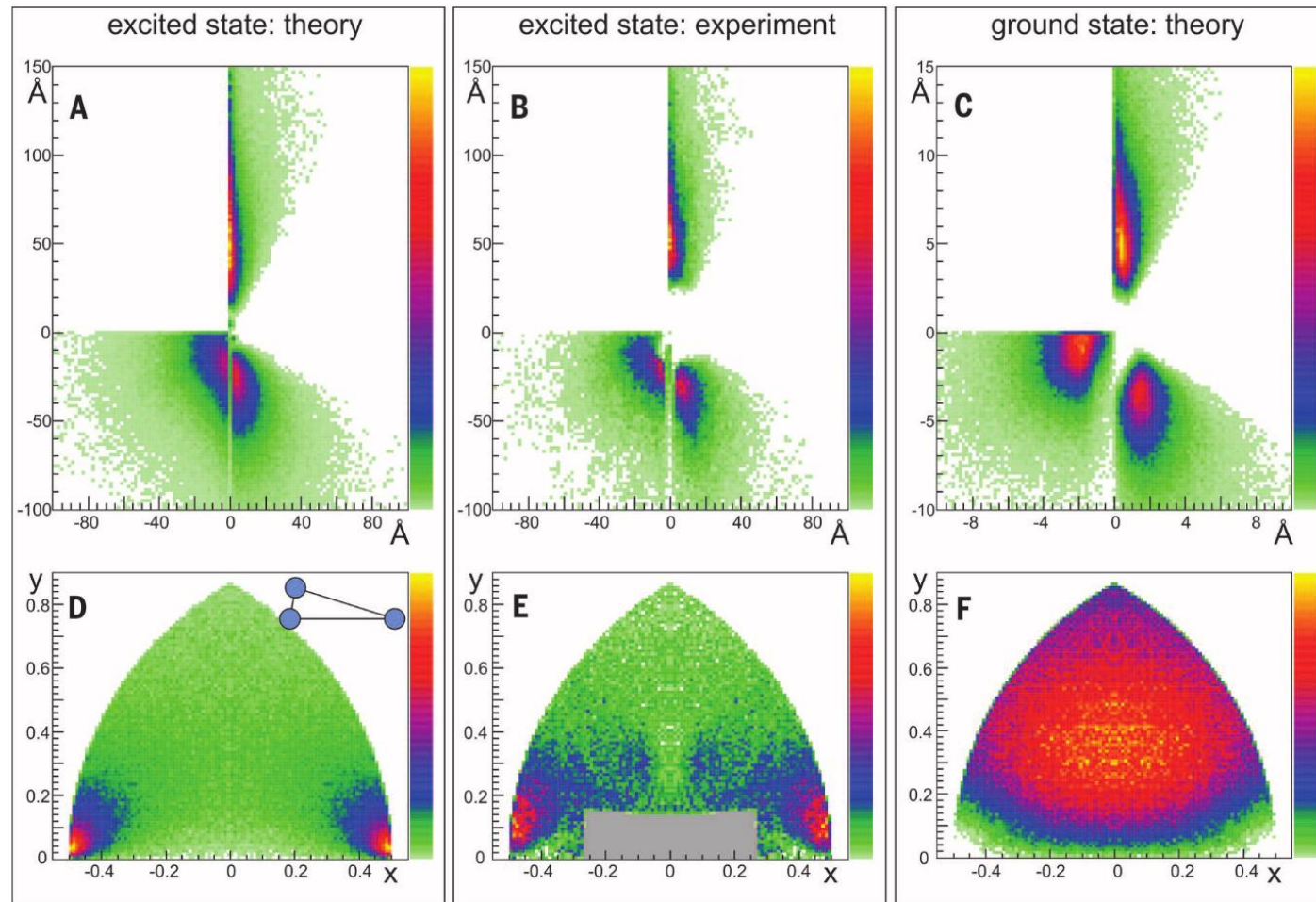
# The helium triatomic molecules ${}^4\text{He}_3$





# The helium triatomic molecules ${}^4\text{He}_3$

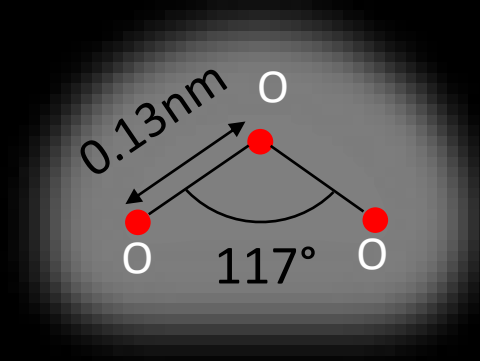
Kunitski, Science 348, 551 (2015)  
(Reinhard Dörner, Frankfurt)



Helium trimer  
ground state

$^4\text{He}$

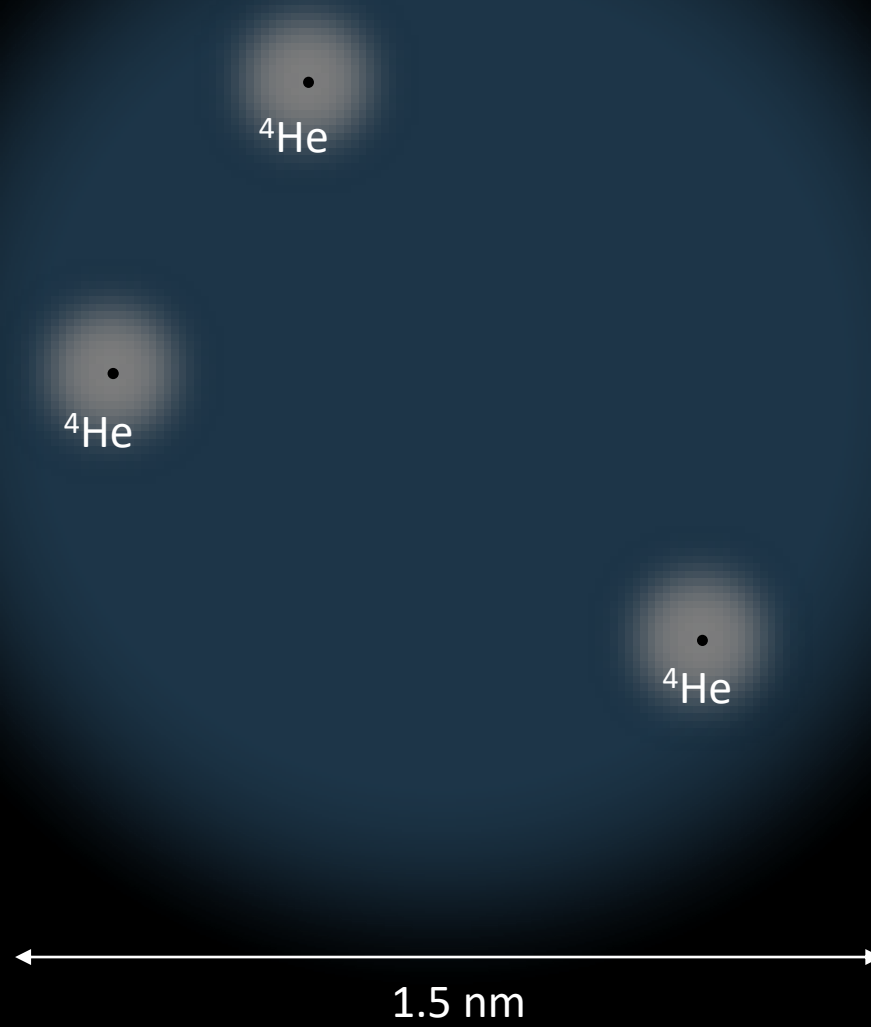
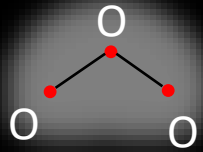
Ozone molecule



$^4\text{He}$

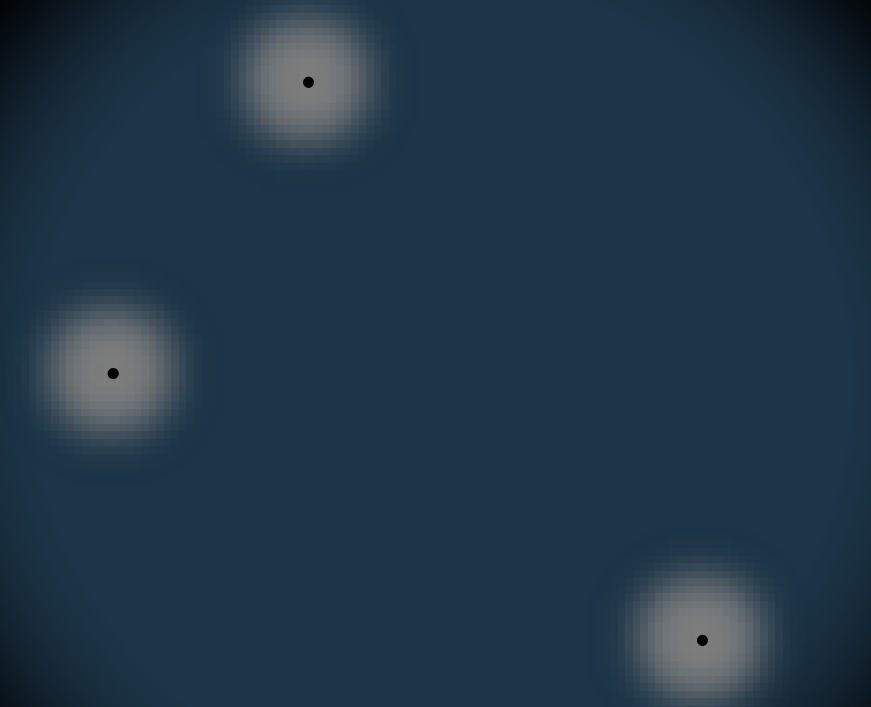
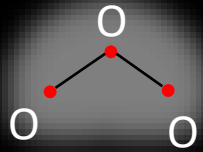
Helium trimer  
ground state

Ozone molecule



Helium trimer  
ground state

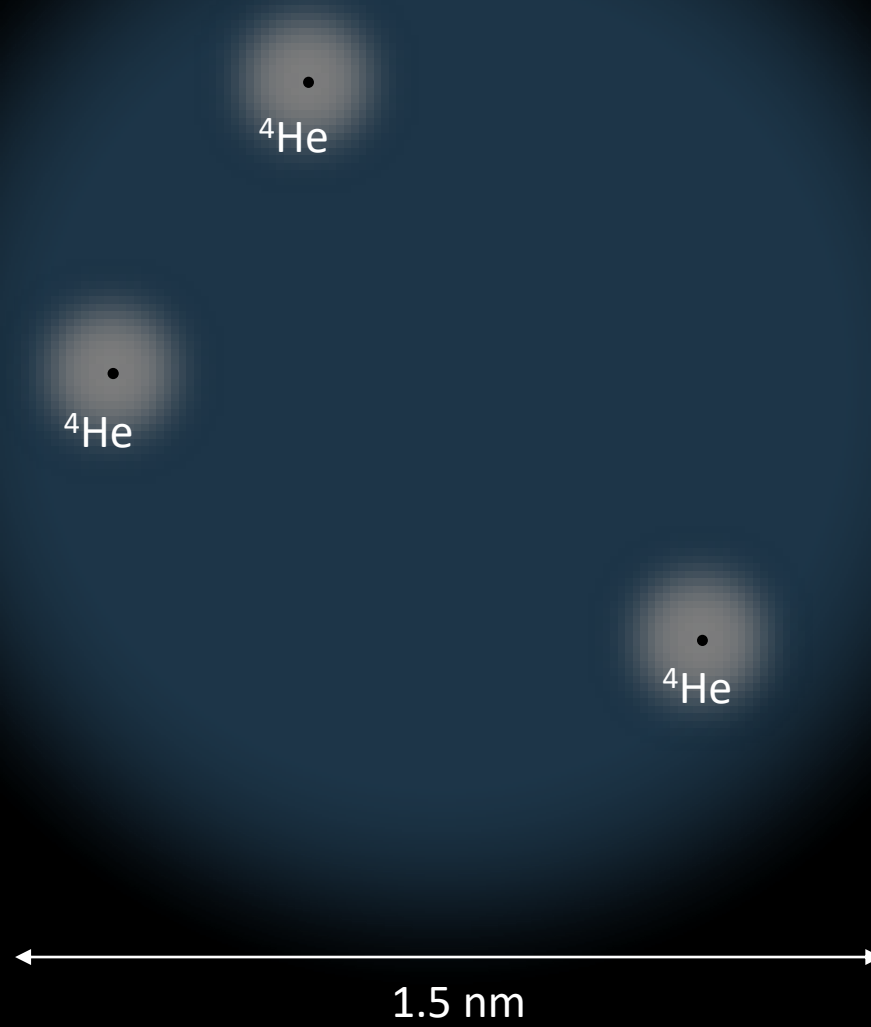
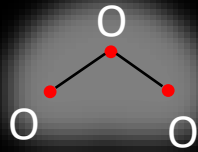
Ozone molecule



← 1.5 nm →

Helium trimer  
ground state

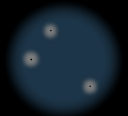
Ozone molecule



Ozone molecule



Helium trimer  
ground state



1.5 nm

Helium trimer  
excited state  
(Efimov state)

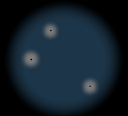


15 nm

Ozone molecule



Helium trimer  
ground state



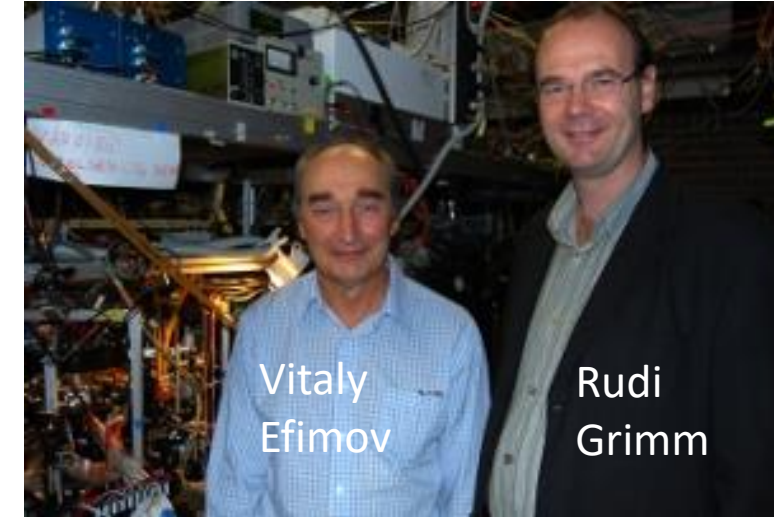
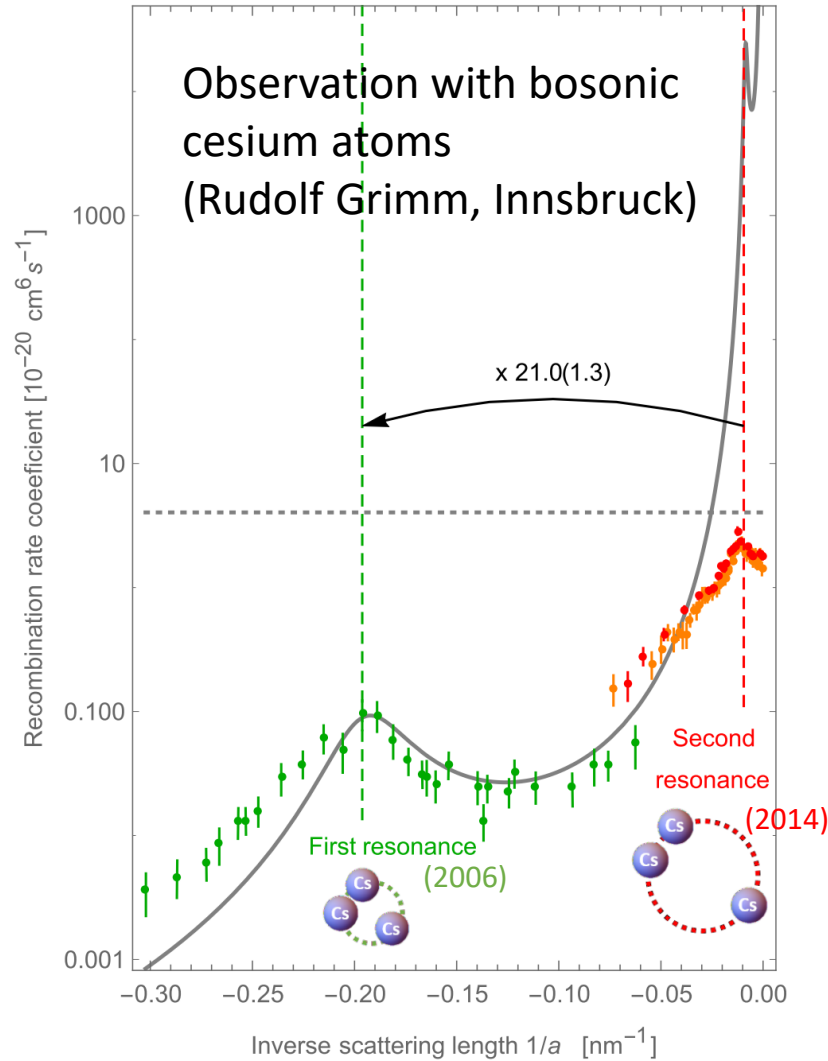
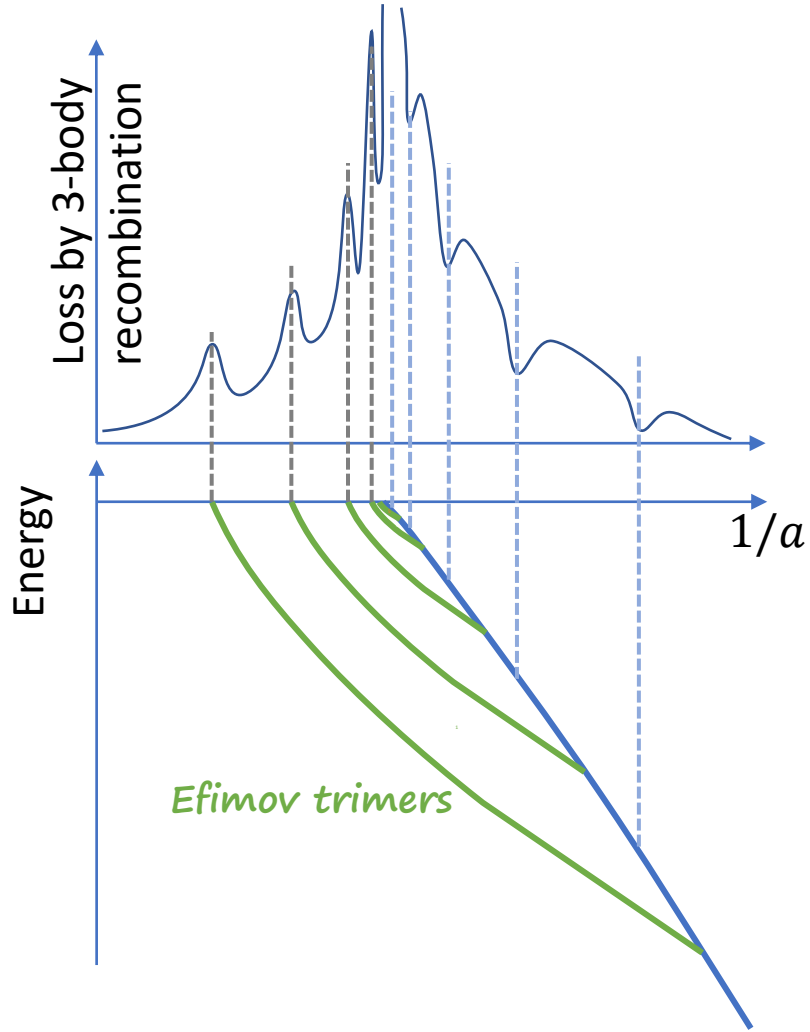
1.5 nm

Helium trimer  
excited state  
(Efimov state)



15 nm

# Observations in ultra-cold atomic gases





Vitaly Efimov and Rudolf Grimm receive the first Faddeev medal in Caen (July 11, 2018)

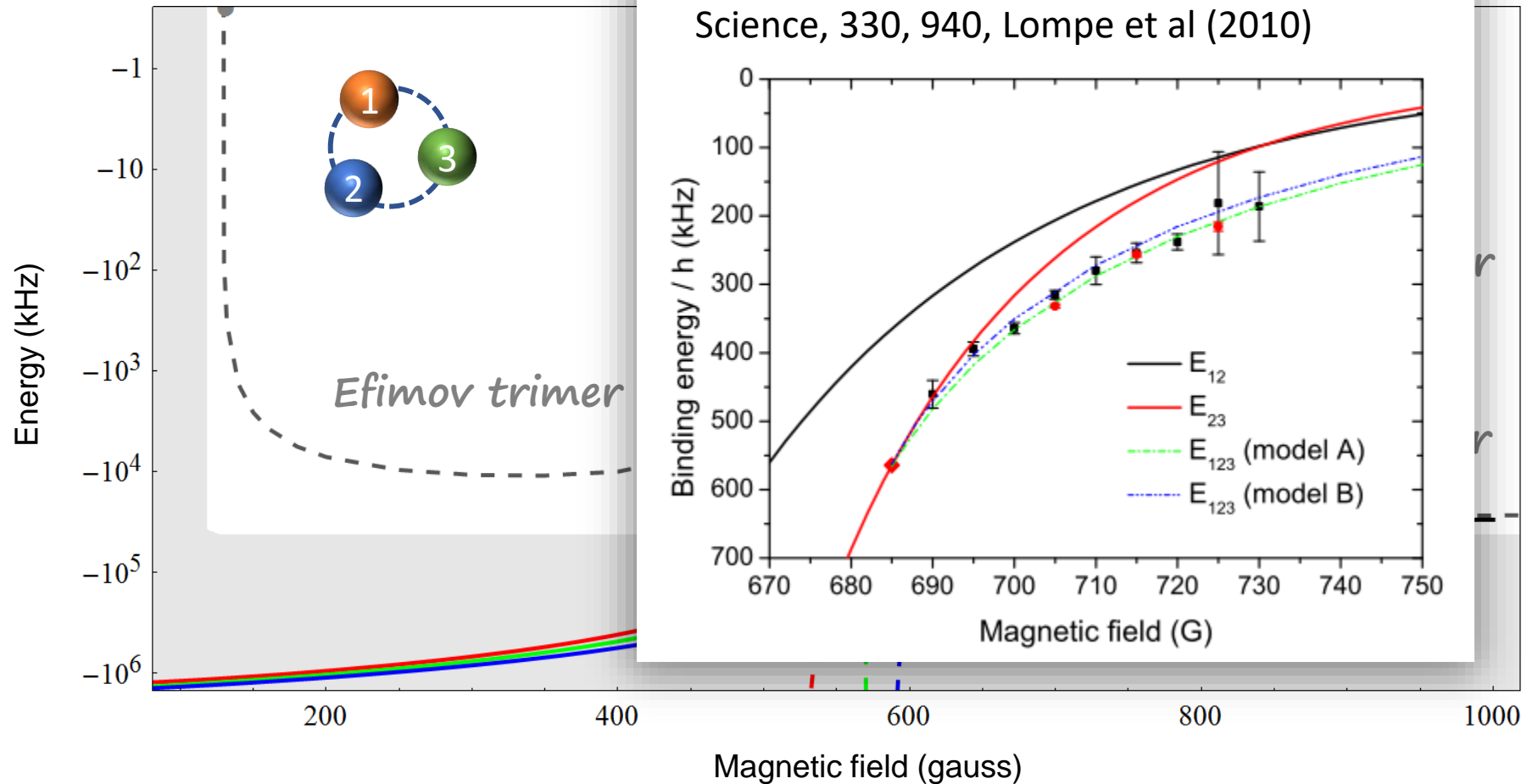


# Vitaly Efimov's speech after receiving the prize

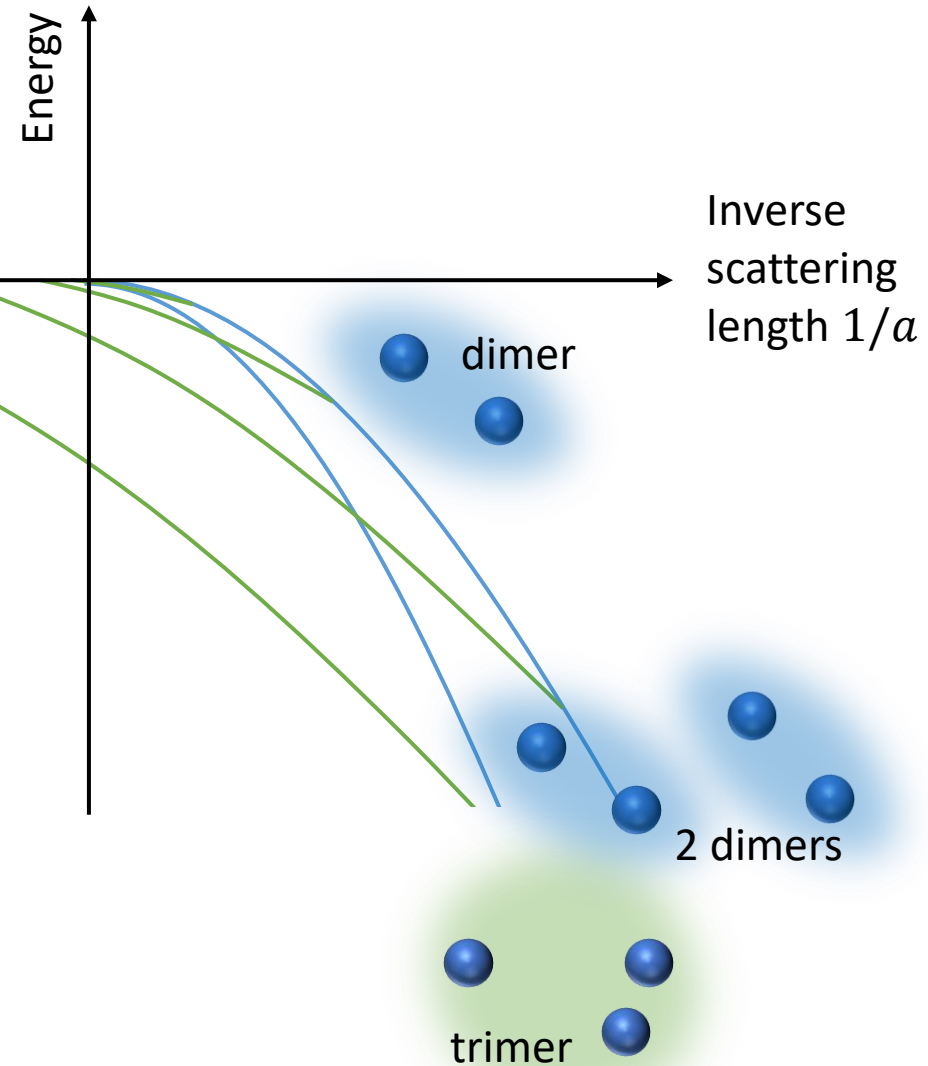


# Observations in ultra-cold atomic gases

Observation with three distinguishable states of lithium atoms  
(Heidelberg, Tokyo)



# Four bosons



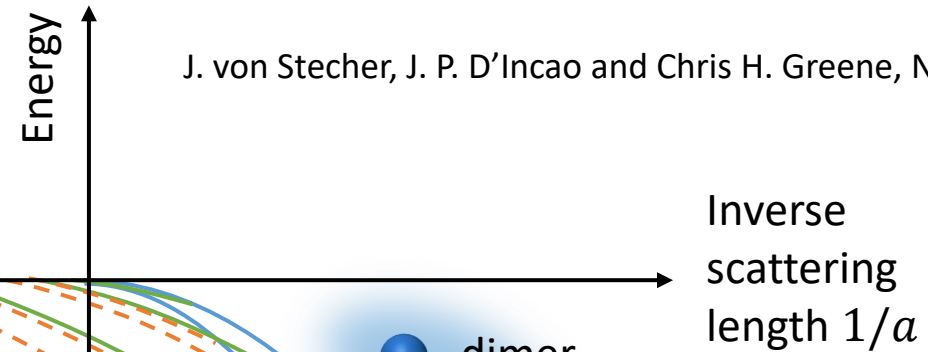
Amado & Green (1973) :  
**No  $N$ -body Efimov effect for  $N > 3$ .**

Universal 4-body bound states?  
Is there a four-body parameter?

# Four bosons

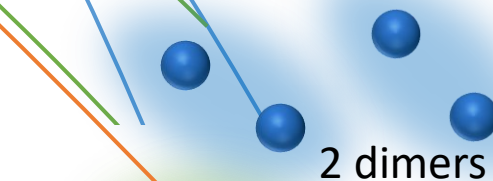
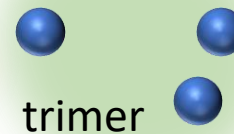
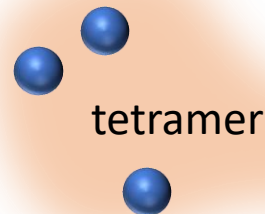
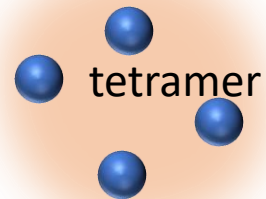
H-W Hammer L. Platter, Eur Phys J A 32, 113 (2007)

J. von Stecher, J. P. D’Incao and Chris H. Greene, Nat Phys 5, 417 (2009)

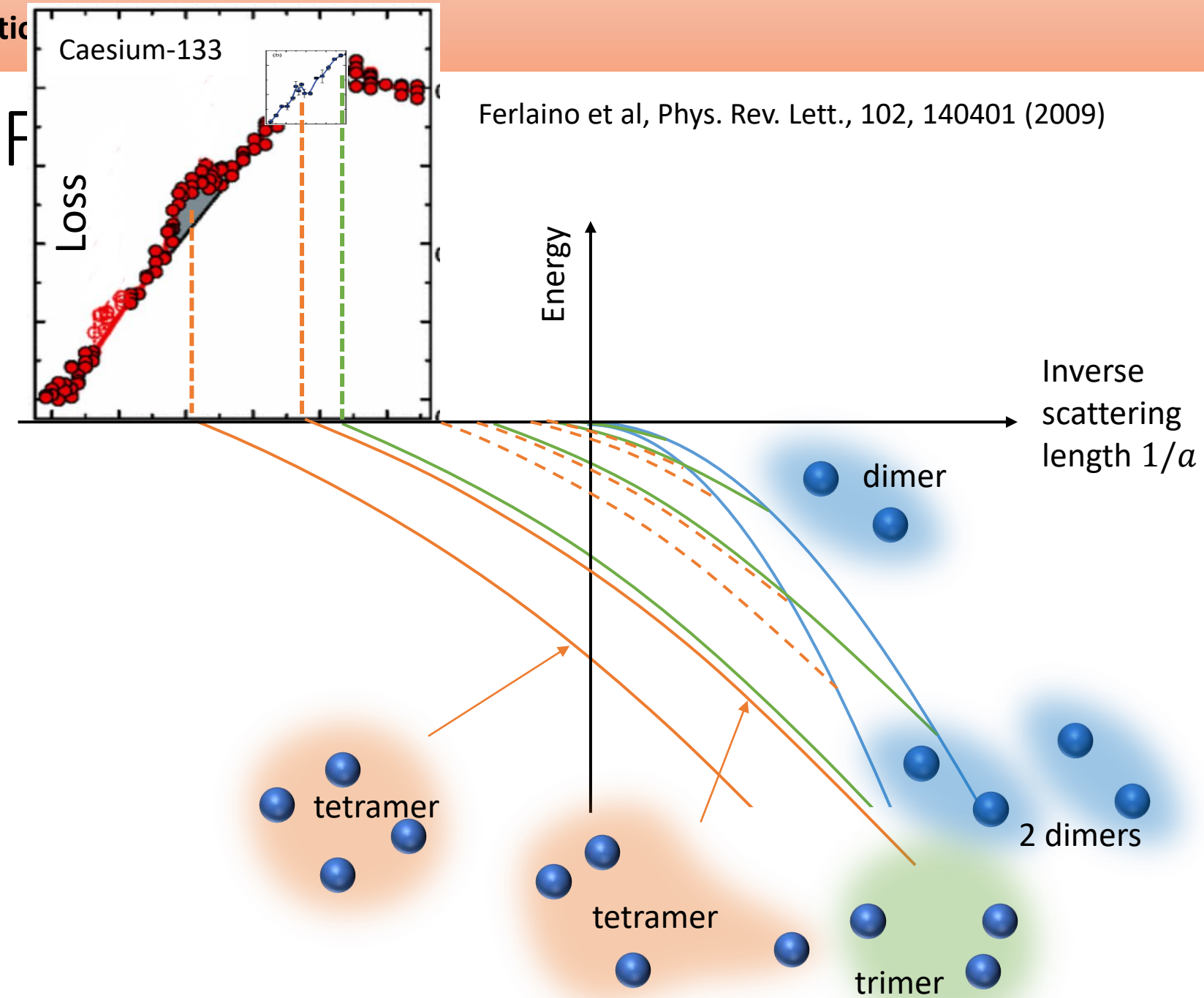


**“Universal tetramers tied to Efimov trimers”**

(3-body Efimov effect, not 4-body Efimov effect!)

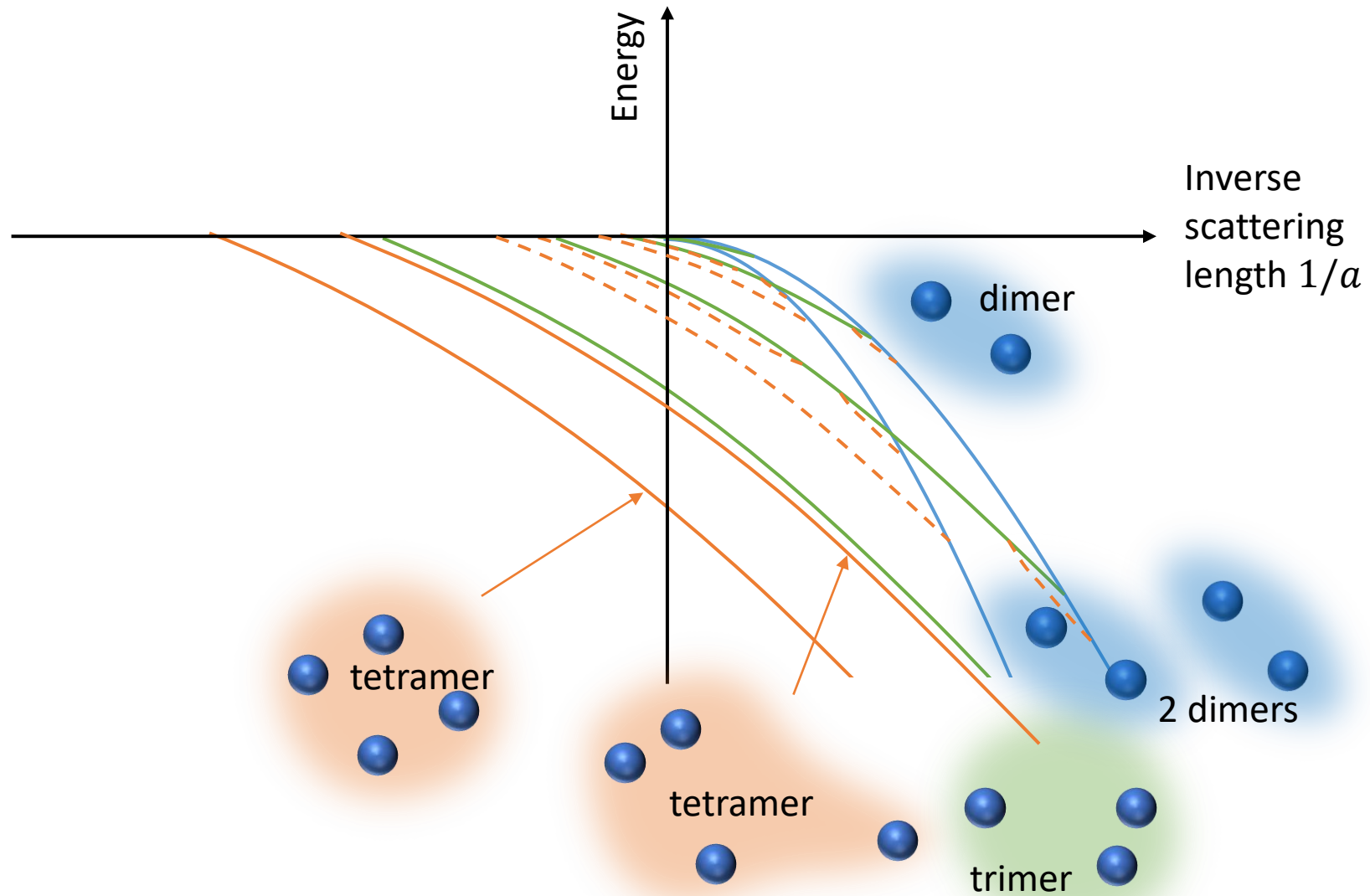


#### 4. Overview > Identical

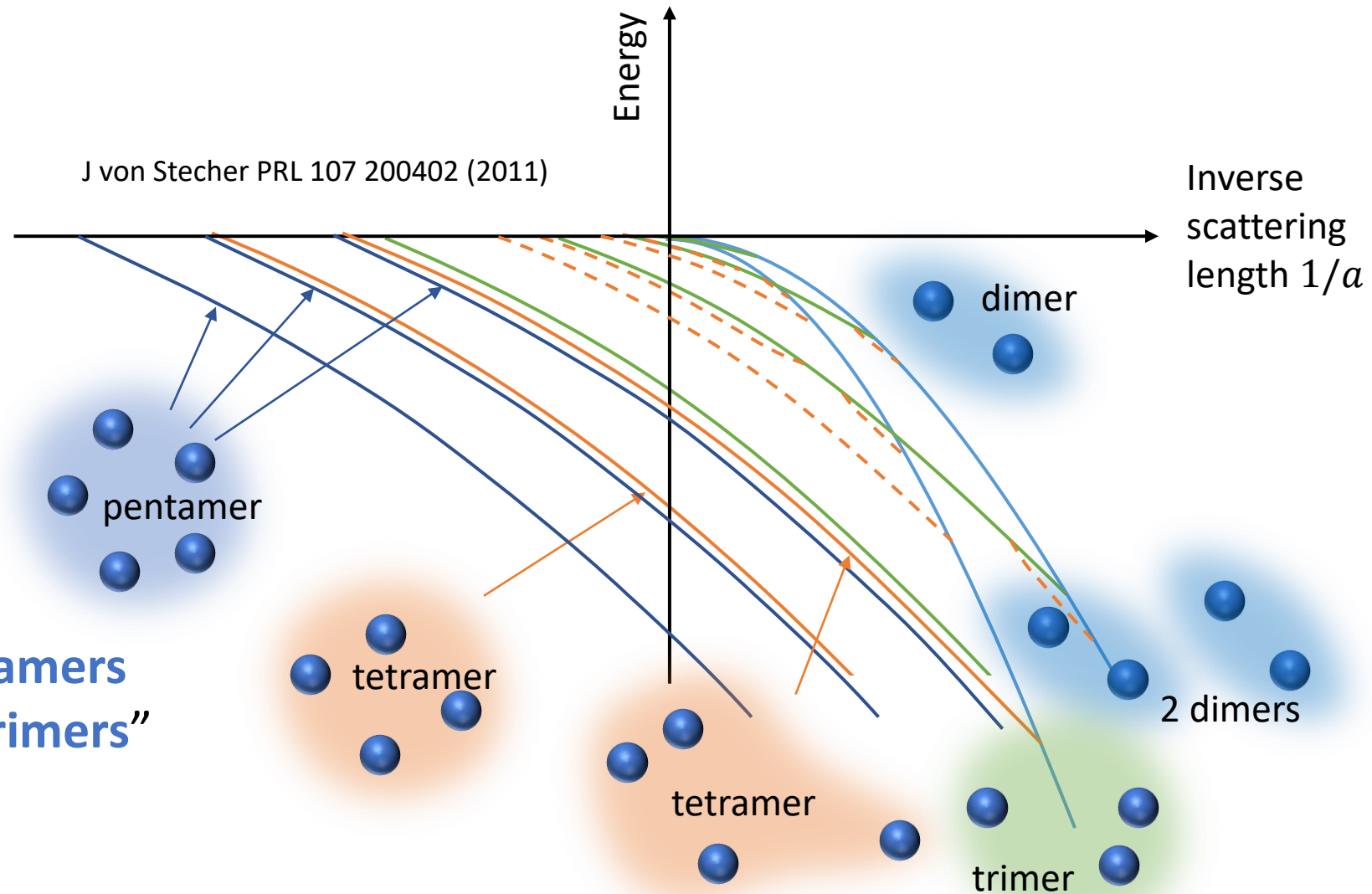


# Four bosons

A. Deltuva, Eur Phys Lett 95 43002 (2011)



# More than 4 bosons



“Universal pentamers tied to Efimov trimers”



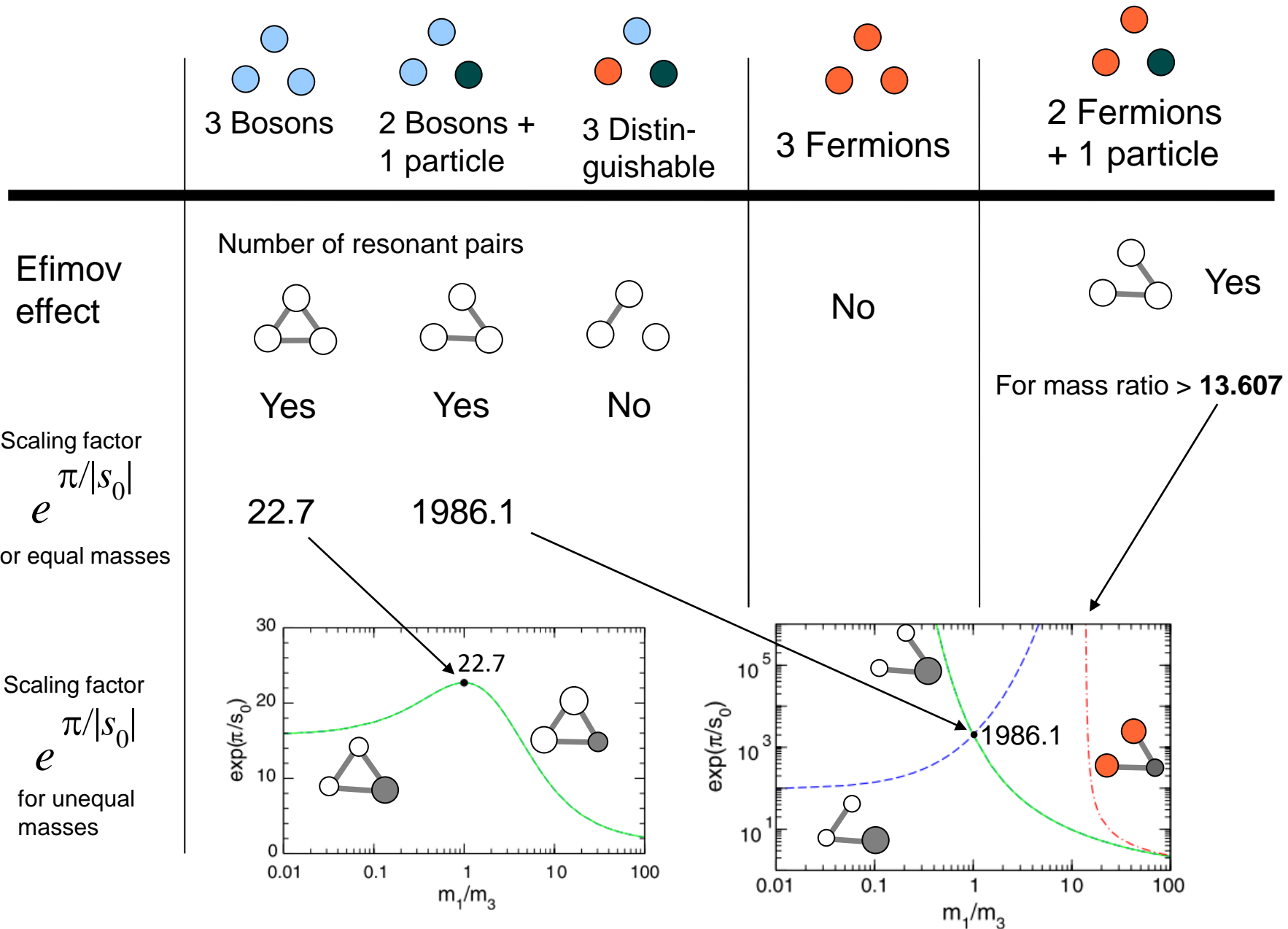
# Mixtures

Particles of different statistics (bosons, fermions)

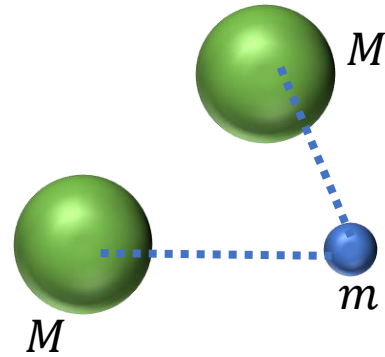
Particles of different masses

Particles in different spin states

# 4. Overview > Mixtures > Bosons and fermions (spinless)

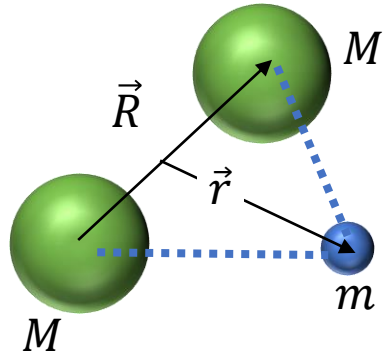


# 1 particle interacting with 2 identical particles



(no interaction between the two identical particles)

# The Born-Oppenheimer approximation



$$\Psi(\vec{R}, \vec{r}) = F(\vec{R})\phi(\vec{r}; \vec{R}) \quad (\text{like an electron with two nuclei})$$

Solve the motion of the light particle in presence of the 2 heavy particles:

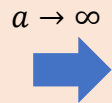
$$-\frac{\hbar^2}{2m} \nabla_r^2 \phi(\vec{r}; \vec{R}) = \underbrace{-\frac{\hbar^2 \kappa(R)^2}{2m}}_{\text{Born-Oppenheimer potential}} \phi(\vec{r}; \vec{R}) \quad \text{and the two-body conditions}$$

Born-Oppenheimer potential

Lowest energy solution:

$$\phi(\vec{r}; \vec{R}) = \frac{\exp(-\kappa|\vec{r} - \vec{R}/2|)}{|\vec{r} - \vec{R}/2|} + \frac{\exp(-\kappa|\vec{r} + \vec{R}/2|)}{|\vec{r} + \vec{R}/2|}$$

$$\kappa - \frac{e^{-\kappa R}}{R} = 1/a$$



$$\kappa(R) = \frac{\Omega}{R}$$

$$\Omega = W(1) \approx 0.567$$

Motion of the heavy particles:

$$\left( -\frac{\hbar^2}{M} \nabla_R^2 - \frac{\hbar^2 \kappa(R)^2}{2m} \right) F(\vec{R}) = E F(\vec{R})$$

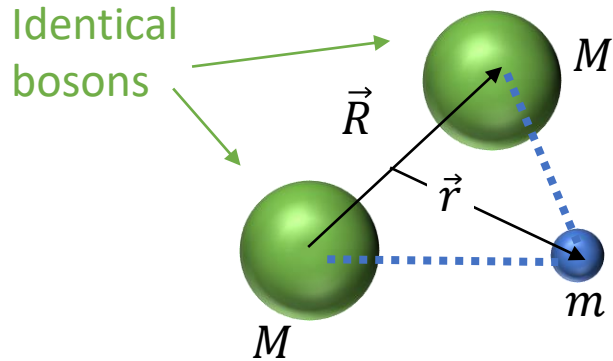


For a certain angular momentum  $L$  between the two heavy particles:

$$F(\vec{R}) = \frac{u_L(R)}{R} P_L(\cos \theta)$$

$$\left( -\frac{d^2}{dR^2} + \frac{L(L+1)}{R^2} - \frac{M \Omega^2}{2m R^2} \right) u_L(R) = \frac{ME}{\hbar^2} u_L(R)$$

# The Born-Oppenheimer approximation



## Competition

Centrifugal repulsion      Efimov attraction

$$\left( -\frac{d^2}{dR^2} + \frac{L(L+1)}{R^2} - \frac{M \Omega^2}{2m R^2} \right) u_L(R) = \frac{ME}{\hbar^2} u_L(R)$$

$$V_0(r) = -\frac{|s_0|^2 + \frac{1}{4}}{R^2}$$

$$|s_0|^2 = \frac{M}{2m} \Omega^2 - L(L+1) - \frac{1}{4}$$

For  $L = 0$

No centrifugal repulsion. Efimov attraction wins.

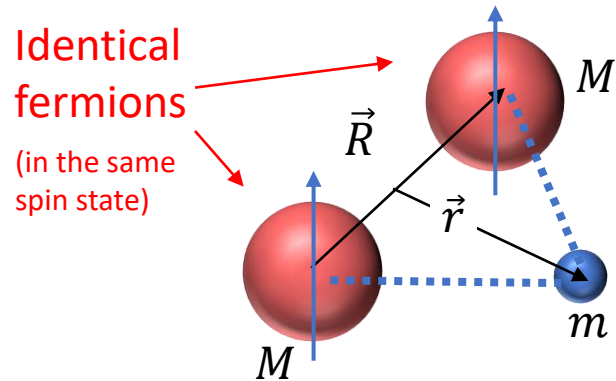


## Efimov effect

physics depend on  $a$  and  $\Lambda$

Stronger for large mass ratio  $M/m$

# The Born-Oppenheimer approximation



## Competition

Centrifugal repulsion      Efimov attraction

$$\left( -\frac{d^2}{dR^2} + \frac{L(L+1)}{R^2} - \frac{M \Omega^2}{2m R^2} \right) u_L(R) = \frac{ME}{\hbar^2} u_L(R)$$

$$V_0(r) = -\frac{|s_0|^2 + \frac{1}{4}}{R^2}$$

$$|s_0|^2 = \frac{M}{2m} \Omega^2 - L(L+1) - \frac{1}{4}$$

For  $L = 1$

Critical mass ratio such that  $|s_0| = 0$  :  $\frac{M}{m} = 13.990296 \dots$  (exact result: 13.607 ...)

$\frac{M}{m} > 13.6$  : Efimov attraction wins.



**Efimov effect**  
physics depend on  $a$  and  $\Lambda$

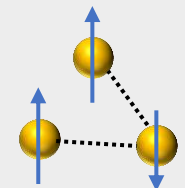
$\frac{M}{m} < 13.6$  : Efimov attraction loses.



**no Efimov effect**  
physics depend only on  $a$



**No Efimov effect for 3 fermions with spin 1/2**



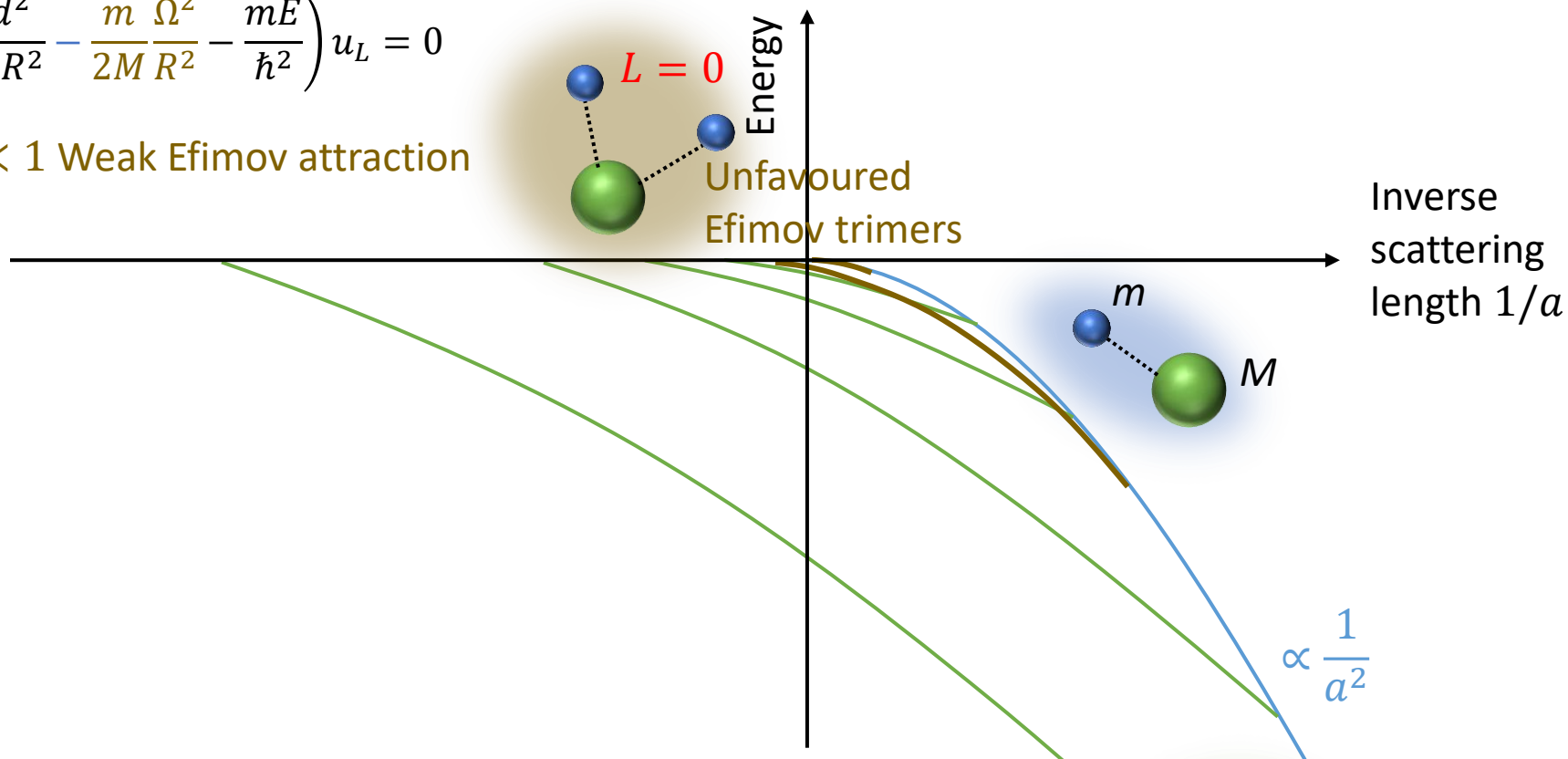
$$\frac{M}{m} = 1$$

e.g. no trineutron

# Mixtures of two kinds of bosons

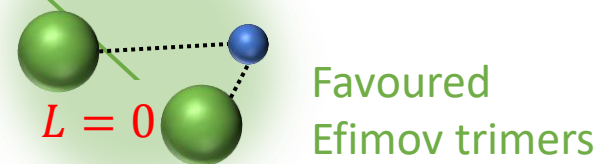
$$\left( -\frac{d^2}{dR^2} - \frac{m \Omega^2}{2M R^2} - \frac{mE}{\hbar^2} \right) u_L = 0$$

$\frac{m}{M} \ll 1$  Weak Efimov attraction



$$\left( -\frac{d^2}{dR^2} - \frac{M \Omega^2}{2m R^2} - \frac{ME}{\hbar^2} \right) u_L = 0$$

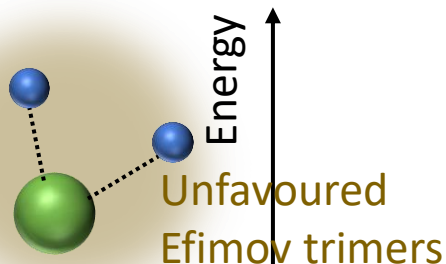
$\frac{M}{m} \gg 1$  Strong Efimov attraction



# Mixtures of two kinds of bosons

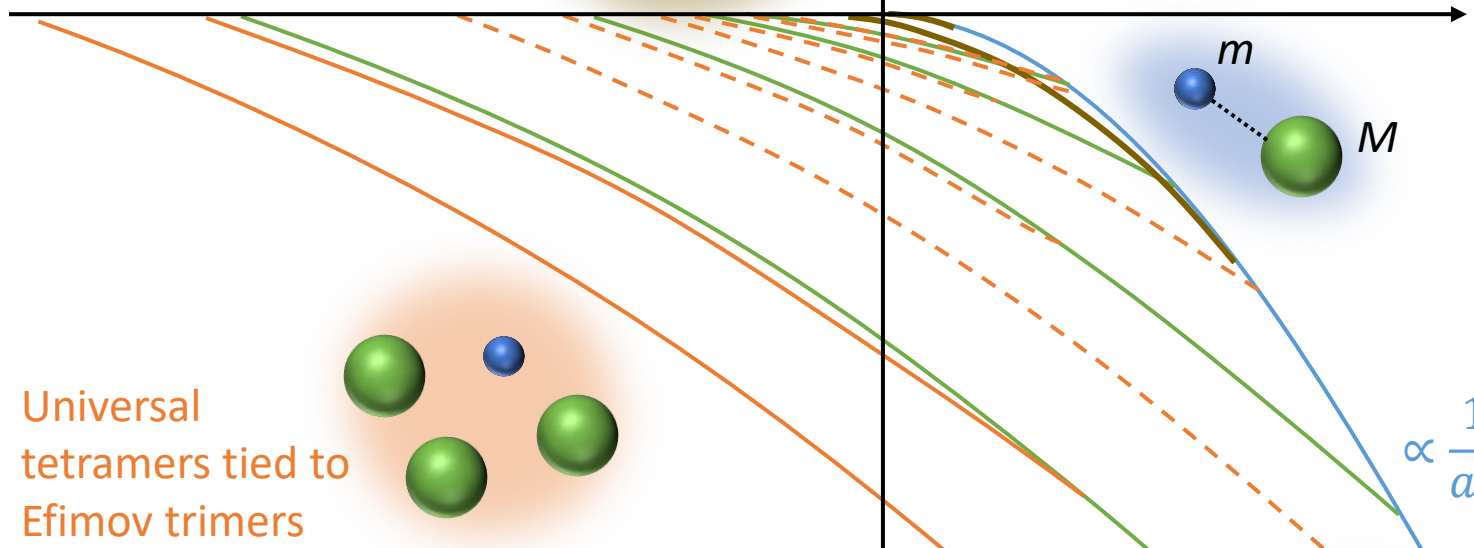
$$\left( -\frac{d^2}{dR^2} - \frac{m \Omega^2}{2M R^2} - \frac{mE}{\hbar^2} \right) u_L = 0$$

$\frac{m}{M} \ll 1$  Weak Efimov attraction

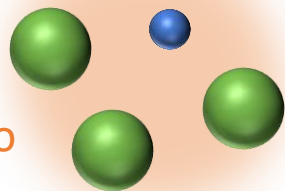


Unfavoured  
Efimov trimers

Inverse  
scattering  
length  $1/a$

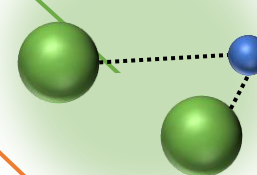


Universal  
tetramers tied to  
Efimov trimers



$$\left( -\frac{d^2}{dR^2} - \frac{M \Omega^2}{2m R^2} - \frac{ME}{\hbar^2} \right) u_L = 0$$

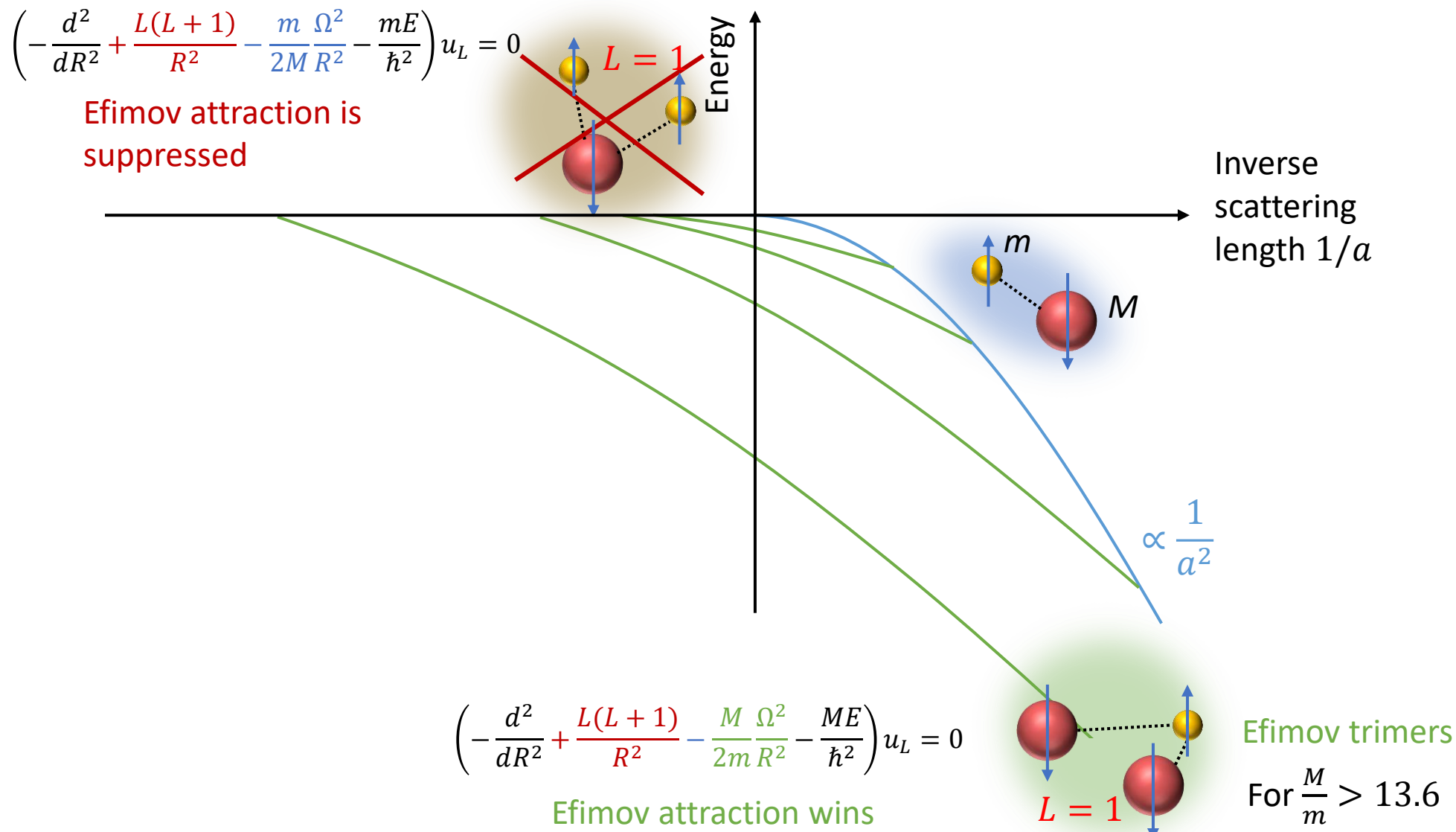
$\frac{M}{m} \gg 1$  Strong Efimov attraction



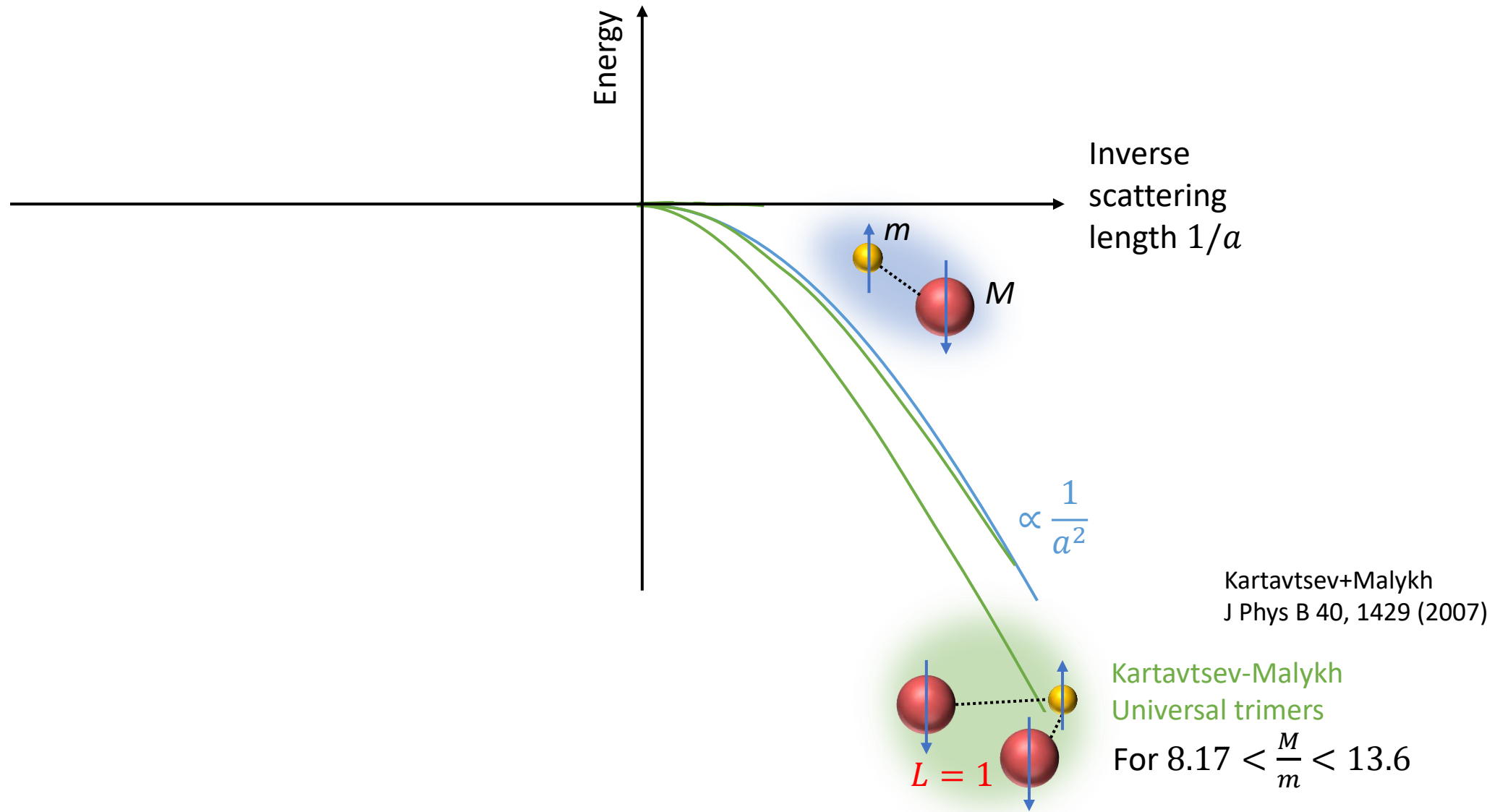
Favoured  
Efimov trimers



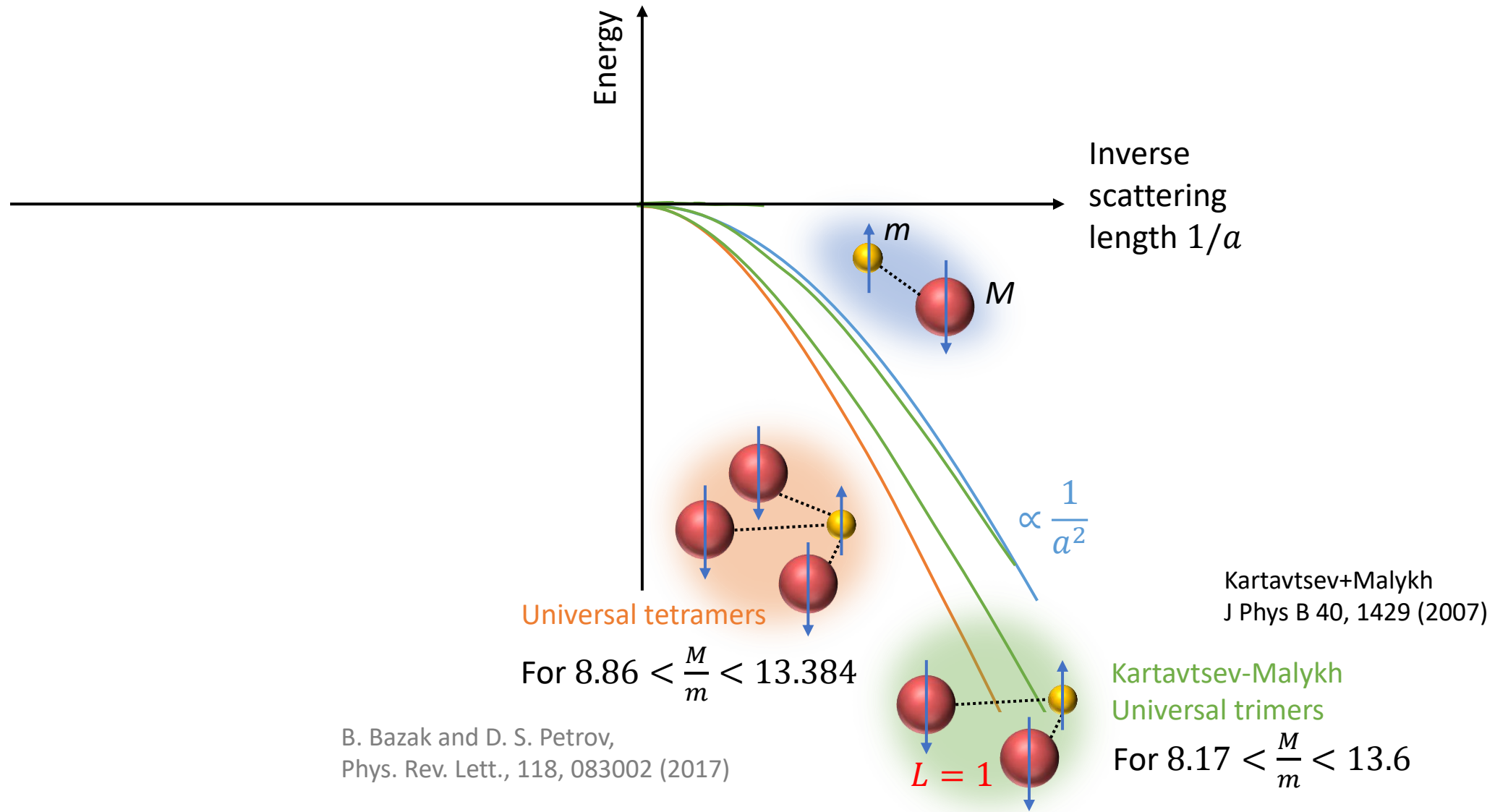
# Mixtures of two kinds of fermions (polarised = spinless)



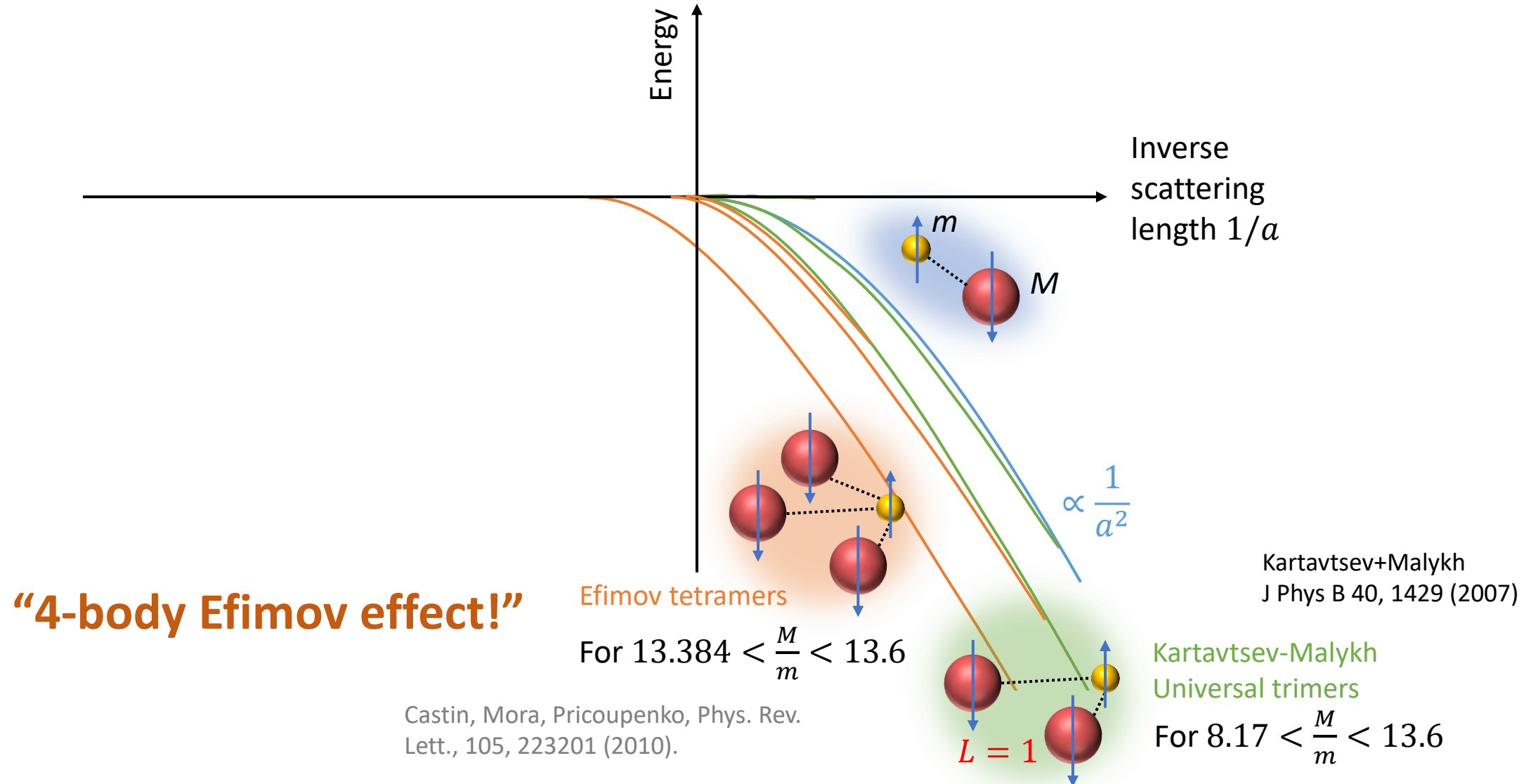
# Mixtures of two kinds of fermions (polarised = spinless)



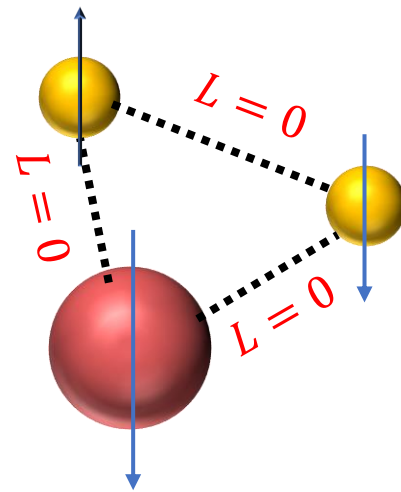
# Mixtures of two kinds of fermions (polarised = spinless)



# Mixtures of two kinds of fermions (polarised = spinless)



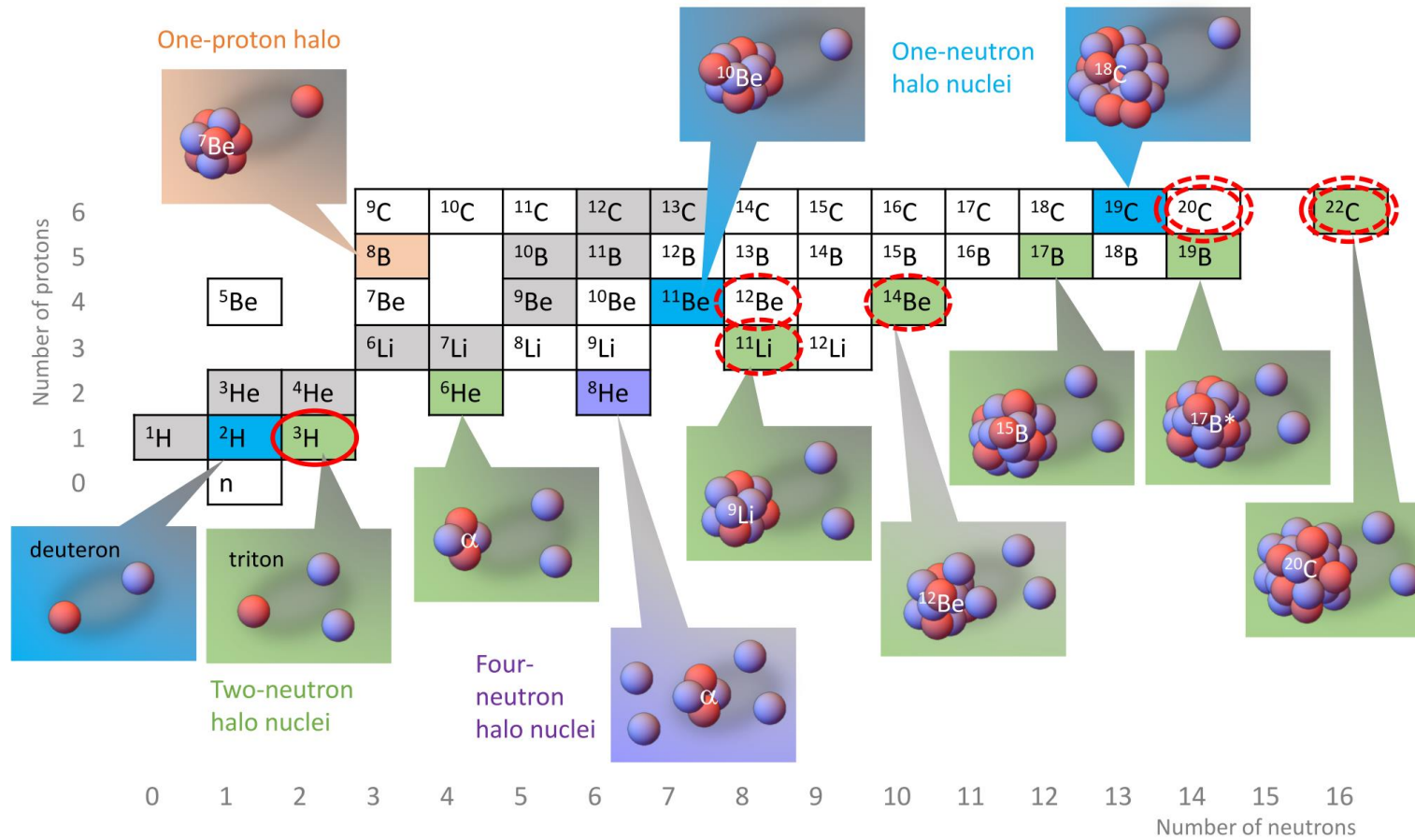
## Two kinds of fermions with spin





➔ Two-neutron halo nuclei?

(All pairs can interact in the s wave)

# Halo nuclei



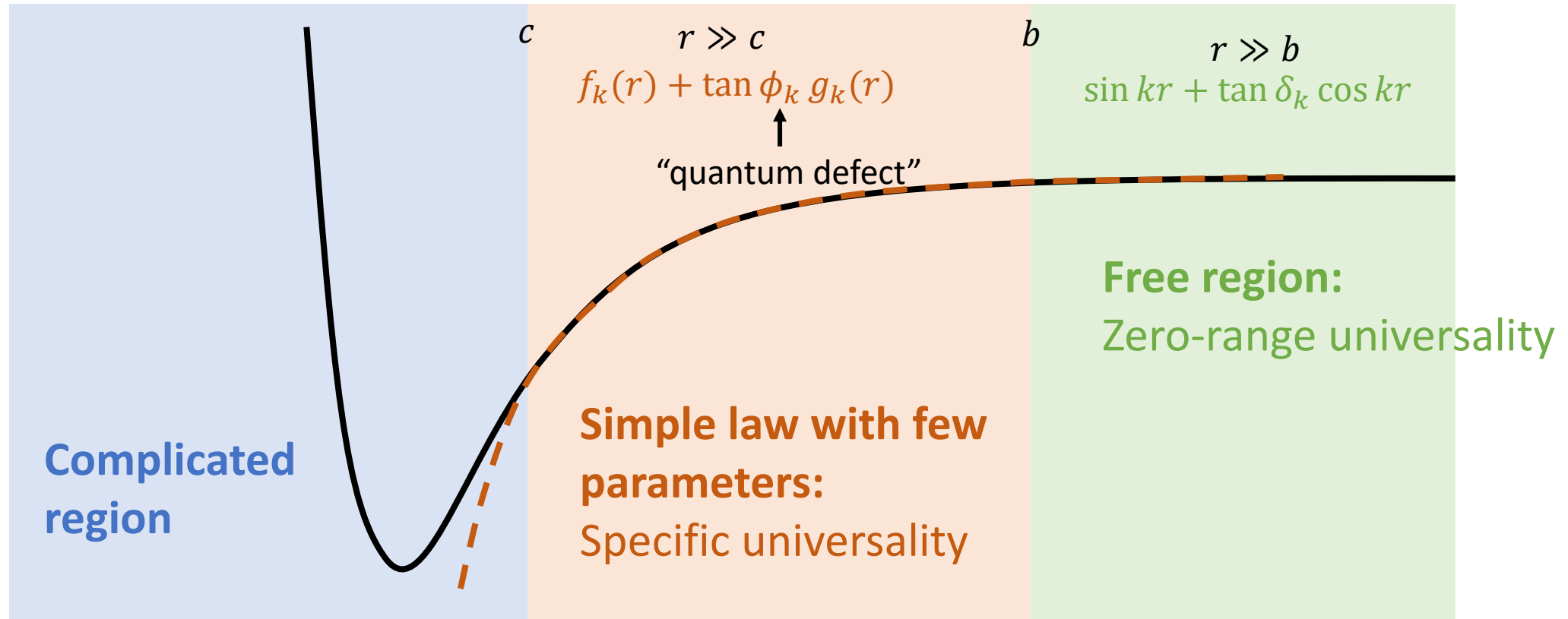
Efimov trimer candidates:  Ground state (to be confirmed)  
 First excited state

# Van der Waals universality

Two-body van der Waals universality

The three-body parameter and its van der Waals universality

# Other classes of universalities



Examples: Electron in a Rydberg atom  $V(r) = -\frac{e^2}{4\pi\epsilon_0} \frac{1}{r}$   
 Two neutral atoms  $V(r) = -C_6/r^6$

$$V(r) \sim \frac{\hbar^2}{2\mu r^2}$$

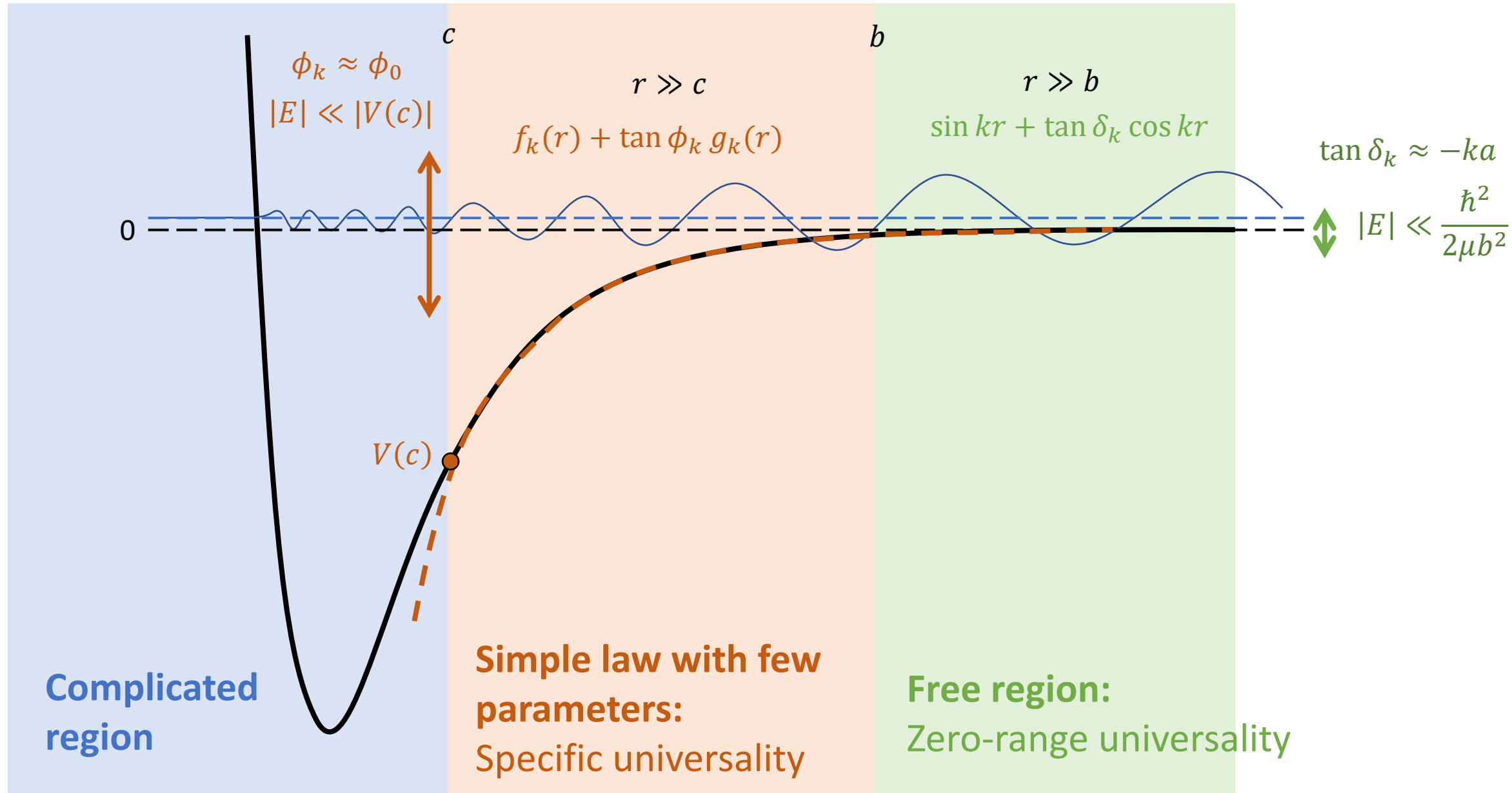
Interaction  $\sim$  kinetic

Bohr radius:  $a_0 = \frac{4\pi\epsilon_0 \hbar^2}{e^2 2\mu}$

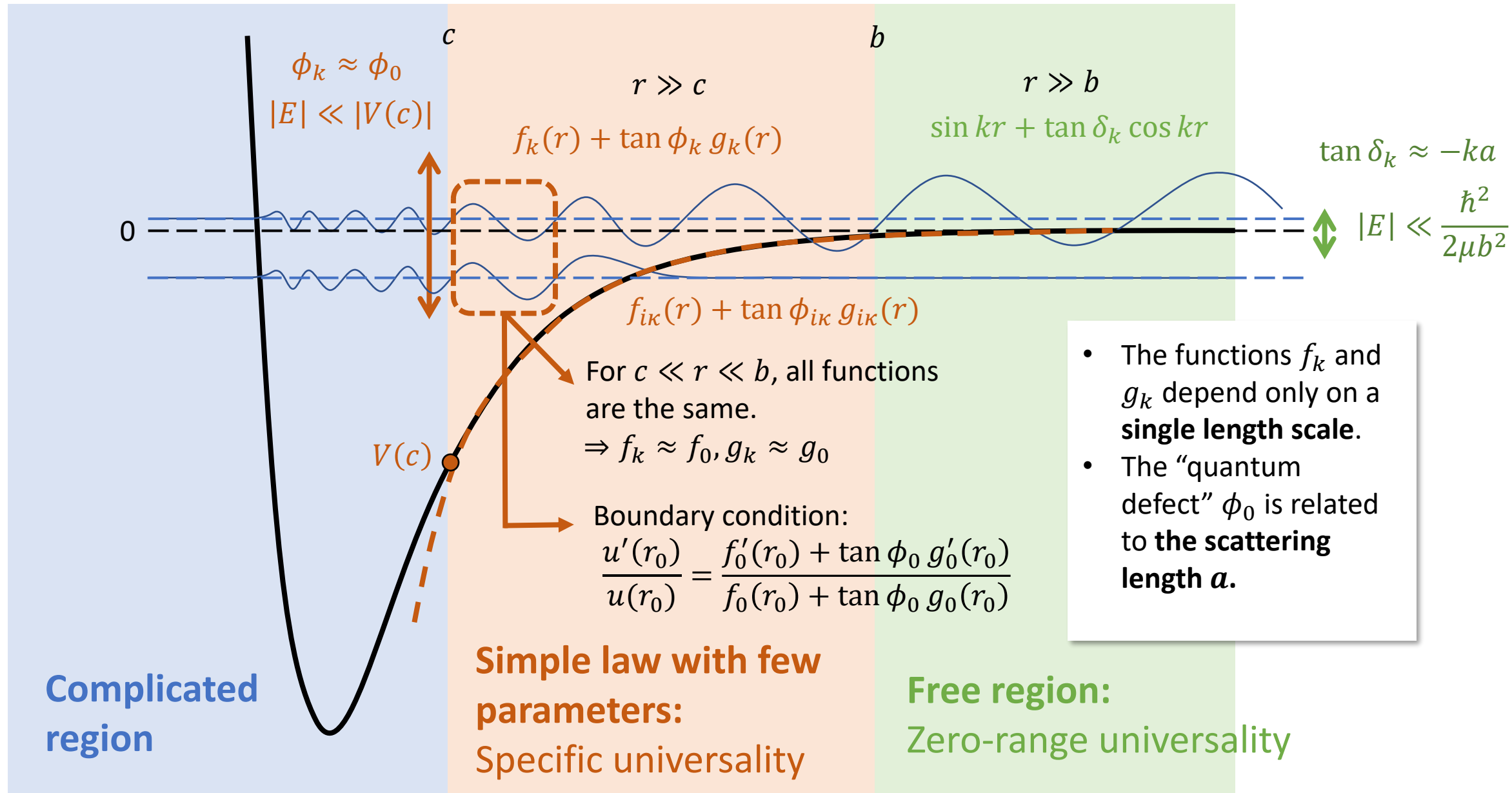
Van der Waals length:  $l_{\text{vdW}} = \frac{1}{2} \left( \frac{2\mu C_6}{\hbar^2} \right)^{1/4}$   
 (not radius)



## 5. Van der Waals universality



## 5. Van der Waals universality



## Two neutral atoms

Solution of the  $-C_6/r^6$  potential

Radial equation in  
the s wave:

$$-\frac{\hbar^2}{2\mu} \frac{d^2 u}{dr^2} - \frac{C_6}{r^6} u = Eu$$

$$-\frac{d^2 u}{dr^2} - \frac{2\mu C_6}{\hbar^2 r^6} u = \frac{2\mu E}{\hbar^2} u$$

Dimensionless variable:

$$x \equiv r/\ell_{\text{vdW}}$$

$$-\frac{1}{\ell_{\text{vdW}}^2} \frac{d^2 u}{dx^2} - \frac{1}{\ell_{\text{vdW}}^6} \frac{2\mu C_6}{\hbar^2 x^6} u = \frac{2\mu E}{\hbar^2} u$$

$$-\frac{d^2 u}{dx^2} - \frac{1}{\ell_{\text{vdW}}^4} \frac{2\mu C_6}{\hbar^2 x^6} u = \frac{2\mu E \ell_{\text{vdW}}^2}{\hbar^2} u$$

We set

$$\varepsilon \equiv \frac{2\mu E \ell_{\text{vdW}}^2}{\hbar^2}$$

$$\ell_{\text{vdW}} \equiv \frac{1}{2} \left( \frac{2\mu C_6}{\hbar^2} \right)^{1/4}$$

$$-u''(x) - \frac{16}{x^6} u(x) = \varepsilon u(x)$$

Solution of the  $-C_6/r^6$  potential at zero energy

$$u''(x) + \frac{16}{x^6} u(x) = 0$$

## 5. Van der Waals universality

Solution of the  $-C_6/r^6$  potential at zero energy

$$u''(x) + \frac{16}{x^6} u(x) = 0$$

Change of variable:  $u(x) \equiv x^{1/2} v(\underbrace{2x^{-2}}_y)$

$$u'(x) = x^{\frac{1}{2}} \times (-4x^{-3})v' + \frac{1}{2}x^{-\frac{1}{2}} \times v$$

$$u'(x) = -4x^{-5/2}v' + \frac{1}{2}x^{-\frac{1}{2}}v$$

$$u''(x) = -4x^{-5/2} \times (-4x^{-3})v'' + 10x^{-7/2}v' + \frac{1}{2}x^{-\frac{1}{2}}(-4x^{-3})v' - \frac{1}{4}x^{-\frac{3}{2}} \times v$$

$$u''(x) = 16x^{-\frac{11}{2}}v'' + 8x^{-\frac{7}{2}}v' - \frac{1}{4}x^{-\frac{3}{2}}v$$

$$\times \frac{1}{4}x^{3/2} \quad 16x^{-\frac{11}{2}}v'' + 8x^{-\frac{7}{2}}v' - \frac{1}{4}x^{-\frac{3}{2}}v + 16x^{-11/2}v = 0$$

$$4x^{-4}v'' + 2x^{-2}v' - \frac{1}{16}v + 4x^{-4}v = 0$$

$$y^2v'' + yv' - \frac{1}{16}v + y^2v = 0$$

$$y^2v'' + yv' + (y^2 - \alpha^2)v = 0$$

Bessel equation  
with  $\alpha = \pm 1/4$



The solutions are linear combinations of Bessel functions:

$$v(y) = \alpha J_{-\frac{1}{4}}(y) + \beta J_{\frac{1}{4}}(y)$$

$$u(x) = \sqrt{x} \left( \alpha J_{-\frac{1}{4}}(2x^{-2}) + \beta J_{\frac{1}{4}}(2x^{-2}) \right)$$

## 5. Van der Waals universality

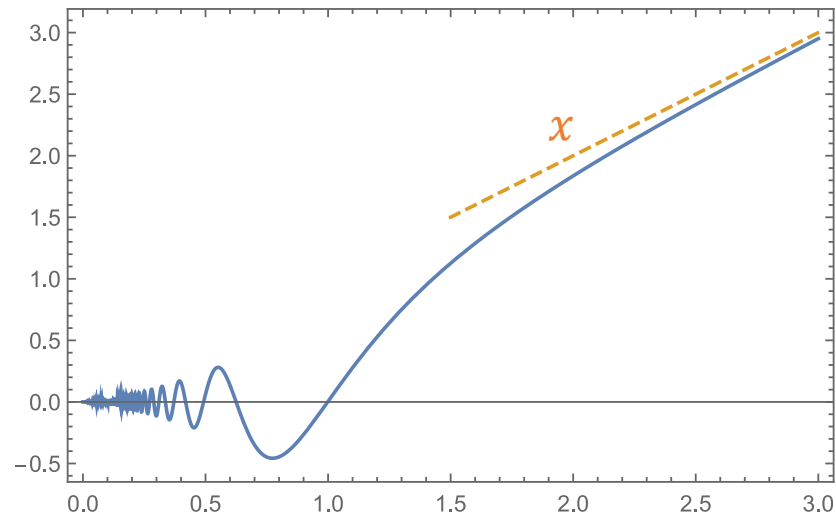
Solution of the  $-C_6/r^6$  potential at zero energy

$$u(r) = f(r) - \frac{a}{l_{vdW}} g(r)$$

Van der Waals length

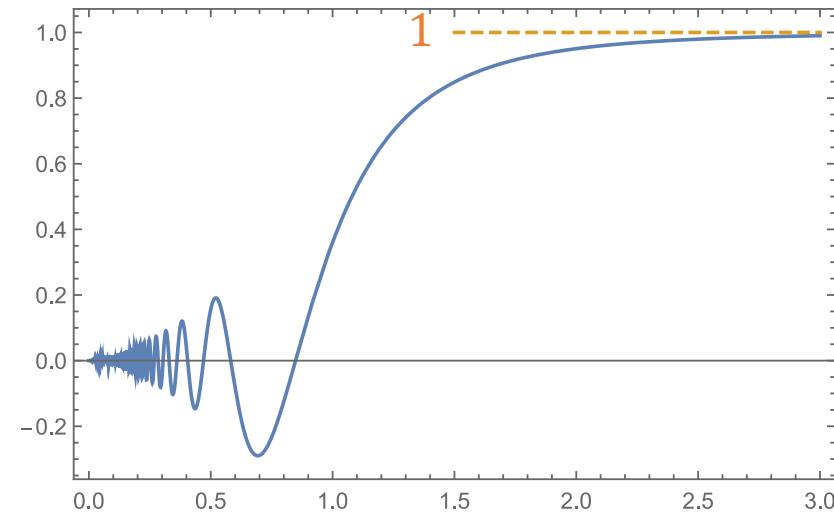
$$l_{vdW} = \frac{1}{2} \left( \frac{mC_6}{\hbar^2} \right)^{1/4}$$

$$f(r) = \Gamma(3/4) \sqrt{x} J_{-\frac{1}{4}}(2x^{-2})$$



$$x = r/\ell_{vdW}$$

$$g(r) = \Gamma(5/4) \sqrt{x} J_{\frac{1}{4}}(2x^{-2})$$



$$x = r/\ell_{vdW}$$

## 5. Van der Waals universality

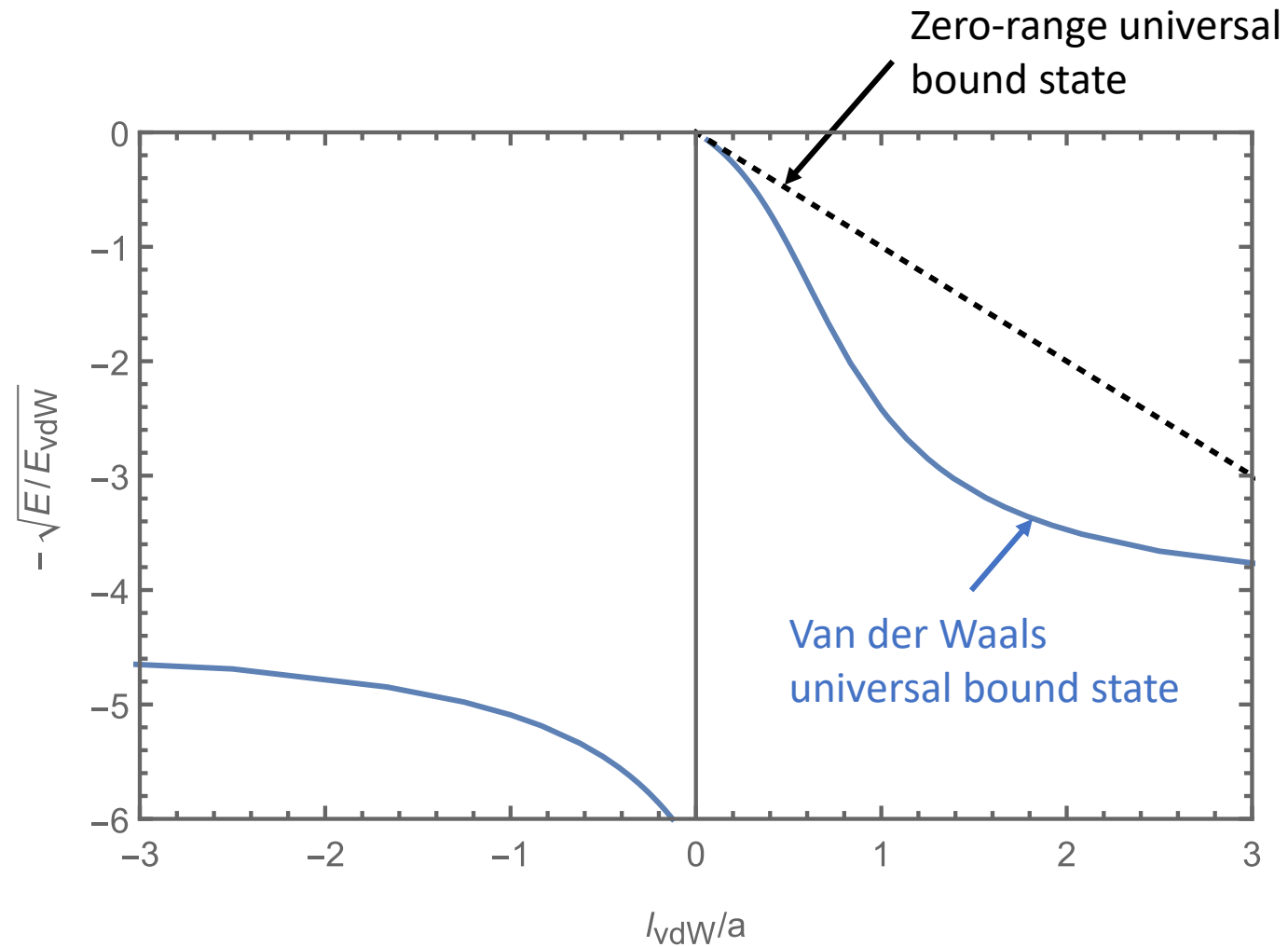
Solution of the  $-C_6/r^6$  potential at negative energy

Van der Waals length

$$l_{vdW} = \frac{1}{2} \left( \frac{mC_6}{\hbar^2} \right)^{1/4}$$

Van der Waals energy scale

$$E_{vdW} = \frac{\hbar^2}{2\mu l_{vdW}^2}$$

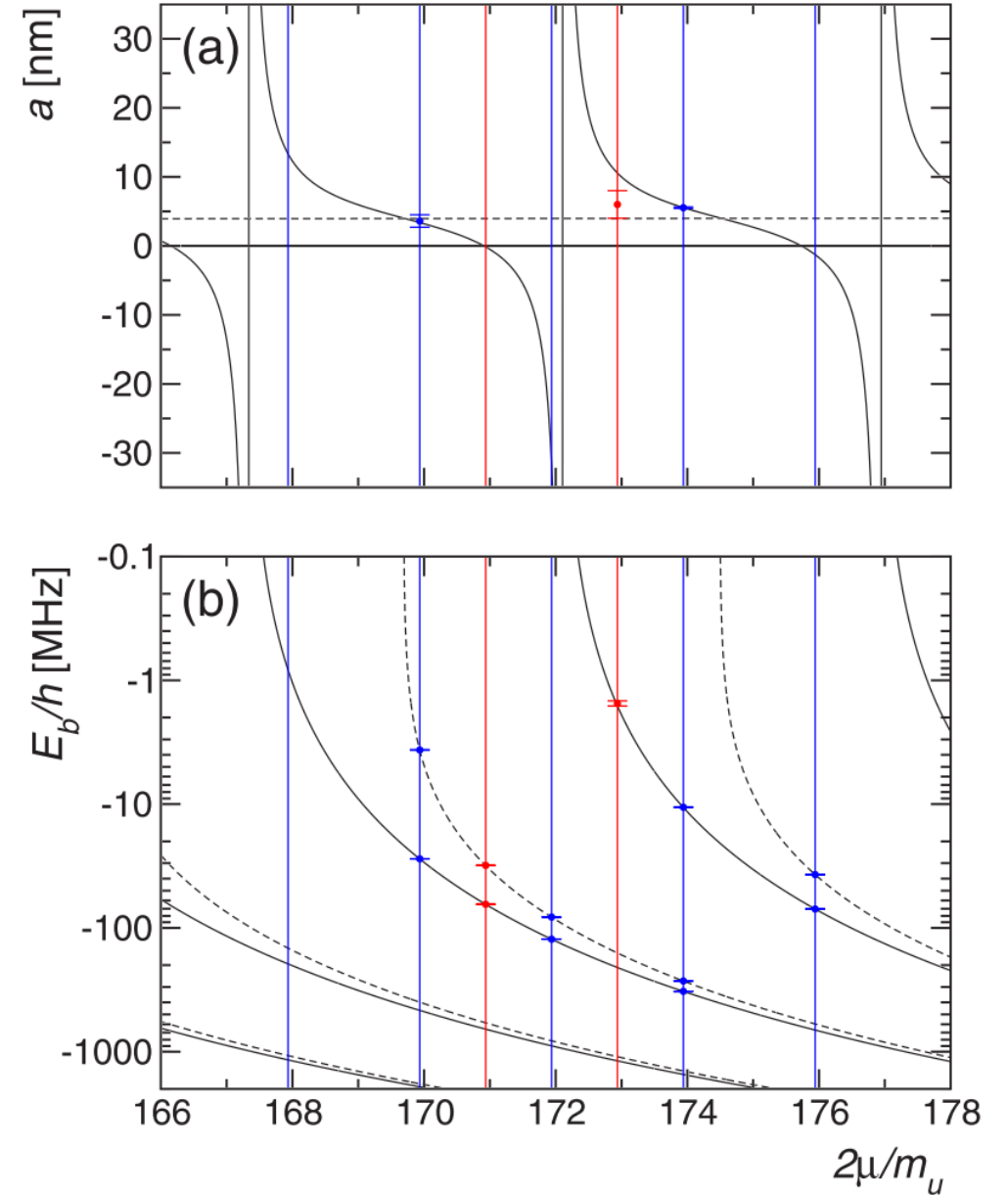


## 5. Van der Waals universality

Solution of the  $-C_6/r^6$  potential at negative energy

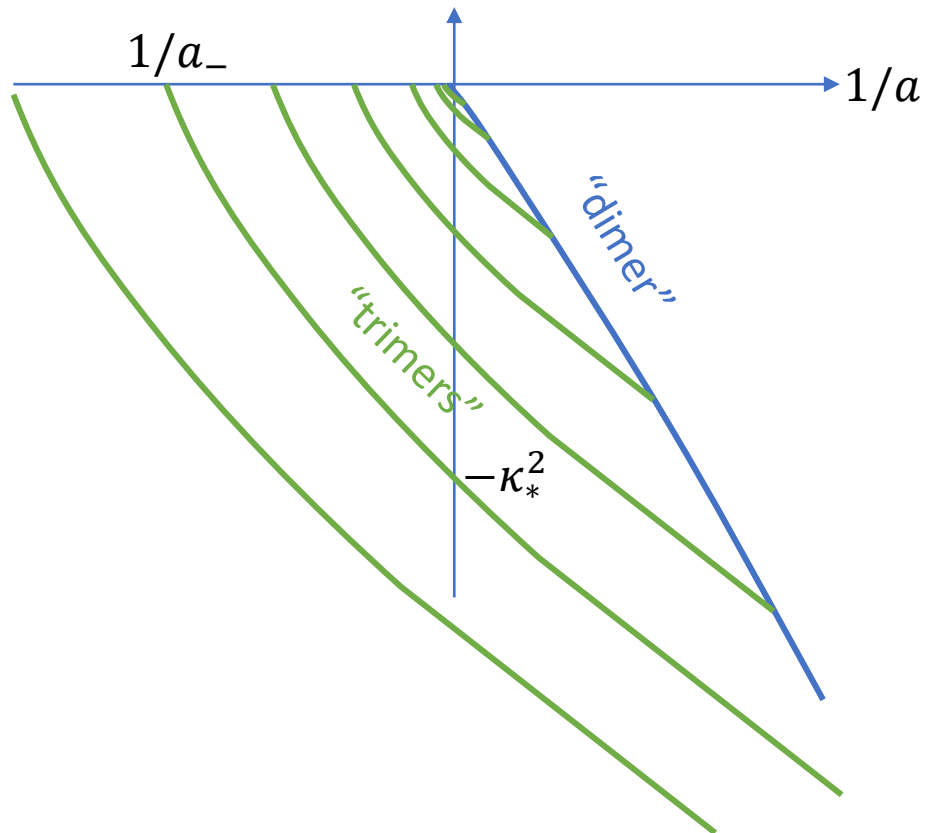
**Example: Ytterbium isotopes**

Kitagawa et al. Phys Rev A 77, 012719 (2008)



# The three-body parameter

In the zero-range theory, the three-body has to be introduced “by hand” as an extra boundary condition to quantise the spectrum:

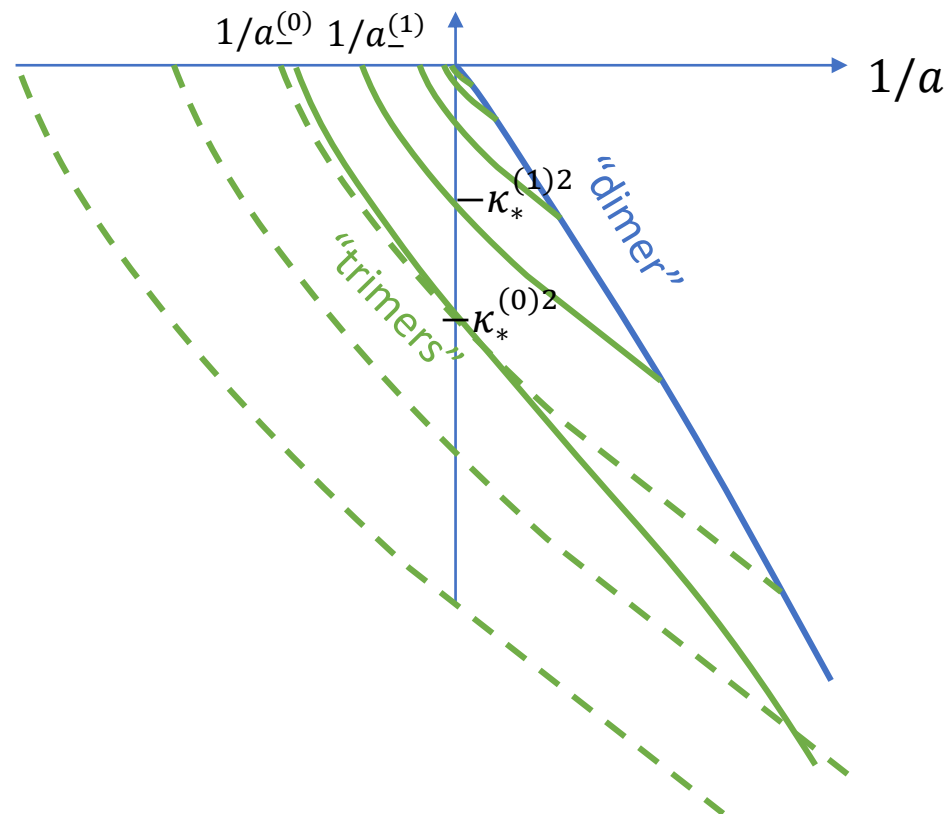


$$a_- = -1.50763/\kappa_*$$



# The three-body parameter

For a system with finite-range interactions, the spectrum is bounded from below and the three-body parameter is set from the two-body or three-body interactions



$$\kappa_* = \lim_{n \rightarrow \infty} \kappa_*^{(n)} e^{-n\pi/|s_0|}$$

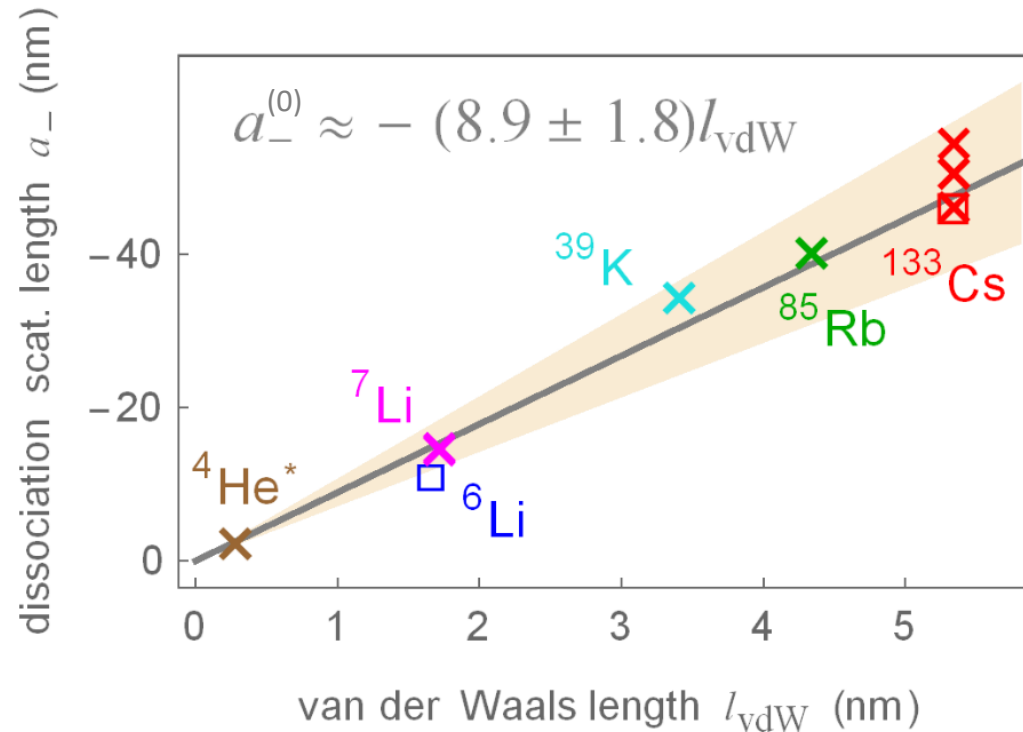
$$a_- = \lim_{n \rightarrow \infty} a_-^{(n)} e^{n\pi/|s_0|}$$

**Question:** what in the interaction potentials determines the value of the three-body parameter?

Range of two-body forces?  
What about three-body forces?

# The three-body parameter

Experiments with atoms: **“Van der Waals universality of the three-body parameter”**



The potential between two atoms has a van der Waals tail:

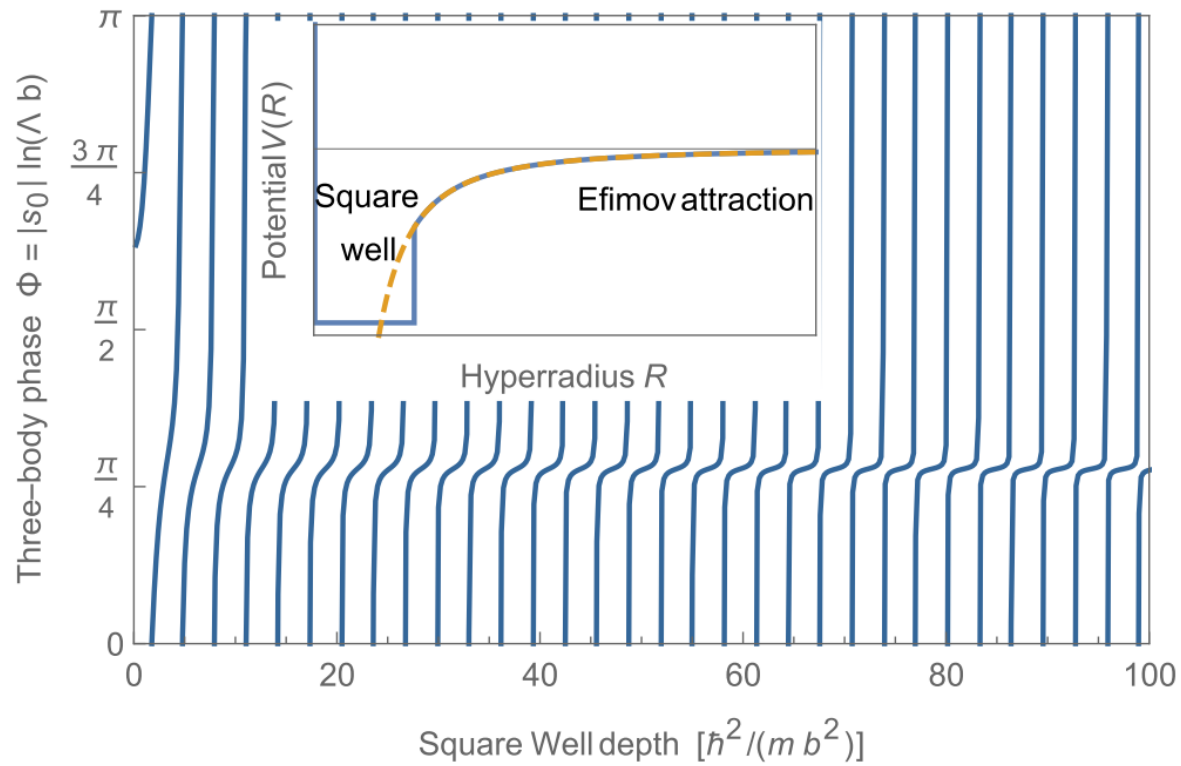
$$V(r) \xrightarrow{r \rightarrow \infty} -C_6/r^6$$

Van der Waals length

$$l_{\text{vdW}} = \frac{1}{2} \left( \frac{mC_6}{\hbar^2} \right)^{1/4}$$

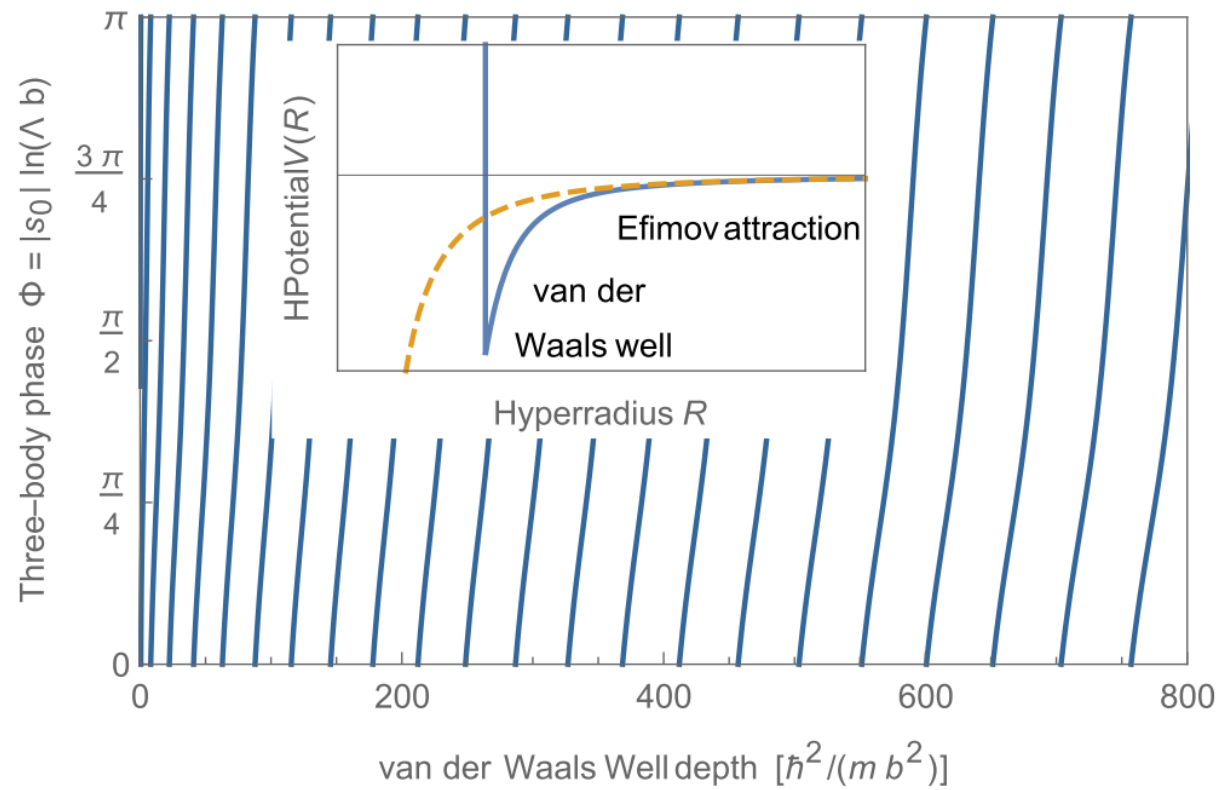
# The three-body parameter

Is it quantum reflexion?



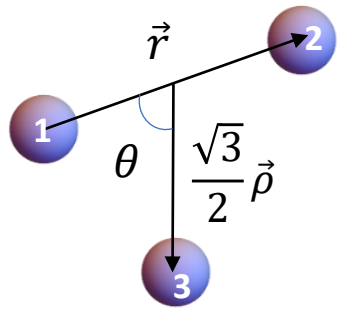
# The three-body parameter

Is it quantum reflexion? No.



# The three-body parameter

J. Wang, J. D’Incao, B. Esry, and C. Greene, “Origin of the Three-Body Parameter Universality in Efimov Physics.” *Phys. Rev. Lett.*, 108, 263001 (2012).



Adiabatic Hyperspherical Representation:

$$\Psi = \sum_n F_n(R) \Phi_n(\theta, \alpha; R)$$

$$\left( -\frac{d^2}{dR^2} + W_n(R) - E \right) F_n(R) + \sum_{n' \neq n} W_{n,n'}(R) F_{n'}(R) = 0$$

Hyper-radius  $R$   
Hyper-angle  $\alpha$

$$r = R \sin \alpha$$

$$\rho = R \cos \alpha$$

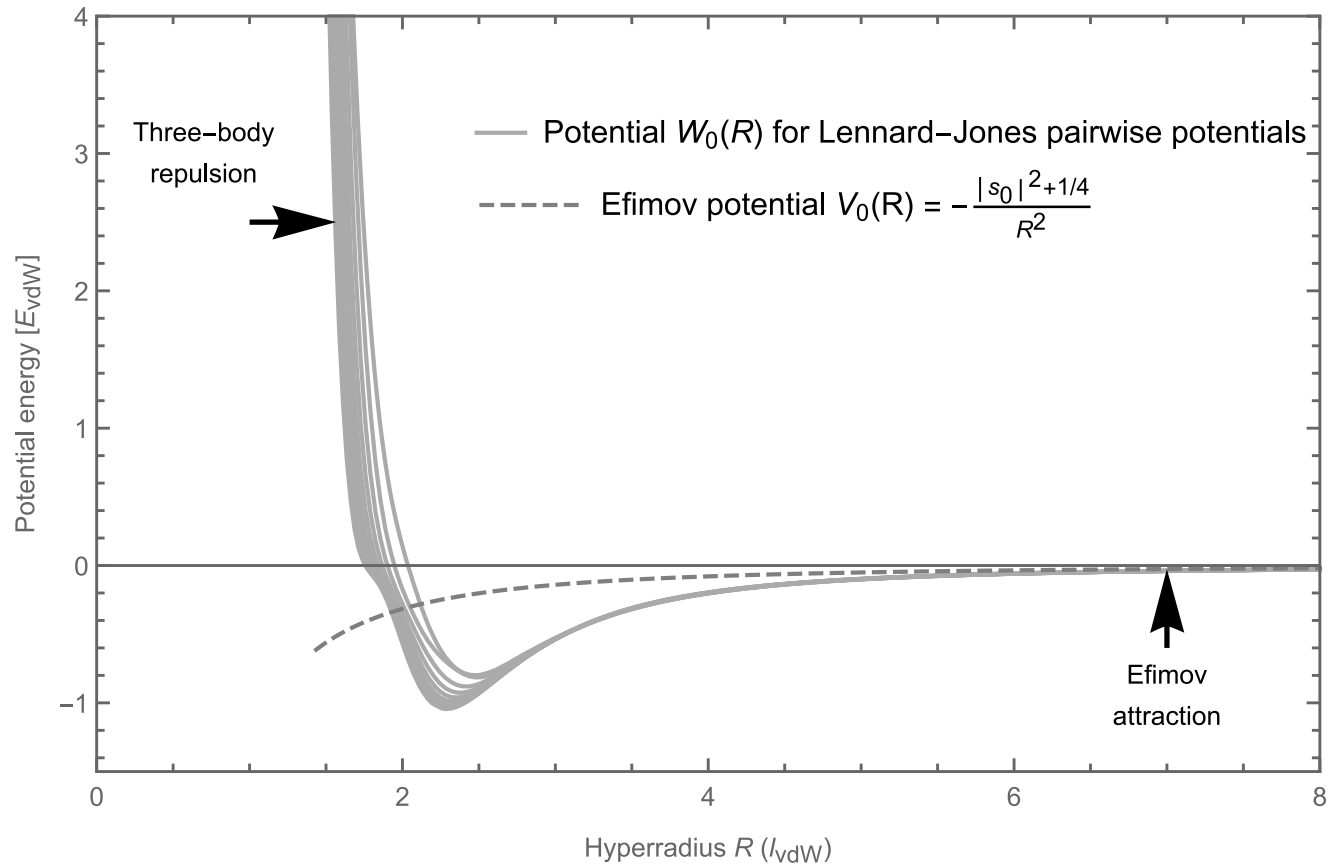
Solved for various two-body interactions with a van der Waals tail.  
In the limit of deep van der Waals interactions:

$$\kappa_*^{(0)} = (0.21 \pm 0.01) / \ell_{\text{vdW}}$$

$$a_-^{(0)} = -(10.70 \pm 0.35) \ell_{\text{vdW}}$$

# The three-body parameter

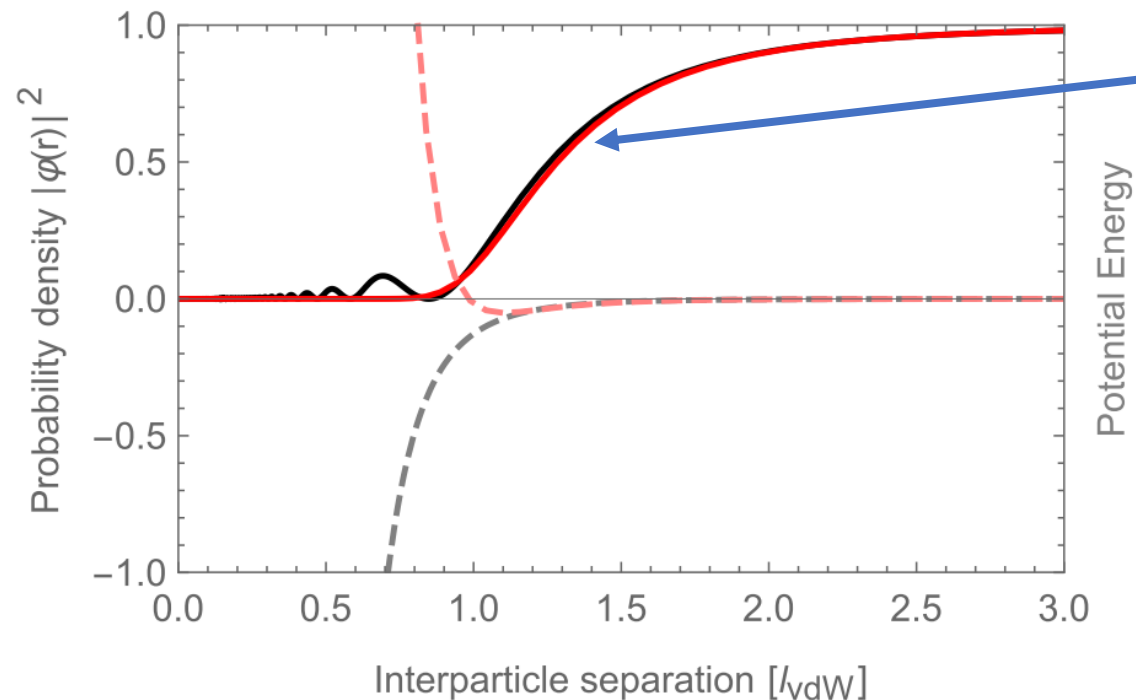
Three-body repulsive barrier



# The three-body parameter

What is the origin of the three-body repulsion?  
(how can we get repulsion from purely attractive interactions?)

Two-body van der Waals universality



Universal  
suppression of  
two-body  
probability for  
 $r \lesssim \ell_{vdW}$

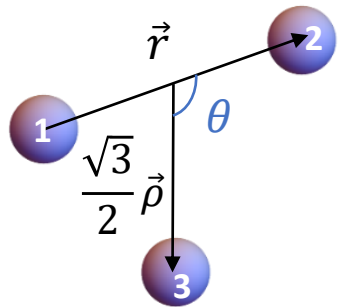
Analytical solution at zero energy

$$\varphi(r) \underset{r \gtrsim \ell_{vdW}}{=} \Gamma(5/4) \sqrt{x} J_{\frac{1}{4}}(2x^{-2})$$

$$x = r/\ell_{vdW}$$

# The three-body parameter

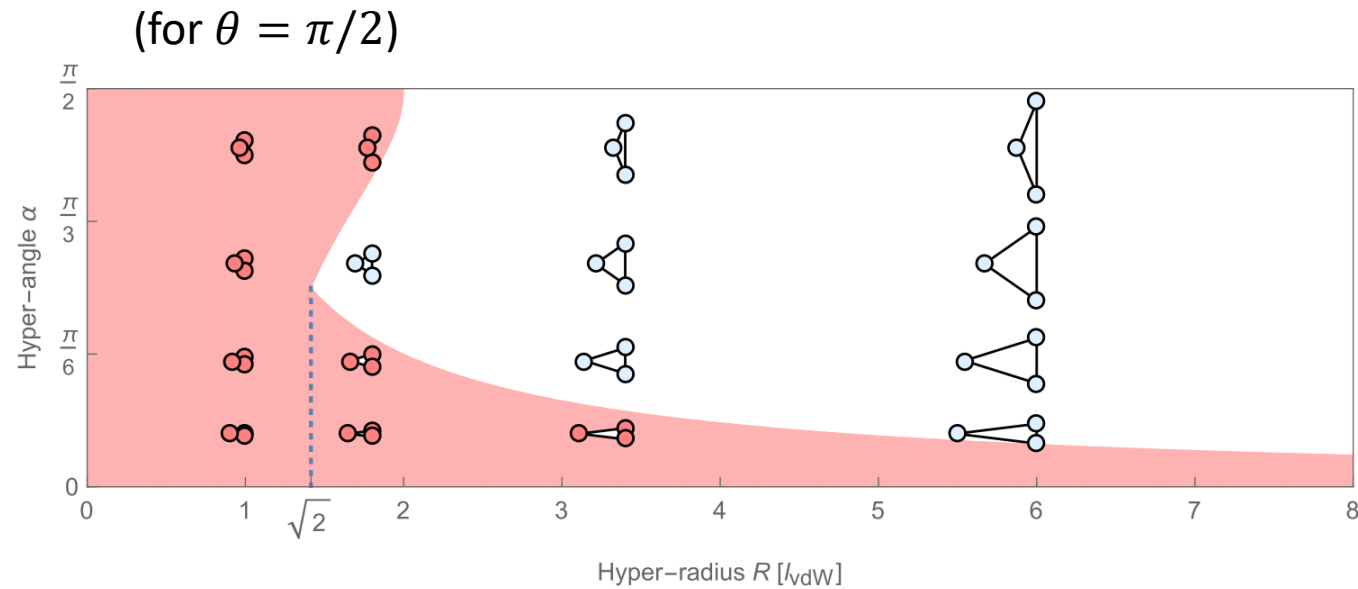
**Consequence for three-body:**  
 Suppressed configurations for  $r_{ij} \lesssim l_{vdW}$



Hyper-radius  $R$   
 Hyper-angle  $\alpha$

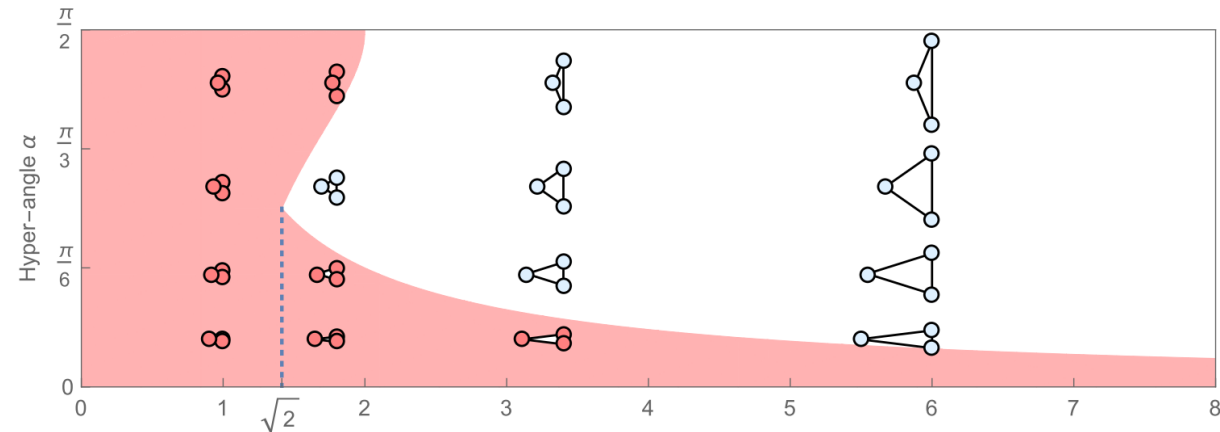
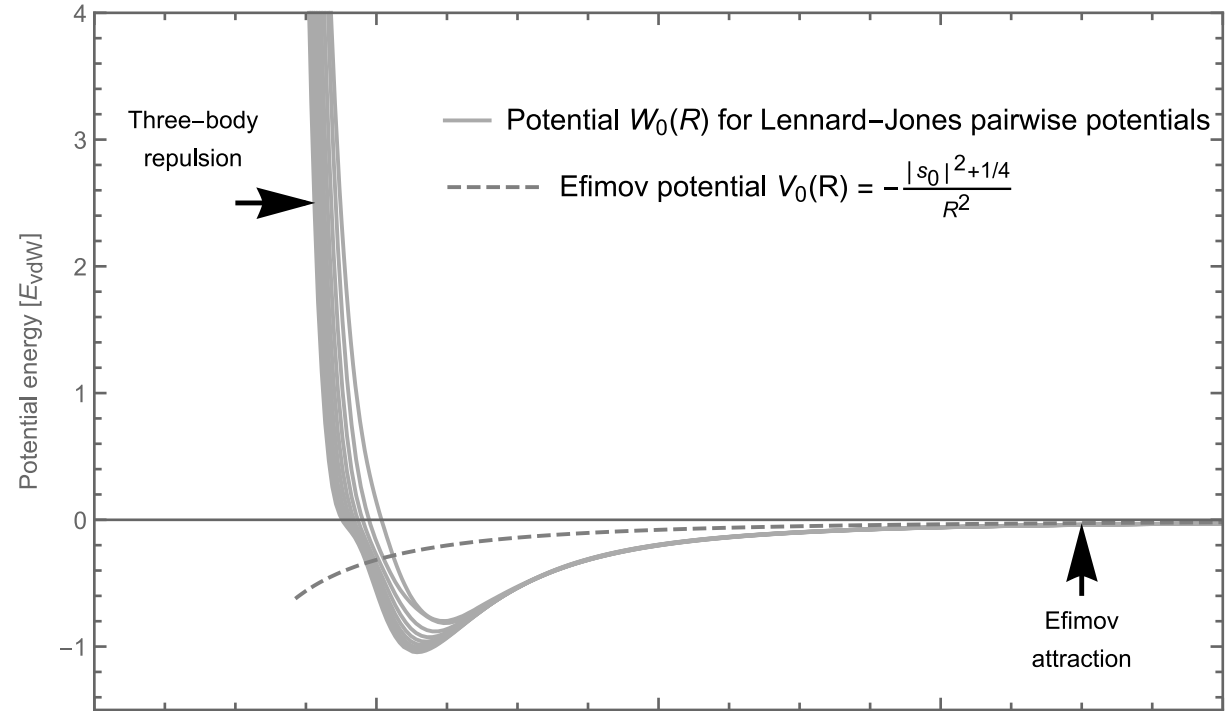
$$r = R \sin \alpha$$

$$\rho = R \cos \alpha$$



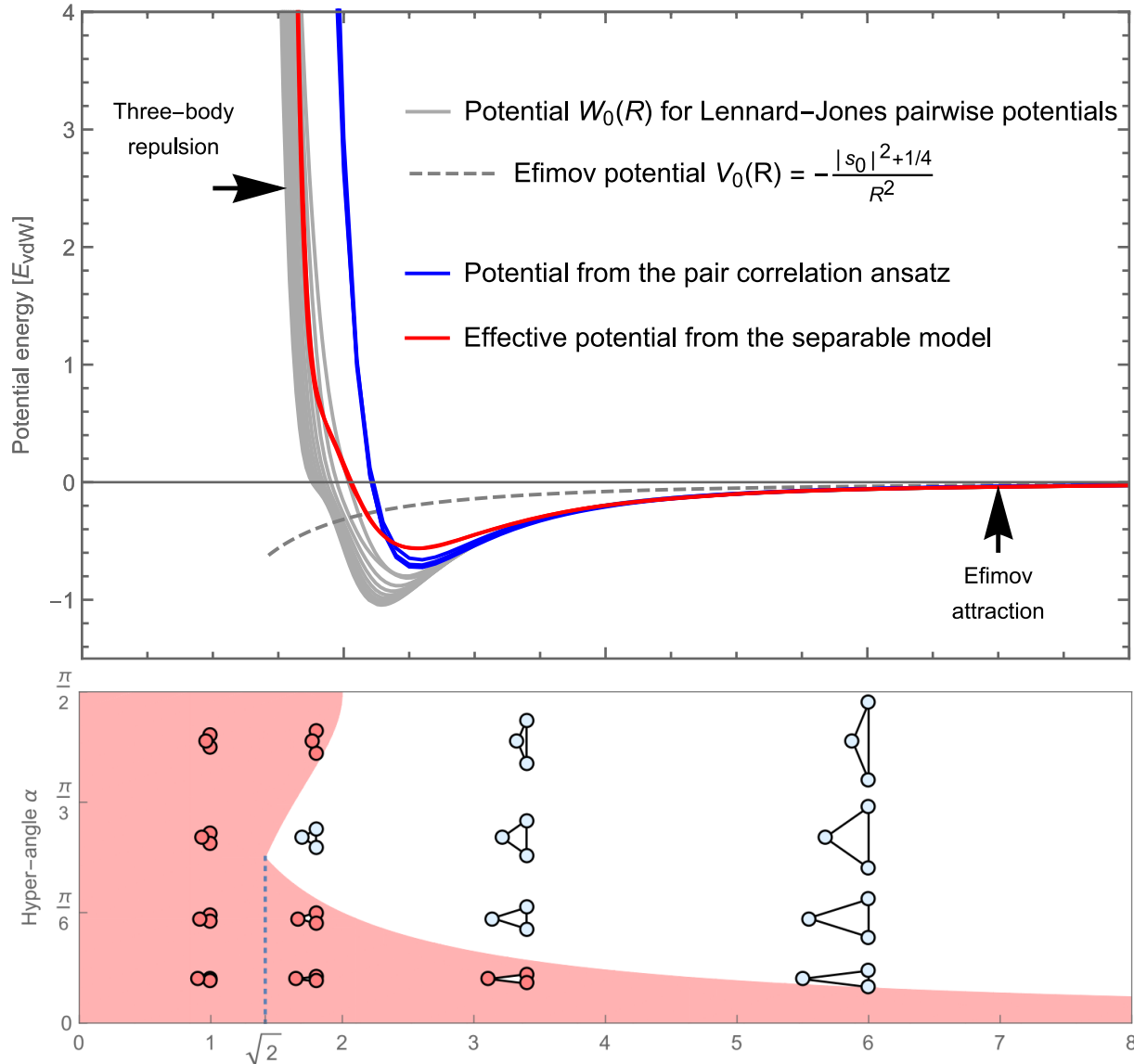


# The three-body parameter



## 5. Van der Waals universality

# The three-body parameter



P. Naidon, S. Endo, and M. Ueda, “Physical origin of the universal three-body parameter in atomic Efimov physics” *Phys. Rev. A* **90**, 022106 (2014)

**Approximate ansatz to check this interpretation:**

### 1) Pair correlation ansatz

$$\Phi_0(\alpha, \theta; R) = \Phi_0^{(ZR)}(\alpha, \theta) \times \varphi(r_{12})\varphi(r_{23})\varphi(r_{31})$$

### 2) Separable potential model

$$\hat{V} = \underbrace{\frac{1}{\langle \psi_0 | V | \psi_0 \rangle}}_g \underbrace{V | \psi_0 \rangle}_{|\phi\rangle} \underbrace{\langle \psi_0 | V}_{\langle \phi|}$$

Coupling constant      Form factor

with

$$V(r) = -C_6/r^6$$

$$\psi_0(\vec{r}) = \varphi(r)/r$$

# The three-body parameter

## 2) Separable potential model

$$\hat{V} = \frac{1}{\langle \psi_0 | V | \psi_0 \rangle} V | \psi_0 \rangle \langle \psi_0 | V \quad \text{with}$$

$$V(r) = -C_6/r^6$$

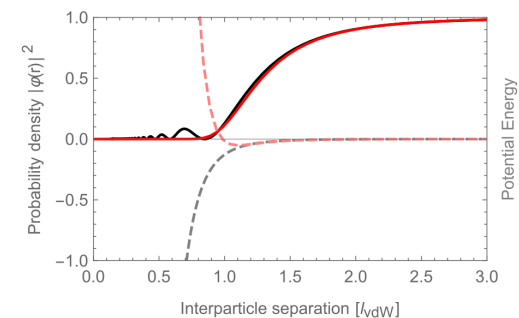
$$\psi_0(\vec{r}) = \varphi(r)/r$$

The solution of the true potential at zero energy:  $(\hat{T} + V)|\psi_0\rangle = 0$

is also the solution of the separable potential at zero energy:

$$\begin{aligned} (\hat{T} + \hat{V})|\psi_0\rangle &= \left( \hat{T} + \frac{1}{\langle \psi_0 | V | \psi_0 \rangle} V | \psi_0 \rangle \langle \psi_0 | V \right) |\psi_0\rangle \\ &= \left( \hat{T} |\psi_0\rangle + \frac{1}{\langle \psi_0 | V | \psi_0 \rangle} V | \psi_0 \rangle \langle \psi_0 | V | \psi_0 \rangle \right) \\ &= (\hat{T} + V)|\psi_0\rangle = 0 \end{aligned}$$

This separable potential is an approximation that reproduces exactly the zero-energy two-body wave function of the true potential



# The three-body parameter

2) Separable potential model      Three-body treatment (for negative energy  $E = -\hbar^2\kappa^2/m$ )

$$\left(\frac{3}{4}P^2 + p^2 + \kappa^2\right) \tilde{\Psi}(\vec{P}, \vec{p}) = \sum_{i=1,2,3} \phi_i(\vec{p}_i) \underbrace{\frac{2\mu}{\hbar^2} g_i \int d^3p_i \phi_i^*(\vec{p}_i) \tilde{\Psi}(\vec{P}, \vec{p})}_{4\pi \tilde{F}_i(\vec{P}_i)} \quad \text{with} \quad \frac{4\pi\hbar^2}{m} \frac{1}{g_i} \tilde{F}_i(\vec{P}_i) = \int d^3p_k \phi_i^*(\vec{p}_i) \tilde{\Psi}(\vec{P}, \vec{p})$$

$$\tilde{\Psi}(\vec{P}, \vec{p}) = \frac{4\pi \sum_{i=1,2,3} \phi_i(\vec{p}_i) \tilde{F}_i(\vec{P}_i)}{\frac{3}{4}P^2 + p^2 + \kappa^2} \quad \text{①}$$



Generalised Skorniakov – Ter-Martirosian integral equations:

$$\left(\frac{4\pi\hbar^2}{m} \frac{1}{g_k} + \frac{2}{\pi} \int_0^\infty \frac{q^2 |\phi_k(\vec{q})|^2}{q^2 + \frac{3}{4}P^2 + \kappa^2} dq\right) \tilde{F}_k(\vec{P}) + 4\pi \int \frac{d^3Q}{(2\pi)^3} \phi_k^*\left(\vec{Q} + \frac{\vec{P}}{2}\right) \frac{\phi\left(\frac{\vec{P}+\vec{Q}}{2}\right) \tilde{F}_i(\vec{Q}) + \phi\left(\frac{\vec{P}-\vec{Q}}{2}\right) \tilde{F}_j(\vec{Q})}{P^2 + Q^2 + \vec{Q} \cdot \vec{P} + \kappa^2} = 0$$

with  $\{i, j, k\} = \{1, 2, 3\}$

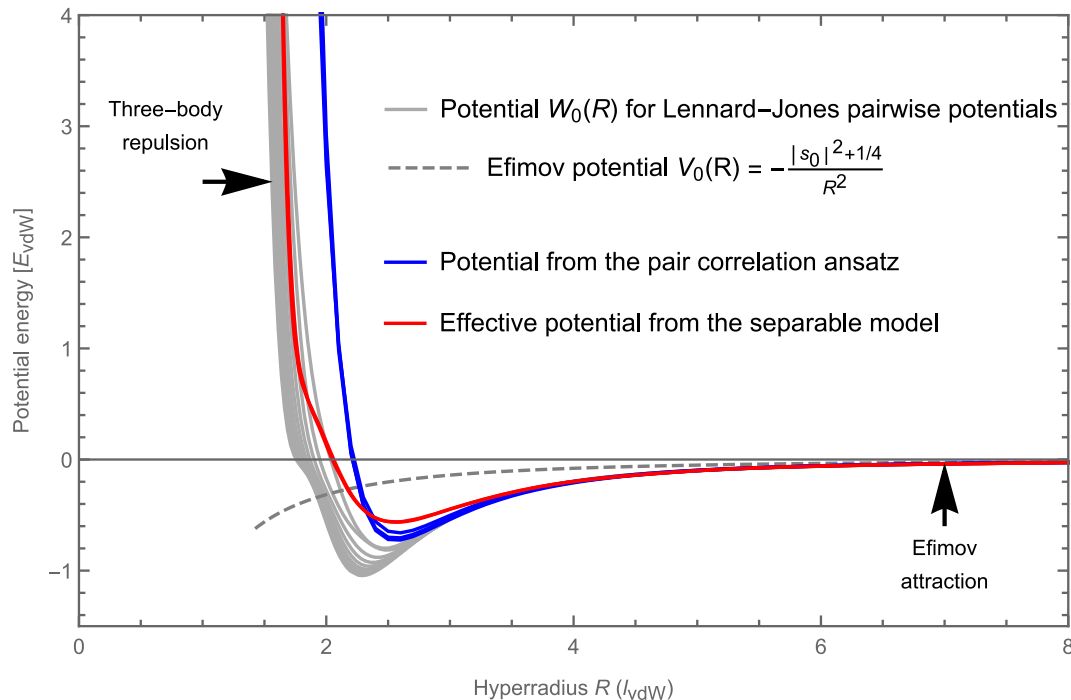
Same benefit as the zero-range theory:  
Now, the unknown function has only 1 argument!

$$\tilde{\Psi}(\vec{P}, \vec{p}) \longrightarrow \tilde{F}(\vec{P})$$

# The three-body parameter

## 2) Separable potential model

Three-body treatment (for negative energy  $E = -\hbar^2 \kappa^2 / m$ )



$$\tilde{\Psi}(\vec{P}, \vec{p}) = \frac{4\pi \sum_{i=1,2,3} \phi_i(\vec{p}_i) \tilde{F}_i(\vec{P}_i)}{\frac{3}{4} P^2 + p^2 + \kappa^2} \xrightarrow{\text{Fourier transform}} \tilde{\Psi}(\rho, r, \theta) = \tilde{\Psi}(R, \alpha, \theta)$$

$$\int d^3\vec{r} d^3\vec{\rho} |\Psi|^2 = \int_0^\infty 4\pi r^2 dr \int_0^\infty 2\pi \rho^2 d\rho \int_0^\pi d(\cos \theta) |\Psi|^2$$

$$= (4\pi)^2 \int_0^\infty dR \underbrace{\int_0^{\pi/2} d\alpha (R \sin \alpha)^2 (R \cos \alpha)^2 \int_0^\pi d(\cos \theta) |\Psi|^2}_{\text{Hyper-radial probability density } |f(R)|^2}$$

Effective Schrödinger equation:  $-\frac{d^2 f}{dR^2} + W(R)f(R) = Ef(R)$

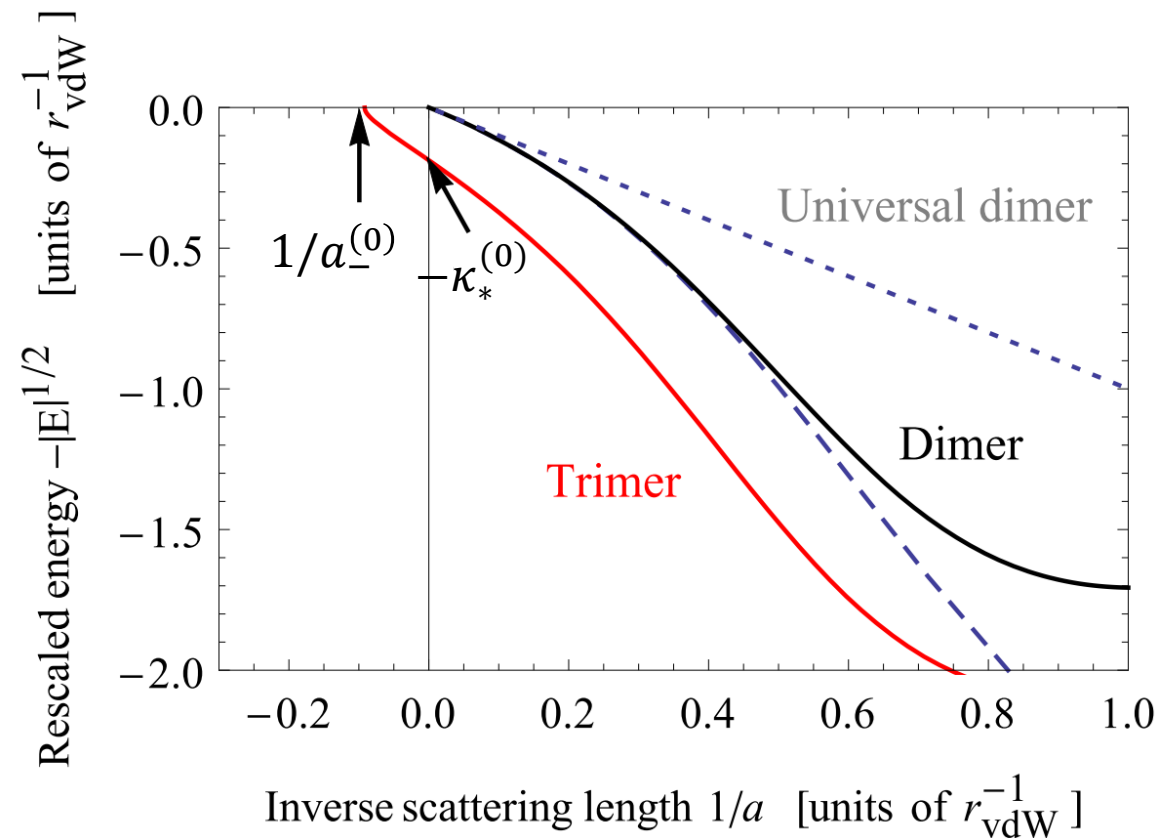
Effective potential:

$$W(R) = E + \frac{f''(R)}{f(R)}$$

# The three-body parameter

## 2) Separable potential model

Three-body treatment (for negative energy  $E = -\hbar^2 \kappa^2 / m$ )



$$\kappa_*^{(0)} = 0.187(1) \ell_{\text{vdW}}^{-1}$$

$$a_-^{(0)} = -10.86(1) \ell_{\text{vdW}}$$

Close to the exact results:

$$\kappa_*^{(0)} = (0.21 \pm 0.01) / \ell_{\text{vdW}}$$

$$a_-^{(0)} = -(10.70 \pm 0.35) \ell_{\text{vdW}}$$

# Conclusion

Universal few-body physics has been a developing field of quantum few-body physics, both theoretical and experimental, unveiling a whole collection of universal few-body states with remarkable properties.

# Review papers

REVIEWS OF MODERN PHYSICS

Recent Accepted Authors Referees Search Press About

## Universal few-body physics and cluster formation

Chris H. Greene, P. Giannakeas, and J. Pérez-Ríos  
Rev. Mod. Phys. **89**, 035006 – Published 28 August 2017

Article PDF HTML Export Citation

Rev. Mod. Phys. 89, 035006 (2017)  
arXiv:1704.02029

IOP Publishing Reports on Progress in Physics  
Rep. Prog. Phys. **80** (2017) 056001 (78pp) <https://doi.org/10.1088/1361-6633/aa50e8>

## Review

# Efimov physics: a review

Pascal Naidon<sup>1</sup> and Shimpei Endo<sup>2</sup>

<sup>1</sup> RIKEN Nishina Centre, RIKEN, Wako, 351-0198, Japan  
<sup>2</sup> School of Physics and Astronomy, Monash University, Clayton, VIC, 3800, Australia

E-mail: [pascal@riken.jp](mailto:pascal@riken.jp) and [shimpei.endo@monash.edu](mailto:shimpei.endo@monash.edu)

Received 25 September 2014, revised 28 October 2016  
Accepted for publication 30 November 2016  
Published 28 March 2017

Corresponding Editor Professor Gordon Baym

Rep. Prog. Phys. 80, 056001 (2017)  
arXiv:1610.09805